To

The Secretary (Urban Development)
Government of Uttar Pradesh,
Shri Lal Bahadur Shastri Bhawan, Uttar Pradesh
Lucknow- 226001

Subject: Release of Central Assistance under Housing for All (Urban) Pradhan Mantri Awas Yojna from National Urban Housing Fund (NUHF) – reg.

Sir,

Please refer to the sanction letter no N-11012/86/2018-HFA-I-(9056369) dated 21.02.2020 on the subject mentioned above received from Ministry of Housing & Urban Affairs (copy enclosed). In this regard, it is informed that an amount of ₹452,31,00,000/- (Rupees Four Hundred Fifty-Two Crore and Thirty-One Lakh Only) has been credited to the State Government of Uttar Pradesh, as mentioned in the sanction order as Central Assistance under Housing for All (Urban) Pradhan Mantri Awas Yojna. The amount was released through EAT module of PFMS in the account mentioned in the above order.

Thanking you,

Yours Sincerely,

(Dr. Shailesh Kr. Agrawal)
Executive Director

Encl: As above
Copy to:

1. The Chief Controller of Accounts, Ministry of Housing & Urban Affairs, Nirman Bhawan, New Delhi-110011
2. Sh. S. C. Jana, Dy. Secretary (HFA-III), Mission Director, Ministry of Housing & Urban Affairs, Nirman Bhawan, New Delhi-110011
3. The Under Secretary, HFA-I Section, Ministry of Housing & Urban Affairs, Nirman Bhawan, New Delhi-110011

[Signature]

(Dr. Shailesh Kr. Agrawal)
Executive Director
To
The Executive Director,
Building Materials & Technology Promotion Council (BMTPC)
Core 5 A, 1st Floor, India Habitat Centre,
Lodhi Road, New Delhi – 110003

Subject: Release of Rs 452,31,00,000/- (Rupees Four Hundred Fifty-Two Crore and Thirty-One Lakh Only) as 2nd installment of Central Assistance under Pradhan Mantri Awas Yojana (Urban) to the State Government of Uttar Pradesh for 179 BLC projects (out of total 438 BLC projects) approved in 38th CSMC held on 26.09.2018, from National Urban Housing Fund (NUHF) raised through Extra Budgetary Resources (EBR) borrowed from National Small Saving Fund (NSSF) for the financial year 2019-20.

Sir,

In pursuance of the constitution of National Urban Housing Fund (NUHF) in BMTPC for channelizing central assistance/subsidy under the PMAY(U), I am directed to convey the sanction of the competent authority and authorize the BMTPC for release of Rs 452,31,00,000/- (Rupees Four Hundred Fifty-Two Crore and Thirty-One Lakh Only) as 2nd installment of Central Assistance to the State Government of Uttar Pradesh for 179 BLC projects (out of total 438 BLC projects) approved in 38th CSMC meeting held on 26.09.2018, from NUHF borrowed from NSSF for creation of Capital assets under PMAY(U) for the F.Y. 2019-20. Details of the projects along with category-wise MIS entry are attached as Annexure.

2. The fund being released is based on the data entered in MIS (as on 04.02.2020) out of the list of beneficiaries approved in 38th CSMC meeting. The category-wise summary of the above said release is as under:

(Rs in lakh)

<table>
<thead>
<tr>
<th>CSMC Vertical Name</th>
<th>No. of Projects</th>
<th>No of Beneficiaries as per Annexure</th>
<th>No of beneficiaries attached in MIS as on 04.02.2020.</th>
<th>No of beneficiaries for which release has been proposed.</th>
<th>Amount if 2nd installment of Central Assistance to be released</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Other than SC/ST</td>
<td>SC</td>
<td>ST</td>
<td>Other than SC/ST</td>
</tr>
<tr>
<td>38 BLC (New)</td>
<td>156</td>
<td>56601</td>
<td>17755</td>
<td>2278</td>
<td>54204</td>
</tr>
<tr>
<td>38 BLC (Enhancement)</td>
<td>23</td>
<td>2604</td>
<td>849</td>
<td>147</td>
<td>2474</td>
</tr>
<tr>
<td>Total</td>
<td>179</td>
<td>59105</td>
<td>18604</td>
<td>2425</td>
<td>56678</td>
</tr>
</tbody>
</table>

# Project-wise details are at Annexure.

3. BMTPC shall release the amount to State Government of Uttar Pradesh within two working days through EAT module of PFMS. BMTPC shall inform the Ministry about the transfer of funds to the State/UT immediately. BMTPC shall also maintain proper record of the release made under the NUHF as per General Financial Rules, 2017 and the extant accounting procedure.

Contd..2.
Based on the decision of the said CSMC and on the recommendations made by MoHUA, the release of central assistance of Rs 452,31,00,000/- (Rupees Four Hundred Fifty-Two Crore and Thirty-One Lakh Only) for 179 BLC projects is further subject to the following terms and conditions in addition to the observations of the CSMC:

I. The releases made by BMTPC to the State/UT Government shall be kept in a separate designated account for utilization towards the implementation of the scheme and shall not form part of their general financial operations.

II. The funds shall be utilized for the purpose and for the selected categorized beneficiaries only, for which these are sanctioned. Otherwise, these funds will have to be refunded along with interest as per provisions for GFR-2017. No deviation from the framework for implementation of PMAY (U) is permissible.

III. The expenditure on the implementation of the scheme/projects will be shared between the Central and the State/ULB/Implementing Agency (IA) as committed. The committed State share should also be released within 15 days from the date of receipt of Central Share in the SLNA account. In case of shortfall of State/ULB/IA share, corresponding amount of Central share will be deducted from the subsequent instalment(s).

IV. The funds including Central and State share will thereafter be released by the State/UT Government to the implementing agencies without any delay failing which the amount would be recovered from the State/UT Government with interest, as applicable, for the period of default.

V. Necessary sanctions/approvals/compliances required under the statutory or other regulatory regime as applicable would be obtained by the authority (ies) concerned with the project(s).

VI. The State Government and implementing agencies shall put in place a monitoring system to ensure that the project(s) achieve scheduled milestone and envisaged outcomes including implementation of reforms and other conditions required under the scheme.

VII. The State Government/implementing agencies shall utilize the grant in accordance with the approved guidelines of PMAY(U) for the implementation of the Scheme.

VIII. The State Government shall furnish Utilization certificates of the Grant released in the prescribed format as per GFR – 2017 to the Ministry of Housing and Urban Affairs as provided in the scheme guidelines.

IX. Where there is an element of cash transfer to individual beneficiaries, the same may be made through PFMS/DBT mode as applicable and necessary transaction details may be updated in MIS immediately after the disbursement.

X. The State Government shall submit an authenticated certificate from the bank showing complete details of disbursement of funds to each beneficiaries before release of subsequent instalment.

XI. The State Government shall ensure the geo-tagging of all houses approved under BLC component of PMAY (U) and subsequent release by the State Government to the beneficiaries should be made in 3-4 instalments depending on the progress/stage of construction of the house.

Contd.: 3.
XII. State Government shall ensure that the specifications of the houses conform to NBC/IS Standards and that requisite infrastructure will be provided by the State Government / ULB.

XIII. State Government shall submit the Action Taken Report (ATR) on the observations/recommendations of Third Party Quality Monitoring Agency (TPQMA) for quality monitoring purpose before release of subsequent instalment.

XIV. State Government should ensure that there is no violation of Model Code of Conduct while releasing funds to Implementing Agencies/ULBs/Beneficiaries.

5. BMTPC shall adhere to the conditions stipulated in the Letter No. 5/7/2019-NS(Pt) dated 28.01.2020 and 04.02.2020 in respect of EBR raised through NSSF.

6. The expenditure is to be booked in PFMS scheme code 1989-State & UT Grants under PMAY(Urban) - EBR for Rs 452,31,00,000/- (Rupees Four Hundred Fifty-Two Crore and Thirty-One Lakh Only) for the financial year 2019-20 and will be transferred to State Govt. of Uttar Pradesh through e-payment as per the following details of bank account:

<table>
<thead>
<tr>
<th>Name of the State/UT</th>
<th>Uttar Pradesh</th>
</tr>
</thead>
<tbody>
<tr>
<td>Agency Name as per Bank Account</td>
<td>Pradhan Mantri Awas Yojana(Urban), SUDA, Lucknow</td>
</tr>
<tr>
<td>Account No</td>
<td>713401621000003</td>
</tr>
<tr>
<td>Bank Name</td>
<td>Vijya bank</td>
</tr>
<tr>
<td>Bank Address</td>
<td>A-1-33, Vijay Khand, Gomti Nagar, Lucknow</td>
</tr>
<tr>
<td>IFSC code</td>
<td>V1JB0007134</td>
</tr>
</tbody>
</table>

7. UCs in respect of the above mentioned projects have been received from the State Government.

8. As per rule 236(1) of GFR, 2017, the relevant accounts of the Grantee Institution(s)/Organization(s) shall be open to inspection by the sanctioning authority and audit, both by the Comptroller and Auditor General of India under the provision of CAG (DPC) Act 1971 and internal audit by the Principal Accounts Office of the Ministry or Department, whenever the Institution or Organization is called upon to do so.

9. This issues with the concurrence of the Finance Division vide their Note#135 dated 12.02.2020 (E File N. 9060849).

10. This sanction has been registered at S.No. 9.5 in the EBR Sanction Register of HFA Mission Directorate of the Ministry of HUA for the year 2019-20.

Yours faithfully,

(Jagdish Prasad)
Under Secretary to the Government of India
Tele No.011-23063029

Contd. 4.
Copy to:-

1. The Principal Secretary/Secretary, Urban Development/Housing Deptt, State Government of Uttar Pradesh.
3. NITI Aayog, SP Divn. / DR Divn. New Delhi.
4. O/o CGA, Mahalekha Niyantrak Bhavan, New Delhi, New Delhi
5. CCA, MoHUA.
6. DS (IFD), MoHUA.
7. DS (HFA-3), MoHUA.
8. DS, Budget Division, MoHUA.
9. PAO, MoHUA, Nirman Bhawan
10. AO (HFA), MoHUA.
11. Dy. Chief (MIS/DRMC), HFA Directorate to place this sanction at appropriate place on the Website of the Ministry.
12. PMU (MIS), HFA Directorate.

(Jagdish Prasad)
Under Secretary to the Government of India
Tele No.011-23063029
पत्रांक 1862/50/72/एक/2018-19
दिनांक: 15 जुलाई, 2019

सेवा में,

अवर सचिव(एचएफएफ) मूल आवास एवं शहरी गरीबी उपशमन मंत्रालय, भारत सरकार
निर्माण भवन, नई दिल्ली।

विषय:- वित्तीय वर्ष 2018-19 में प्रधानमंत्री आवास योजना(शहरी-बीएलसी) के अन्तर्गत प्राप्त केंद्रीय
अनुदान की भन्नाशि के सापेक्ष उपयोगिता प्रमाण पत्र का प्रवर्तन।

महोदय,

कृपया निम्न विवरणानुसार भारत सरकार द्वारा वित्तीय वर्ष 2018-19 में प्रधानमंत्री आवास
योजना(शहरी-बीएलसी) के अन्तर्गत सूचा ००० को अवस्थापन की गई केंद्रीय के सापेक्ष उपयोगिता
प्रमाण पत्र निर्धारित प्रारूप पर तैयार कर प्रेषित करे।

<table>
<thead>
<tr>
<th>कमांड</th>
<th>वित्तीय वर्ष</th>
<th>प्राप्त केंद्रीय अनुदान</th>
<th>पूर्व में प्रेषित उपयोगिता प्रमाण पत्र</th>
<th>वर्तमान में प्रेषित उपयोगिता प्रमाण पत्र</th>
<th>अवशेष उपयोगिता प्रमाण पत्र</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>2018-19</td>
<td>110776.200</td>
<td>00.000</td>
<td>103516.688</td>
<td>7259.512</td>
</tr>
</tbody>
</table>

संयोजक उपयोगिता प्रमाण पत्र

भवीय

(उपेक्षा, प्रतिपादक)

निदेशक

पत्रांक एवं दिनांक तदेव

प्रतिलिपि–1. विशेष सचिव, नगरीय रोजनार्थ एवं गरीबी सम्मुख आयकर मित्रान, UDA शासन को उपरोक्त
प्रेषित यूप्सी ० की छापाप्रति संलग्न कर सूचनात्मक एवं आवश्यक कार्यवाही हेतु प्रेषित है।
2. कार्यालय महालेखाकार लेखा एवं हक्कारी वित्तीय(लेखा मित्रान प्रकाश) UDA, इलाहाबाद को
उपरोक्त प्रेषित यूप्सी ० की छापाप्रति संलग्न कर सूचनात्मक एवं आवश्यक कार्यवाही हेतु प्रेषित है।
Form GFR 19 A  
[See Rule 212(1)]

Form of Utilization Certificate

Certified that out of Rs. 110776.20 lac grants-in-aid sanctioned during the year 2018-19 in favour of State Urban Development Agency U.P. under this ministry/Development letter no. given in the margin against which a sum of Rs. 103516.688 Lac has been utilized for the purpose of PMAY- (U) BLC for which it was sanctioned and that the balance Rs. 7259.512 Lac remaining unutilized, for which UC to be sent at the earliest or will be adjusted towards the grant-in-aid payable during the next year.

2- Certified that I have satisfied myself that the conditions on which the grants-in-aid was sanctioned have been duly fulfilled/are being fulfilled and that I have exercised the following checks to see that money was actually utilized for purpose for which it was sanctioned.

Kind of checks exercised.

U.C provided by Districts as per their record of account books.

<table>
<thead>
<tr>
<th>Sl No</th>
<th>Letter No. and date</th>
<th>Amount in lac</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>GOI NO.11012/86/2018-HFA-1 SECTION-UD (9056369) DATE 31.01.2019</td>
<td>16602.600</td>
</tr>
<tr>
<td>2</td>
<td>GOI NO.11012/86/2018-HFA-1 SECTION-UD (9056369) DATE 15.03.2019</td>
<td>94173.600</td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td>110776.200</td>
</tr>
</tbody>
</table>

Programme officer  
SUDA UP

Finance Controller  
SUDA UP

Director  
SUDA UP

Principal Secretary  
Urban Employment Alleviation department  
Government Uttar Pradesh
पत्रांक: 3737/50/72/एक./2018-19  

दिनांक: 19 अगस्त, 2019  

सेवा में,
अतः सचिव(एचडीएफसी)यूएसी  
आवास एवं शहरी गरीबों उपशमन मंत्रालय, भारत सरकार  
निर्माण भवन, नई दिल्ली।  

विषय–वित्तीय वर्ष 2018-19 में प्रधानमंत्री आवास योजना(शहरी–वीएलसी) के अन्तर्गत प्रावधान कंडीशन  
अनुदान के धनराशि के सापेक्ष उपयोगिता प्रमाण पत्र का प्रशिक्षा।  

महोदय,  
कृपया निम्न विकर्षणानुसार भारत सरकार द्वारा वित्तीय वर्ष 2018-19 में प्रधानमंत्री आवास  
योजना(शहरी–वीएलसी) के अन्तर्गत सूचक उपयोगिता प्रमाण पत्र निर्धारित प्रारूप पर लेखा कर प्रेषित कीजिए।  

<table>
<thead>
<tr>
<th>कार्यांक</th>
<th>वित्तीय वर्ष</th>
<th>प्रावधान कंडीशन</th>
<th>अनुदान</th>
<th>प्रयोगिता</th>
<th>प्रमाण पत्र</th>
<th>अवशेष</th>
<th>उपयोगिता</th>
<th>प्रमाण पत्र</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>2018-19</td>
<td>110776.200</td>
<td>103516.658</td>
<td>7259.512</td>
<td>00.00</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

संलग्न कृपया प्रयोगिता प्रमाण पत्र  

भव्यी  
(उपभाष श्रीमती)  
निदेशक  

पत्रांक एवं दिनांक प्रदेश  

प्रतिलिपि–1.विशेष सचिव, नगरीय रोजगार एवं गरीबी समस्या कार्यवाहक विभाग, उपरोक्त प्रेषित युगलियों की छायाप्रति सलाम का सहमान है एवं अवशेष वर्ष कार्यकालीन हैं तथा प्रेषित हैं।  
2.कार्यवाहिनी महालेखाकार लेखा एवं इतिहासी विभाग के मित्तलाई महाराष्ट्र ब्रानिम को उपरोक्त प्रेषित युगलियों की छायाप्रति सलाम का सहमान है एवं अवशेष कार्यवाहिक हैं तथा प्रेषित हैं।  

(उपभाष श्रीमती)  
निदेशक
Form GFR 19 A
[See Rule 212(1)]

Form of Utilization Certificate

Certified that out of Rs. 110776.200 Lac grants-in-aid sanctioned during the year 2018-19 in favour of State Urban Development Agency U.P. under this ministry/Development letter no. given in the margin against which a sum of Rs. 7259.512 Lac on account of unspent balance of the previous UC, a sum of Rs.7259.512 Lac has been utilized for the purpose of PMAY- (U) BLC for which it was sanctioned and that the balance Rs 00.00 Lac remaining unutilized, for which UC to be sent at the earliest or will be adjusted towards the grant-in-aid payable during the next year.

2- Certified that I have satisfied myself that the conditions on which the grants-in-aid was sanctioned have been duly fulfilled/are being fulfilled and that I have exercised the following checks to see that money was actually utilized for purpose for which it was sanctioned.

Kind of checks exercised.

U.C provided by Districts as per their record of account books.

Programme officer
SUDA UP

Finance Controller
SUDA UP

Director
SUDA UP

Principal Secretary
Urban Employment Alleviation department
Government Uttar Pradesh.