

Financial Norms for Capacity Building Activities

Pradhan Mantri Awas Yojana - Urban
Ministry of Housing and Urban Poverty Alleviation

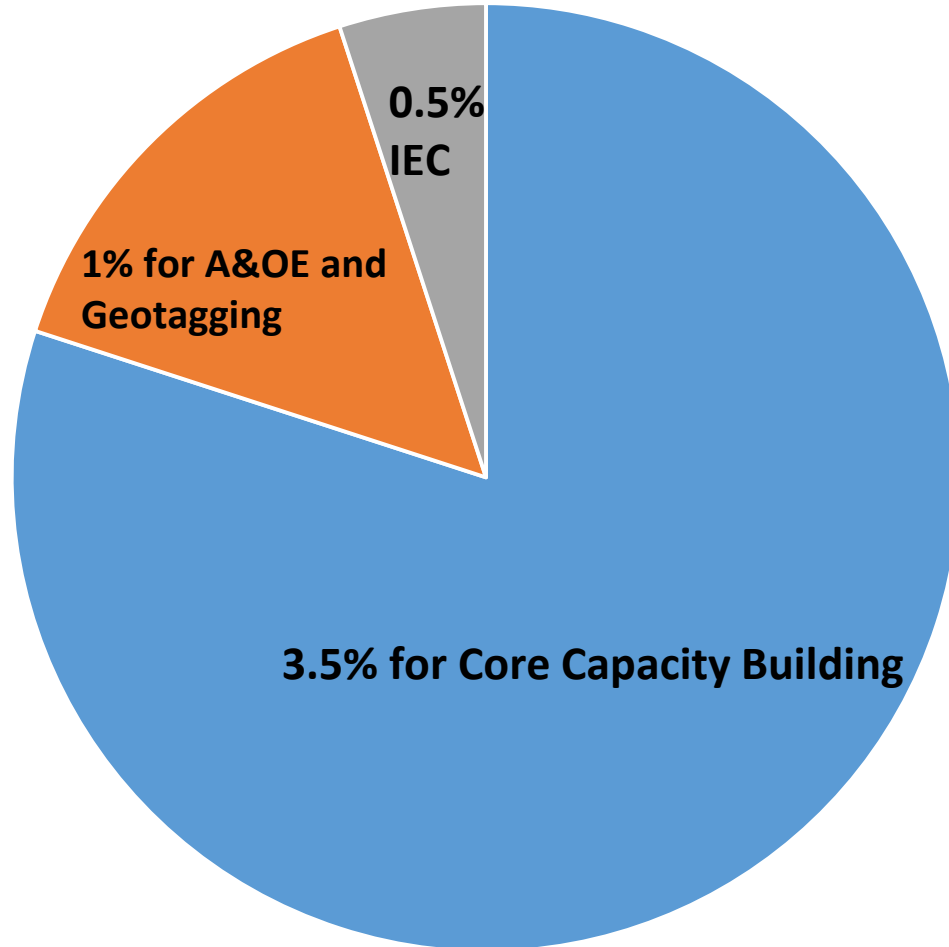
Financial norms and fund disbursement process approved in the first CSMC meeting

Activity	Financial Norms	Fund Disbursement Process
<i>Establishment of SLTC</i>	Monthly salaries ranging from INR 50,000 to 85,000 as per qualifications and population norms, on sharing basis (75:25/90:10)	50% Advance on approval of CB plan and remaining 50% on submission of UC
<i>Establishment of CLTC</i>	Monthly salaries ranging from INR 25,000 to 70,000 as per qualifications and population norms on sharing basis (75:25/90:10)	50% Advance on approval of CB plan and remaining 50% on submission of UC
<i>Preparation of HFA PoA</i>	INR 7 to 35 Lakhs for 1 to 15+ Lakh Population	75% as advance on approval of cities, remaining 25% on receipt of HFA PoA (and SFCCPoA, if any)

Financial norms and fund disbursement process approved in the first CSMC meeting (Cont.)

Activity	Financial Norms	Fund Disbursement Process
<i>Trainings, Workshops</i>	INR 1 lakh, 2 lakhs, 3 lakhs, and 5 lakhs for City level, State level, Regional, and National workshops respectively	Reimbursement against consolidated statement of expenditures duly signed by competent authority.
<i>Study/Exposure Visits</i>	3 lakhs/per visit for 15 to 20 participants	
<i>Research/Documentation</i>	Full funding, Up to 5 lakhs/study	No process approved
<i>Administrative & Other Expenses</i>	Travel expenses, System Support, Overheads, and Miscellaneous expenses reimbursed, as per actuals, on sharing basis (75:25/90:10)	Bills and vouchers are examined and approved at the SLNA and a utilization certificate submitted to ministry

Distribution of 5% Allocation



Core CB Activities (SLTC/CLTC, HFA PoA, Social Audit, TPQMA, Training and Workshops, Study visits, Documentation/research/translation) = **3.5%**
IEC (Except TV & News paper ads) = **0.5%**
A&OE (Travel, Overheads, system support and miscellaneous) + **Geotagging** = **1%**

Approval Sought for:

- Approval is **not** sought for making any change in the existing norms
- Approval is sought for new financial norms and disbursal process for **Third Party Quality Monitoring, Social Audit, Geo-tagging, Information Education and Communication**, as these activities were not discussed in 1st CSMC.
- Approval is sought for fund disbursal process for **Research/Documentation**
- Approval is sought for clarifications given on financial norms of **Administrative & Other Expenses**

Third Party Quality Monitoring

Financial Norms	Fund Disbursal Process
INR 40,000 per visit (upto 3 visits)	1st instalment of 50% as advance on receipt of Annual Quality Monitoring Plan
Payment upto INR 1,20,000 per project on sharing basis (75:25/90:10)	Remaining 50% instalment on receipt of UC and 'action taken report', duly signed

Social Audit

Financial Norms	Fund Disbursal Process
Social audit involving up to 5 cities = actual expenses up to INR 3.5 Lakh for minimum of 3 cities	50% release on receipt of Social Audit Plan with prescribed documents as given in the Guidelines.
Social audit involving 6 or more cities = actual expenses up to INR 3.5 Lakh (for 5 cities) and Rs. 50,000 per additional city	Remaining 50% released subject to submission of prescribed documents duly signed by competent authority
Upto INR 5.5 Lakhs for audits which involve up to 9 or more cities	
Fully funded	

Geo-tagging

Financial Norms	Fund Disbursal Process
INR 200 per Dwelling Unit (INR 40 each for 5 stages of Nonstarter, Foundation level, Lintel/Plinth Level, Roof level, and Completion level)	Advance 50% to be released as per actual houses uploaded on MIS (on project basis)
Lump-sum payment for upto INR 200 per dwelling unit @ INR 40/stage	Remaining 50% to be released after completion of Houses and verification of geo tagged photographs from Bhuvan server along with submission of UC

IEC

Financial Norms

Full reimbursement as per actuals and within the Urban Population in the state vs. Fund Allocation norms as listed below:

Urban Population in Lakhs	INR in Lakhs
Upto 25	18
Above 25 to 50	35
Above 50 to 75	55
Above 75 to 100	60
Above 100 to 200	70
Above 200 to 300	80
Above 300	90

Fund Disbursal Process

Bills and vouchers to be examined and approved by the competent authority at State level.

Release subject to receipt of Undertaking with reimbursement claim and a report of impact of IEC activities

A&OE

Financial Norms

Permissible expenses:

1.Travel expenses as per actuals and entitlement norms applicable to Group B officers of GoI, equivalent to level 8 of the current pay matrix (Air travel to other states, with prior approval of competent authority will also be reimbursed).

2.System Support

3.Overheads

4.Miscellaneous expenses (**Central assistance sanctioned under this head should not be used either to buy any fixed assets or to add value to any existing fixed asset, for any purpose.**)

Reimbursed, as per actuals, on sharing basis (75:25/90:10)

Fund Disbursal Process

Bills and vouchers are examined and approved at the SLNA level and a utilization certificate be submitted.

Research/Documentation

Financial Norms	Fund Disbursal Process
Fully funded as per actuals, upto INR 5 lakhs/study	1st instalment of 50% as advance Remaining 50% on receipt of UC and research report/document along with plan/report of research utilization

Norms Applicable for All Activities

States and UTs to submit Annual Implementation Plan, Annual Capacity Building Plan (including Annual IEC Plan), Annual Quality Monitoring Plan and Annual Social Audit Plan duly approved by SLSMC

States/ UTs/ ULB will have to adopt a transparent bidding procedure for procurement of services

All the rates mentioned will serve as a ceiling/limits of central assistance

Service Tax and other applicable taxes will be extra, chargeable on actual basis and shall be paid on applicable sharing patterns

thank
you