



R F D

Results-Framework Document

for

(Ministry of Housing and Urban Poverty
Alleviation)

(2011-2012)

Section 1: Vision, Mission, Objectives and Functions

Vision

An equitable, inclusive and sustainable civic sensitive growth of towns and cities free from slums, which provides means of productive employment, dignity and a decent quality of life to all inhabitants, including the poor.

Mission

- In coordination and cooperation with State Governments, Urban Local Bodies and other related Ministries -
 - The creation of a Slum Free India, by the up-gradation, redevelopment and relocation of all existing slums so as to provide basic civic amenities, shelter, property titling and a decent quality of life to all slum dwellers; and the realignment of State policies, planning and institutional structures for urban development, land use and town planning to meet this goal, so that future urban growth accommodates, within formal planned spaces, the living and working needs of the poor;
 - The provision of affordable housing for all and the creation of conditions that facilitate a continuous addition of adequate serviced land and housing to meet the identified need;
 - To accelerate the rate of poverty reduction, by the convergence of different programmes and services relating to skill development, creation of livelihoods, social security and social services including health and education, in order to address poverty in all its aspects, and to do so in a transparent, participative and citizen centric

Section 1: Vision, Mission, Objectives and Functions

manner.

Objective

- 1 (A) POLICIES (i) Implementation of National Urban Housing & Habitat Policy 2007
- 2 (ii) Adoption of National Policy on Urban Street Vendors 2009 by the States/UTs.
- 3 (iii) To develop transparent qualified criteria and guidelines for Affordable Housing in PPP projects
- 4 (iv) To reorient regional planning with focus on peri-urban areas
- 5 (B) IMPLEMENTATION OF PROGRAMMES (i) Slum redevelopment
- 6 (ii) Slum-free City Programme for Inclusive Urban Growth
- 7 (iii) Construction of new latrines where there are none
- 8 (iv) Promoting affordable home building finance for Economically Weaker Sections(EWS)/Low Income Group (LIG)
- 9 (v) Facilitating Generation of Employment and Imparting Skill Training to Urban Poor.
- 10 (C) ADVOCACY (i) Advocacy and Capacity Building for Programme achievement
- 11 (ii) Change in Rental laws to promote house building.
- 12 (iii) Regulatory Controls for transparency on Real Estate construction.
- 13 (iv) Amendment to Employment of Manual Scavengers and Construction of Dry Latrines (Prohibition) Act, 1993
- 14 (v) Sharing information with the public

Functions

- 1 Formulation of housing policy and programme (except rural housing which is assigned to the Department of Rural Development), review of the implementation of the Plan Schemes, collection and dissemination of data on housing, building materials and techniques, general measures for reduction of building costs and nodal responsibility for National Housing Policy.
- 2 Human Settlements including the UNHABITAT and International Cooperation and Technical Assistance in the field of Housing and Human Settlements.
- 3 Urban Development including Slum Clearance Schemes and the Jhuggi and Jhopri Removal Schemes, International Cooperation and technical assistance in the field of Housing and Human Settlements.
- 4 National Cooperative Housing Federation.

Section 1: Vision, Mission, Objectives and Functions

- 5 Implementation of the specific programmes of Urban Employment and Urban Poverty Alleviation including other programmes evolved from time to time.
- 6 All matters relating to the Housing and Urban Development Corporation (HUDCO) other than those relating to Urban Infrastructure.

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
[1] (A) POLICIES (i) Implementation of National Urban Housing & Habitat Policy 2007	4.00	[1.1] (ii) Advocacy with States for them to prepare their State Housing & Urban Habitat Policies.	[1.1.1] (i) Organising a national level advocacy meeting	Date	1.00	31/10/2011	30/11/2011	31/12/2011	31/01/2012	29/02/2012
			[1.1.2] (ii) Approval of the Habitat Policy by States.	Number	3.00	4	3	2	1	0
[2] (ii) Adoption of National Policy on Urban Street Vendors 2009 by the States/UTs.	8.00	[2.1] (i) Advocacy with States for adoption of National Policy on Urban Street Vendors & Preparation of Action Plan for Implementation	[2.1.1] Organizing National/Regional level Consultations with States/UTs and other stakeholders & Drawing Plans of Action.	Number	2.00	4	3	2	1	0
		[2.2] (ii) Advocacy with States for developing a legal framework for street Vending, taking into account the Model Bill.	[2.2.1] Number of additional States preparing Bill for Regulation of Street Vending by 31st March, 2012.	Number	3.00	4	3	2	1	0
		[2.3] (iii) Advocacy with States for demarcation of 'Vending', Restricted 'Vending' and 'No Vending' Zones	[2.3.1] No of Cities/Towns undertaking Demarcation	Number	3.00	10	8	6	4	2
[3] (iii) To develop transparent qualified criteria and guidelines for Affordable Housing in PPP projects	2.00	[3.1] Meetings of the Task Force	[3.1.1] Holding of Two meetings of the Task Force	Date	1.00	30/09/2011	31/10/2011	30/11/2011	31/12/2011	31/01/2012
		[3.2] Formulation of guidelines	[3.2.1] Circulation of the Guidelines to all States	Date	1.00	30/11/2011	31/12/2011	31/01/2012	29/02/2012	31/03/2012
[4] (iv) To reorient regional planning with focus on peri-urban areas	1.00	[4.1] Constitution of an inter-ministerial expert committee	[4.1.1] Constitution of an inter-ministerial expert committee	Date	0.50	31/08/2011	30/09/2011	31/10/2011	30/11/2011	31/12/2011

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
		[4.2] Meetings of the inter-ministerial expert committee	[4.2.1] Holding of meetings of the Inter-Ministerial Committee	Number	0.50	4	3	2	1	0
[5] (B) IMPLEMENTATION OF PROGRAMMES (i) Slum redevelopment	10.00	[5.1] (i) Monitoring Completion of Houses under BSUP and IHSDP	[5.1.1] No. of Houses Completed during the year	Number	5.00	200000	180000	160000	140000	120000
		[5.2] (ii) Analysis of Third Party Inspection Reports from States/UTs by TPIM Cells	[5.2.1] Number of reports	Number	2.00	400	350	300	250	200
		[5.3] (iii) Undertaking Monitoring Visits by Monitoring/ Central TPIM Agencies & Issue of Advisory where required	[5.3.1] Number of Projects	Number	2.00	180	160	145	135	110
		[5.4] iv) Social Audit Pilot reports of 6 Cities and issue of advisory	[5.4.1] Circulation of Social Audit Pilot reports and issue of advisory to States/ UTs	Date	1.00	31/12/2011	31/01/2012	29/02/2012	15/03/2012	31/03/2012
[6] (ii) Slum-free City Programme for Inclusive Urban Growth	13.00	[6.1] (i) Finalisation of Rajiv Awas Yojana	[6.1.1] Approval of Scheme	Date	2.00	30/06/2011	31/07/2011	31/08/2011	30/09/2011	31/10/2011
		[6.2] (ii) Model Bill on Property Rights for Slum Dwellers	[6.2.1] Draft Model Bill	Date	1.00	31/07/2011	31/08/2011	30/09/2011	31/10/2011	30/11/2011
		[6.3] iii) Development of Community Participation Guidelines under RAY	[6.3.1] Circulation of Guidelines to all the States	Date	2.00	30/06/2011	31/07/2011	31/08/2011	30/09/2011	31/10/2011
		[6.4] iv) Development of Planning Guidelines for preparation of Slum Free City Plan	[6.4.1] Circulation of Guidelines to all the States	Date	2.00	30/06/2011	31/07/2011	31/08/2011	30/09/2011	31/10/2011
		[6.5] v) Development of Slum-free City Plans	[6.5.1] Number of cities submitting draft Slum Free City Plan	Number	2.00	5	4	3	2	1

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
		[6.6] vi) Establishment of Mortgage Risk Guarantee Fund	[6.6.1] Setting up of Fund	Date	2.00	30/11/2011	31/12/2011	31/01/2012	29/02/2012	31/03/2012
		[6.7] vii) Sanction of projects under RAY	[6.7.1] Number of projects sanctioned	Number	2.00	5	4	3	2	1
[7] (iii) Construction of new latrines where there are none	4.00	[7.1] Pursuing, obtaining and approving proposal from states for new latrines.	[7.1.1] No. of units sanctioned by September, 2011.	Number	2.00	38000	35000	32000	29000	26000
			[7.1.2] No. of units completed during the year.	Number	2.00	25000	23000	21000	19000	17000
[8] (iv) Promoting affordable home building finance for Economically Weaker Sections(EWS)/Low Income Group (LIG)	2.00	[8.1] Approval of the proposal received from the Chief nodal agencies for release of subsidy and holding consultations under ISHUP	[8.1.1] No. of units financed	Number	2.00	15000	13500	12000	10500	9000
[9] (v) Facilitating Generation of Employment and Imparting Skill Training to Urban Poor.	11.00	[9.1] (i) Assistance for gainful self-employment	[9.1.1] Number of Persons assisted	Number	3.00	60000	50000	40000	30000	20000
		[9.2] ii) Imparting of Skill Training to Urban Poor	[9.2.1] Number of Persons imparted Skill Training	Number	5.00	220000	200000	180000	160000	140000
		[9.3] iii) To assess the extent of gainful employment and usefulness of skill training imparted.	[9.3.1] To undertake Concurrent Evaluation on Pilot basis in 5 states	Date	2.00	25/02/2012	10/03/2012	15/03/2012	20/03/2012	31/03/2012
		[9.4] iv) To organize National/ Regional Meets with SJSRY Beneficiaries	[9.4.1] Organising 2 Meetings /Melas with SJSRY Beneficiaries along with exhibition of products manufactured / sold by SHGs	Date	1.00	25/02/2012	10/03/2012	15/03/2012	20/03/2012	31/03/2012

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
[10] (C) ADVOCACY (i) Advocacy and Capacity Building for Programme achievement	16.00	[10.1] (i) Conduct Capacity Building Programmes for Urban Poverty Reduction Strategies Under RAY with the support of DFID assisted SNPUPR Project.	[10.1.1] Number of persons trained through Capacity Building Programmes to be conducted in 20 select cities	Number	1.00	1000	800	600	400	200
		[10.2] ii) Capacity Building of NNRCs for strategic policy formulation and implementation through WB assisted CBUD project	[10.2.1] Number of NNRCs strengthened through updation of curriculum and training material	Number	1.00	5	4	3	2	1
		[10.3] (iii) Capacity Building Programmes in Project Management and Reform Implementation under BSUP & IHSDP, assess Quality & Relevance of Training conducted	[10.3.1] Number of persons to be trained through National /Regional/ State level Capacity Building programmes to be conducted	Number	2.00	500	400	350	300	250
		[10.4] (iv) Capacity Building Programmes in Quality Assurance through Third Party Inspection and Monitoring Agencies and Social Audit through Workshops, assess Quality & Relevance of Training conducted	[10.4.1] Number of persons to be trained through National /Regional/ State level Capacity Building programmes to be conducted	Number	2.00	500	400	350	300	250
		[10.5] v) Training in collection of Data on Housing and building construction, slum survey, HSUI, assess quality & relevance of training conducted	[10.5.1] Number of persons to be trained through National /Regional Capacity Building programmes to be conducted	Number	2.00	500	450	350	300	250

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
		[10.6] vi) Training in Urban Governance, and Management and Municipal Finance, assess quality & Relevance of training conducted	[10.6.1] Number of persons to be trained through National/ Regional/ State level Capacity Building programmes to be conducted	Number	2.00	500	400	350	300	250
		[10.7] vii) Training in JNNURM Tracking System, Slum MIS and Other e- Tools, such as HSUI/BRIKS, etc. assess Quality & Relevance of Training conducted	[10.7.1] Number of persons to be trained through National/ Regional Capacity Building programmes to be conducted	Number	2.00	500	450	350	300	250
		[10.8] viii) Conduct Capacity Building Programmes for Skill Development of the Urban Poor, assess Quality & Relevance of Training conducted	[10.8.1] Number of persons to be trained through National /Regional Capacity Building programmes to be conducted	Number	2.00	550	400	350	300	250
		[10.9] (ix) Training in Implementation of SJSRY/Street Vendors' Policy - assess Quality & relevance of Training conducted	[10.9.1] Number of persons to be trained through National / Regional Capacity Building programmes to be conducted	Number	2.00	1000	900	800	700	600
[11] (ii) Change in Rental laws to promote house building.	4.00	[11.1] Preparation of a Model Rent Bill for Residential Tenancies together with the State Governments / other stake holders.	[11.1.1] (ii) Circulation of draft bill for Residential Tenancies	Date	4.00	31/10/2011	30/11/2011	31/12/2011	01/01/2012	29/02/2012

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
[12] (iii) Regulatory Controls for transparency on Real Estate construction.	5.00	[12.1] Preparation of a draft legislation on regulation of Real Estate construction	[12.1.1] (ii) Circulation of draft bill to Central Ministry	Date	4.00	31/08/2011	30/09/2011	31/10/2011	30/11/2011	31/12/2011
			[12.1.2] (iii) Approval by the Cabinet	Date	1.00	30/11/2011	31/12/2011	31/01/2012	29/02/2012	31/03/2012
[13] (iv) Amendment to Employment of Manual Scavengers and Construction of Dry Latrines (Prohibition) Act, 1993	2.00	[13.1] Manual Scavengers Act	[13.1.1] (i) Finalisation of draft amendment to Manual Scavengers Act 1993.	Date	1.00	31/07/2011	31/08/2011	30/09/2011	31/10/2011	30/11/2011
			[13.1.2] (ii) Circulation to State Governments for their recommendations through State Legislative	Date	1.00	31/08/2011	30/09/2011	31/10/2011	30/11/2011	31/12/2011
[14] (v) Sharing information with the public	3.00	[14.1] Framing & Implementing Media Plan	[14.1.1] Periodic sharing of progress through website Releases & Press Meetings	Number	3.00	6	5	4	3	2
* Efficient Functioning of the RFD System	3.00	Timely submission of Draft for Approval	On-time submission	Date	2.0	07/03/2011	08/03/2011	09/03/2011	10/03/2011	11/03/2011
		Timely submission of Results	On- time submission	Date	1.0	01/05/2012	03/05/2012	04/05/2012	05/05/2012	06/05/2012
* Improving Internal Efficiency / Responsiveness / Service delivery of Ministry / Department	10.00	Implementation of Sevottam	Resubmission of revised draft of Citizens' / Clients' Charter	Date	2.0	15/12/2011	20/12/2011	25/12/2011	28/12/2011	31/12/2011
			Independent Audit of Implementation of Grievance Redress Mechanism	%	2.0	100	95	90	85	80
			Ensure compliance with Section 4(1) (b) of the RTI Act, 2005	No. of items on which information is uploaded by February 10, 2012	No	2.0	16	15	14	13

* Mandatory Objective(s)

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
		Identify potential areas of corruption related to departmental activities and develop an action plan to mitigate them	Finalize an action plan to mitigate potential areas of corruption.	Date	2.0	10/02/2012	15/02/2012	20/02/2012	24/02/2012	29/02/2012
		Develop an action plan to implement ISO 9001 certification	Finalize an action plan to implement ISO 9001 certification	Date	2.0	10/02/2012	15/02/2012	20/02/2012	24/02/2012	29/02/2012
* Ensuring compliance to the Financial Accountability Framework	2.00	Timely submission of ATNS on Audit Paras of C&AG	Percentage of ATNS submitted within due date (4 months) from date of presentation of Report to Parliament by CAG during the year.	%	0.5	100	90	80	70	60
		Timely submission of ATRs to the PAC Sectt. on PAC Reports.	Percentage of ATRs submitted within due date (6 months) from date of presentation of Report to Parliament by PAC during the year.	%	0.5	100	90	80	70	60
		Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before 31.3.2011.	Percentage of outstanding ATNs disposed off during the year.	%	0.5	100	90	80	70	60
		Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2011	Percentage of outstanding ATRs disposed off during the year.	%	0.5	100	90	80	70	60

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success	Unit	Actual Value FY 09/10	Actual Value FY 10/11	Target Value FY 11/12	Projected Value for FY 12/13	Projected Value for FY 13/14
[1] (A) POLICIES (i) Implementation of National Urban Housing & Habitat Policy 2007	[1.1] (ii) Advocacy with States for them to prepare their State Housing & Urban Habitat Policies.	[1.1.1] (i) Organising a national level advocacy meeting	Date	--	19/07/2010	31/10/2011	30/11/2012	30/11/2013
		[1.1.2] (ii) Approval of the Habitat Policy by States.	Number	--	--	4	3	3
		[1.1.2] (ii) Approval of the Habitat Policy by States.	Number	--	--	4	4	4
[2] (ii) Adoption of National Policy on Urban Street Vendors 2009 by the States/UTs.	[2.1] (i) Advocacy with States for adoption of National Policy on Urban Street Vendors & Preparation of Action Plan for Implementation	[2.1.1] Organizing National/Regional level Consultations with States/UTs and other stakeholders & Drawing Plans of Action.	Number	--	--	4	6	7
		[2.1.1] Organizing National/Regional level Consultations with States/UTs and other stakeholders & Drawing Plans of Action.	Number	--	2	4	4	5
	[2.2] (ii) Advocacy with States for developing a legal framework for street Vending, taking into account the Model Bill.	[2.2.1] Number of additional States preparing Bill for Regulation of Street Vending by 31st March, 2012.	Number	--	4	4	8	10
		[2.2.1] Number of additional States preparing Bill for Regulation of Street Vending by 31st March, 2012.	Number	--	--	2	3	4

Section 3: Trend Values of the Success Indicators

Objective	Action	Success	Unit	Actual Value FY 09/10	Actual Value FY 10/11	Target Value FY 11/12	Projected Value for FY 12/13	Projected Value for FY 13/14
	[2.3] (iii) Advocacy with States for demarcation of 'Vending', Restricted 'Vending' and 'No Vending' Zones	[2.3.1] No of Cities/Towns undertaking Demarcation	Number	--	--	10	12	15
[3] (iii) To develop transparent qualified criteria and guidelines for Affordable Housing in PPP projects	[3.1] Meetings of the Task Force	[3.1.1] Holding of Two meetings of the Task Force	Date	--	--	30/09/2011	--	--
	[3.2] Formulation of guidelines	[3.2.1] Circulation of the Guidelines to all States	Date	--	--	30/11/2011	--	--
[4] (iv) To reorient regional planning with focus on peri-urban areas	[4.1] Constitution of an inter-ministerial expert committee	[4.1.1] Constitution of an inter-ministerial expert committee	Date	--	--	31/08/2011	--	--
	[4.2] Meetings of the inter-ministerial expert committee	[4.2.1] Holding of meetings of the Inter-Ministerial Committee	Number	--	--	5	6	7
		[4.2.1] Holding of meetings of the Inter-Ministerial Committee	Number	--	--	4	--	--
[5] (B) IMPLEMENTATION OF PROGRAMMES (i) Slum redevelopment	[5.1] (i) Monitoring Completion of Houses under BSUP and IHSDP	[5.1.1] No. of Houses Completed during the year	Number	212687	153160	200000	200000	300000
	[5.2] (ii) Analysis of Third Party Inspection Reports from States/UTs by TPIM Cells	[5.2.1] Number of reports	Number	--	370	400	--	--
	[5.3] (iii) Undertaking Monitoring Visits by Monitoring/ Central TPIM Agencies & Issue of Advisory where	[5.3.1] Number of Projects	Number	--	155	180	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success	Unit	Actual Value FY 09/10	Actual Value FY 10/11	Target Value FY 11/12	Projected Value for FY 12/13	Projected Value for FY 13/14
	required							
	[5.4] iv) Social Audit Pilot reports of 6 Cities and issue of advisory	[5.4.1] Circulation of Social Audit Pilot reports and issue of advisory to States/ UTs	Date	--	--	31/12/2011	--	--
[6] (ii) Slum-free City Programme for Inclusive Urban Growth	[6.1] (i) Finalisation of Rajiv Awas Yojana	[6.1.1] Approval of Scheme	Date	--	--	30/06/2011	--	--
	[6.2] (ii) Model Bill on Property Rights for Slum Dwellers	[6.2.1] Draft Model Bill	Date	--	--	31/07/2011	--	--
	[6.3] iii) Development of Community Participation Guidelines under RAY	[6.3.1] Circulation of Guidelines to all the States	Date	--	--	30/06/2011	--	--
	[6.4] iv) Development of Planning Guidelines for preparation of Slum Free City Plan	[6.4.1] Circulation of Guidelines to all the States	Date	--	--	30/06/2011	--	--
	[6.5] v) Development of Slum-free City Plans	[6.5.1] Number of cities submitting draft Slum Free City Plan	Number	--	2	5	8	16
	[6.6] vi) Establishment of Mortgage Risk Guarantee Fund	[6.6.1] Setting up of Fund	Date	--	--	30/11/2011	--	--
	[6.7] vii) Sanction of projects under RAY	[6.7.1] Number of projects sanctioned	Number	--	--	5	8	16
[7] (iii) Construction of new latrines where there are none	[7.1] Pursuing, obtaining and approving proposal from states for new latrines.	[7.1.1] No. of units sanctioned by September, 2011.	Number	--	--	30/11/2010	--	--
		[7.1.1] No. of units sanctioned by September, 2011.	Number	--	--	38000	35000	35000

Section 3: Trend Values of the Success Indicators

Objective	Action	Success	Unit	Actual Value FY 09/10	Actual Value FY 10/11	Target Value FY 11/12	Projected Value for FY 12/13	Projected Value for FY 13/14
		[7.1.2] No. of units completed during the year.	Number	--	--	25000	23000	--
8 (iv) Promoting affordable home building finance for Economically Weaker Sections(EWS)/Low Income Group (LIG)	[8.1] Approval of the proposal received from the Chief nodal agencies for release of subsidy and holding consultations under ISHUP	[8.1.1] No. of units financed	Number	--	--	110000	200000	--
		[8.1.1] No. of units financed	Number	603	5781	15000	13500	--
9 (v) Facilitating Generation of Employment and Imparting Skill Training to Urban Poor.	[9.1] (i) Assistance for gainful self-employment	[9.1.1] Number of Persons assisted	Number	--	57926	35000	35000	35000
		[9.1.1] Number of Persons assisted	Number	--	50775	60000	70000	80000
	[9.2] ii) Imparting of Skill Training to Urban Poor	[9.2.1] Number of Persons imparted Skill Training	Number	--	135615	180000	180000	180000
		[9.2.1] Number of Persons imparted Skill Training	Number	--	181128	220000	250000	275000
	[9.3] iii) To assess the extent of gainful employment and usefulness of skill training imparted.	[9.3.1] To undertake Concurrent Evaluation on Pilot basis in 5 states	Date	--	--	25/02/2012	--	--
	[9.4] iv) To organize National/ Regional Meets with SJSRY Beneficiaries	[9.4.1] Organising 2 Meetings /Melas with SJSRY Beneficiaries along with exhibition of products manufactured / sold by SHGs	Date	--	--	25/02/2012	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success	Unit	Actual Value	Actual Value	Target Value	Projected Value for	Projected Value for
				FY 09/10	FY 10/11	FY 11/12	FY 12/13	FY 13/14
[10 (C) ADVOCACY (i) Advocacy and Capacity Building for Programme achievement	[10.1](i) Conduct Capacity Building Programmes for Urban Poverty Reduction Strategies Under RAY with the support of DFID assisted SNPUPR Project.	[10.1.1] Number of persons trained through Capacity Building Programmes to be conducted in 20 select cities	Number	--	--	500	500	500
		[10.1.1] Number of persons trained through Capacity Building Programmes to be conducted in 20 select cities	Number	--	--	1000	800	800
	[10.2]ii) Capacity Building of NNRCs for strategic policy formulation and implementation through WB assisted CBUD project	[10.2.1] Number of NNRCs strengthened through updation of curriculum and training material	Number	--	--	5	6	8
	[10.3](iii) Capacity Building Programmes in Project Management and Reform Implementation under BSUP & IHSDP, assess Quality & Relevance of Training conducted	[10.3.1] Number of persons to be trained through National /Regional/ State level Capacity Building programmes to be conducted	Number	--	450	500	--	--
	[10.4](iv) Capacity Building Programmes in Quality Assurance through Third Party Inspection and Monitoring Agencies and Social Audit through Workshops,	[10.4.1] Number of persons to be trained through National /Regional/ State level Capacity Building programmes to be conducted	Number	--	566	500	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success	Unit	Actual Value	Actual Value	Target Value	Projected Value for	Projected Value for
				FY 09/10	FY 10/11	FY 11/12	FY 12/13	FY 13/14
	assess Quality & Relevance of Training conducted							
	[10.5]v) Training in collection of Data on Housing and building construction, slum survey, HSUI, assess quality & relevance of training conducted	[10.5.1] Number of persons to be trained through National /Regional Capacity Building programmes to be conducted	Number	--	600	500	--	--
	[10.6]vi) Training in Urban Governance, and Management and Municipal Finance, assess quality & Relevance of training conducted	[10.6.1] Number of persons to be trained through National/ Regional/ State level Capacity Building programmes to be conducted	Number	--	--	500	500	500
		[10.6.1] Number of persons to be trained through National/ Regional/ State level Capacity Building programmes to be conducted	Number	--	500	500	--	--
	[10.7]vii) Training in JNNURM Tracking System, Slum MIS and Other e- Tools, such as HSUI/BRIKS, etc. assess Quality & Relevance of Training conducted	[10.7.1] Number of persons to be trained through National/ Regional Capacity Building programmes to be conducted	Number	--	--	500	500	500
		[10.7.1] Number of persons to be trained through National/ Regional Capacity Building programmes to be conducted	Number	--	681	500	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success	Unit	Actual Value FY 09/10	Actual Value FY 10/11	Target Value FY 11/12	Projected Value for FY 12/13	Projected Value for FY 13/14
	[10.8]viii) Conduct Capacity Building Programmes for Skill Development of the Urban Poor, assess Quality & Relevance of Training conducted	[10.8.1] Number of persons to be trained through National /Regional Capacity Building programmes to be conducted	Number	--	550	550	700	800
	[10.9](ix) Training in Implementation of SJSRY/Street Vendors' Policy - assess Quality & relevance of Training conducted	[10.9.1] Number of persons to be trained through National / Regional Capacity Building programmes to be conducted	Number	--	--	1000	1000	1000
		[10.9.1] Number of persons to be trained through National / Regional Capacity Building programmes to be conducted	Number	--	1000	1000	1350	1500
[11] (ii) Change in Rental laws to promote house building. ¹	[11.1]Preparation of a Model Rent Bill for Residential Tenancies together with the State Governments / other stake holders.	[11.1.1] (ii) Circulation of draft bill for Residential Tenancies	Date	--	--	31/10/2011	--	--
[12] (iii) Regulatory Controls for transparency on Real Estate construction. ²	[12.1]Preparation of a draft legislation on regulation of Real Estate construction	[12.1.1] (ii) Circulation of draft bill to Central Ministry	Date	--	--	31/08/2011	--	--
		[12.1.2] (iii) Approval by the Cabinet	Date	--	--	30/11/2011	--	--
[13] (iv) Amendment to Employment of Manual Scavengers and Construction of Dry Latrines (Prohibition) Act, 1993 ³	[13.1]Manual Scavengers Act	[13.1.1] (i) Finalisation of draft amendment to Manual Scavengers Act 1993.	Date	--	--	31/07/2011	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success	Unit	Actual Value FY 09/10	Actual Value FY 10/11	Target Value FY 11/12	Projected Value for FY 12/13	Projected Value for FY 13/14
		[13.1.2] (ii) Circulation to State Governments for their recommendations through State Legislative	Date	--	--	31/08/2011	--	--
[14 (v) Sharing information with the public 4	[14.1] Framing & Implementing Media Plan	[14.1.1] Periodic sharing of progress through website Releases & Press Meetings	Number	--	6	6	--	--
* Efficient Functioning of the RFD System	Timely submission of Draft for Approval	On-time submission	Date	30/11/2009	05/03/2010	07/03/2011	--	--
	Timely submission of Results	On- time submission	Date	10/05/2010	04/05/2011	01/05/2012	--	--
* Improving Internal Efficiency / Responsiveness / Service delivery of Ministry / Department	Implementation of Sevottam	Resubmission of revised draft of Citizens' / Clients' Charter	Date	--	--	20/12/2011	--	--
		Independent Audit of Implementation of Grievance Redress Mechanism	%	--	--	100	--	--
	Ensure compliance with Section 4(1) (b) of the RTI Act, 2005	No. of items on which information is uploaded by February 10, 2012	No	--	--	10/12/2012	--	--
	Identify potential areas of corruption related to departmental activities and develop an action plan to mitigate them	Finalize an action plan to mitigate potential areas of corruption.	Date	--	--	10/12/2012	--	--
	Develop an action plan to implement ISO 9001 certification	Finalize an action plan to implement ISO 9001 certification	Date	--	--	10/12/2012	--	--
* Ensuring compliance to the Financial Accountability Framework	Timely submission of ATNS on Audit Paras of C&AG	Percentage of ATNS submitted within due date (4 months) from	%	--	100	100	--	--

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success	Unit	Actual Value FY 09/10	Actual Value FY 10/11	Target Value FY 11/12	Projected Value for FY 12/13	Projected Value for FY 13/14
		date of presentation of Report to Parliament by CAG during the year.						
	Timely submission of ATRs to the PAC Sectt. on PAC Reports.	Percentage of ATRs submitted within due date (6 months) from date of presentation of Report to Parliament by PAC during the year.	%	--		100	--	--
	Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before 31.3.2011.	Percentage of outstanding ATNs disposed off during the year.	%	--	45.45	100	--	--
	Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2011	Percentage of outstanding ATRs disposed off during the year.	%	--		100	--	--

* Mandatory Objective(s)

Section 4: Description and Definition of Success Indicators

- JNNURM was launched in December, 2005. The Mission period is 2005-12. A Mission target of 15 lac houses was fixed based on the funds allocation. No annual target was fixed. The focus in the year 2005-06 to 2008-09 was on sanction of as many houses as possible so that these may be completed by year 2012. Focus has shifted to monitoring the progress of already sanctioned project and almost all the balance ACA has been committed. That is the reason the number of new houses not been included.

- Since the sanction of new houses has reached a saturation level therefore the focus this year is on the review of projects, quality of project through TPIM Reports and monitoring for successful completion of these projects through Social Audit pilots.

- Given the complex and multi- dimensional issues pertaining to urbanization in the country, a need is perceived to systematize the data collection and preparation of Slums, Slum Household, Livelihoods profiles of the cities/towns for effective design, implementation, monitoring and evaluation of schemes. The data so collected will also strengthen the central statistical systems pertaining to urban poverty, slums and livelihoods for a better understanding of the related issues.

- India is passing through a rapid phase of urbanization, thereby creating a huge demand for developmental activities. This in turn leads to huge infrastructure development activities on the supply side .The rising demand for the same has been instrumental in influencing trends in the prices of building materials. The data on the same would reflect the emerging trends in the labour market as well and will finally be an input for effective policy making process.

- The Ministry of HUPA in collaboration with Reserve bank of India is engaged in development of Housing Start-up Index in the country. HSUI is internationally considered to be one of the leading economic indicators. It captures the movement of the economy and reflects the phase of the business cycle-boom and recession. HSUI has powerful multiplier effect in the economy and across the markets. This is for the first time that the compilation of Housing Start-Up Index is being considered in India. For the development of HSUI, it is essential for the cities and towns to prepare database on building permits issued for housing construction in a city/town.

- The scheme of ISHUP was undertaken on a pilot basis to provide interest subsidy to LIG and EWS loan borrowers as a measure to improve affordability and accessibility of institutional finance to those segments and also to encourage primary lending institutions i.e. commercial banks and housing finance companies to increase their outreach for better financial and social inclusion. This is a demand driven scheme.

- The aim was to provide 3.10 lakhs houses. The anticipated expenditure under the scheme was Rs. 1100 crores during the 11th Five year plan. The amount of subsidy was worked out as NPV on the basis of the notional discount rate of 9% per annum. The NPV of Interest Subsidy per beneficiary averages to Rs. 34,419 for the entire period of loan.

- The scheme had certain inherent assumptions viz.
 - a. The individual EWS/LIG beneficiary has a clear title to the land;
 - b. The land is mortgagable
 - c. The cost of construction/purchase of a house in urban areas is not more than Rs. 1 lakh/1.60 lakh
 - d. The beneficiary has got the plan approved by competent authorities for construction
 - e. The beneficiary has the authenticated income certificates and source of regular income.

While implementing the Scheme it has come to light that these assumptions do not always hold good.

- Due to concerted efforts by this Ministry there has been generation of awareness about the scheme and several problems like that of plan approval, mortgage-ability of land, income certification etc., have been resolved in some States and a few States like Andhra Pradesh and Karnataka have dovetailed the scheme of ISHUP under their own schemes for housing.

- At present the scheme has become operational in six States namely A.P., Karnataka, Chhattisgarh, Tamil Nadu, Rajasthan, and Maharashtra. A total subsidy of Rs. 12.82 crores has been released by two CNAs covering about 6384 beneficiaries as on 31.03.2011. There have been several initiatives taken by the State Governments of Kerala and Madhya Pradesh which may shortly start showing results and it is anticipated that the scheme would take off in these States during the

Section 4: Description and Definition of Success Indicators

current year 2011-12.

- The target for the current year was proposed at a maximum of 15,000 keeping in mind the availability of budget. The proposed budget for the year 2011-12 was intimated to be Rs. 50 crore. At the rate of 34,419 the maximum that could be achieved during the current year was worked out.

- REAL ESTATE DEVELOPMENT BILL:- During January 2009 it was decided in the National conference of Ministers dealing with Housing, Urban Development and Municipal Administrations that Real Sector should be regulated and this Ministry will prepare a Model Real Estate Bill. During August 2009 the draft so prepared was put up on the website for public opinion. After duly incorporating the suggestions so made the draft was placed before wider group of States, developers and experts during June 2010. The draft which emerged was forwarded to Ministry of Law & Justice. Based on the legal advice, it was decided that the issues with the proposed legislation related to entry No. 6, 7 and 46 of the list 3 in the Constitution and the central law would be appropriate and effective enactment. In March, 2011 the draft Real Estate Development Bill has been worked out and has been forwarded to Law Ministry along with draft Cabinet Note seeking their comment whether this could be introduced in the Parliament as a Central Legislation under Concurrent List. The same is being pursued.

- Under ILCS, funds are provided by this Ministry through the State Governments for the conversion of dry latrines into sanitary latrines. However, rehabilitation of manual scavengers is not the subject matter of this Ministry. Rehabilitation of manual scavengers is looked after by M/o Social Justice and Empowerment.

- The preparatory phase of RAY has been launched in March 2010 with the objective of undertaking careful planning for implementing the scheme once it is launched. It envisages slum survey, socio-economic survey, GIS mapping, GIS-MIS integration and preparation of plans of action for slum-free cities and states. The slum-free city plan has to be prepared in two parts; Part 1 - strategy for redevelopment of existing slums and Part II - a strategy for the prevention of creation of future slums. This preventive aspect would require the creation of affordable housing stock and to encourage this, the focus this year is on the formulation of guidelines for Affordable Housing in PPP projects and the reorientation of planning with a focus on peri-urban areas.

- In order to assure municipal governance through reforms, the M/o HUPA will develop a model for social audit. RFD (2011-12) proposes to circulate a model framework for Social Audit in this year. It is hoped that this model will be used by the states and ULBs to enhance implementation and progress while ensuring community voice and consensual decision-making.

- The Slum-free City Plans of Action are to be prepared for the entire city including all the slums within that city delineating the vision of the city and the state for inclusive urbanization, including its broad approach, the perspective plan, phasing of implementation and timelines envisaged. It is imperative that the focus, here, be on the quality of plans submitted, hence the target has been kept in mind accordingly.

- The experience of JNNURM has demonstrated the urgent need for strengthening the capacities of the ULBs and states. The National Network of Resource Centres or NNRCs are crucial partners in this capacity building endeavor. The M/o HUPA aims to strengthen the NNRCs through adequate up-dation of core curriculum and training material with the support of the World Bank and the Department for International Development (DFID).

- The National Policy on Urban Street Vendors was revised in 2009 to make it more attuned to the existing urban scenario and facilitate the hawkers and vendors in earning dignified living without undue harassment. The Policy and the Model Law has been circulated to the States for adoption of the Policy and provide a legal framework for street vending. The Ministry has adopted the strategy of advocacy through orientation workshops and consultation and persuade the States to draw a plan of action for implementing the Street Vendor Policy in their States and also formulate a legal framework in line with the Model Law circulated by this Ministry.

- Success Indicators are quantifiable measurements, agreed to beforehand, that reflect the critical success factors. The purpose of the Swarna Jayanti Shahari Rozgar Yojana (SJSRY) scheme is to provide gainful employment to the urban poor either through support in setting up of micro-enterprises for self-employment or imparting skill training to enhance their employability for better salaried employment or self-employment. The main priority of the scheme is to reduce the numbers of urban poor in the country with effective intervention in improving the living conditions of the urban poor and bring them above the poverty line. Targets are fixed both physical and financial and asked the States to furnish the quarterly Progress Reports (QPRs) and Utilization Certificates (UCs) and the information furnished by the States/UTs is the measurement

Section 4: Description and Definition of Success Indicators

criteria for estimating the success indicators. Further concurrent evaluation is being done for the scheme in 2010-2011 & 2011-12. HSMI has already initiated the exercise for the selection of independent agencies.

ABBREVIATIONS USED

BSUP	:	Basic Services to the Urban Poor
BRIKS	:	Building Related Information & Knowledge System
EWS	:	Economically Weaker Section
EFC	:	Expenditure Finance Committee
HPL	:	Hindustan Prefab Limited
HUDCO	:	Housing and Urban Development Corporation Limited.
HSMI	:	Human Settlement Management Institute
IHSDP	:	Integrated Housing and Slum Development Programme
HSUI	:	Housing Start-up Index
IPoMS	:	Integrated Poverty Monitoring System
ISHUP	:	Interest Subsidy Scheme of Housing for the Urban Poor
ILCS	:	Integrated Low Cost Sanitation Scheme
IHC	:	India Habitat Centre
ITPI	:	Institute of Town Planners, India
JNNURM	:	Jawaharlal Nehru National Urban Renewal Mission
LIG	:	Low Income Group
MIS	:	Management Information System
MIG	:	Middle Income Group
NUHHP 2007	:	National Urban Housing and Habitat Policy 2007
NHB	:	National Housing Bank
NIUA	:	National Institute of Urban Affairs
P-Budgeting	:	Pro-poor Budgeting
P-Plan	:	Pro-poor Plan
P-Accounting	:	Pro-poor Accounting
POP	:	Plan of Action
PSG	:	Policy Study Groups
RAY	:	Rajiv Awas Yojana
RBI	:	Reserve Bank of India
SJSRY	:	Swarna Jayanti Shahari Rozgar Yojana
TPIM	:	Third Party Inspection and Monitoring
ULB	:	Urban Local Bodies

Section 5:
Specific Performance Requirements from other Departments

Department	Relevant Success Indicator	What do you need?	Why do you need it?	How much you need?	What happens if you do not get it?
State/UTs	Achievement of the targets for BSUP and IHSDP	The State Governments need to prepare the projects conforming to JNNURM Guidelines and put up for the sanction of CSMC	The release of funds will depend on the sufficient number of second/ subsequent installment proposals from the State Governments, which should confirm to condition of financial utilization and implementation of reforms apart from ensuring minimum quality standards in the project. Effective implementation of reform related to poverty alleviation and slum improvement under JNNURM will need support from M/o Urban Development.	Require their full support .	Shortfall in achievements of target

State Governments and the ULBs	Achievement of targets under BSUP and IHSDP schemes.	The implementation of reforms is depending on the commitment of the State Governments and the ULBs to meet time lines agreed upon in the MOAs.	Critical input for release of future instalments under BSUP and IHSDP schemes. Effective implementation of reform related to poverty alleviation and slum improvement under JNNURM will need support from M/o Urban Development.	Require their full support .	- do -
State Governments and the ULBs	Achievement of targets under ILCS Scheme	The State Govts need to prepare projects for conversion of dry latrines under the scheme for sanction of Central Coordination Committee. States are to complete implementation of conversion by March, 2010.	The release of funds will depend on submission of new proposals and progress of approved proposals from the States.	Require their full support.	The funds will remain unutilized and target of elimination of manual scavenging by March, 2010 will not be achieved.

State Governments/ ULBs/ Banks/ HFCs	Achievement of targets under SHUP scheme	The State Govts. need to certify the eligibility of beneficiaries and also provide suitable land to them. Banks and HFCs need to put up timely proposals for claim of subsidy and route through Central Nodal Agencies namely NHB and HUDCO.	Critical input for release of Interest Subsidy to Banks/ HFCs	Require their full support	Shortfall in achievements of target
(iv) Various Ministries of GOI.	Finalization of Real Estate Bill	The comments and suggestions to be incorporated in the Bill .	The critical inputs are essential to make the Bill effective and implementable	Require their full support	Short fall in achievement of target.
(v) Ministry of Panchayati Raj and Ministry of Rural Development. Ministry of Urban Development	(i) Constitution of Inter-Ministerial Expert Committee (ii) Holding of meetings of the Inter-Ministerial Committee	Support of Regional Ministry of Panchayati Raj and Ministry of Rural Development, Ministry of Urban Development to come forward for setting up of the Committee and holding its meetings.	Regional Planning with focus on peri-urban areas requires a change in current practices of regional planning as well as proactive thinking and conversions of instruments, schemes of rural areas which are on the peripheries of cities.	Requires full support	Shortfall in achievement and target

(vi) States/UTs	i) Development of Slum-free City Plans	The State Governments ULBs need to prepare slum free city plans as per guidelines	Sanction under RAY would depend on the submission of slum free city plans and their conformance to the issued guidelines	Requires full support	Shortfall in achievement and target
	ii) Sanction of projects under RAY	The State Governments ULBs need to submit projects after approval of their slum free city plans.	The assistance under RAY would depend on submission of DPRs which would be a part of the overall slum free city plan and outline the financial outlay for slum redevelopment.	Requires full support	Shortfall in achievement and target
	iii) Preparation of a Model Rent Bill for Residential Tenancies together with the State Governments / other stakeholders.	Cooperation of the State Governments	Being a State Subject	Requires full support	Shortfall in achievement and target

Section 6: OutCome/Impact of Department/Ministry

OutCome/Impact of Department/Minist	Jointly responsible for influencing this outcome / impact with the following department (s) /	Success Indicator	FY 09/10	FY 10/11	FY 11/12	FY 12/13	FY 13/14
1 Positive impact on State housing & habitat policies and programmes towards inclusion.	State Govts	(a) New Start-ups of Social/ Small Housing under schemes (JNNURM + ISHUP targets)	213290	158941	215000	213500	300000
2 - do -	- do -	(b) Adoption of land reservation reforms by States (Unit – No. of States/ UTs)	-	-	18	24	30
3 Impacting poverty by provision of skill training to the poor for more remunerative lively hoods	State Govts	No of persons trained	-	181128	220000	250000	275000
4 Impacting urban poverty, assisting the urban poor to set up/augment livelihoods	State Govts	No. of persons assisted	-	50775	60000	70000	80000
5 Conduct of Pan India Socio Economic and Caste Survey of Urban Areas	RD, RGI, State Govts	Time in months	-	-	10	-	-