

REGISTERED POST/BY HAND

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Government of India
Ministry of Housing and Urban Poverty Alleviation
JNNURM Directorate

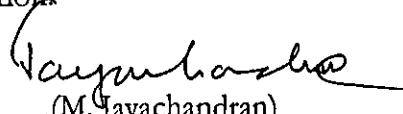
Room No. 201 G Wing
New Delhi, dated 16th September, 2009

OFFICE MEMORANDUM

The undersigned is directed to enclose herewith a copy of the minutes of the 66th meeting of the Central Sanctioning Committee of Ministry of Housing and Urban Poverty Alleviation held on 1st September, 2009 under the Chairpersonship of Secretary (HUPA) to consider and sanction projects under Integrated Housing and Slum Development Programme (IHSDP).

2. The appraisal agencies (i.e. HUDCO and BMTPC) are requested to convey the decisions of the Central Sanctioning Committee to the State implementing agency/nodal agency for IHSDP to take appropriate follow up actions as per the minutes of the meeting.

3. A copy of the minutes is forwarded to the Secretaries in-charge of BSUP and IHSDP in the States/UTs with a request to take further follow up action.


(M. Jayachandran)
Deputy Director (BSUP)
Telephone No. +91-11-23061519

Encl: Minutes of the meeting

To
Members of the CSC as follows:

1. The Secretary, Ministry of Urban Development, Nirman Bhavan, New Delhi.
2. The Secretary, Ministry of Finance, Department of Expenditure, New Delhi.
3. The Principal Adviser (HUD), Planning Commission, Yojana Bhavan, New Delhi.
4. The Secretary, Ministry of Environment and Forests, Paryavaran Bhavan, CGO Complex, Lodhi Road, New Delhi.
5. The Secretary, Ministry of Social Justice and Empowerment, Shastri Bhavan, New Delhi.
6. The Secretary, Ministry of Health and Family Welfare, Nirman Bhavan, New Delhi.
7. The Secretary, Department of School Education & Literacy, Shastri Bhavan, New Delhi.
8. The Joint Secretary and FA, Ministry of Urban Development and Ministry of HUPA, New Delhi.
9. The Chief Planner, Town and Country Planning Organisation (TCPO), I.P. Estate, New Delhi.
10. The Adviser, CPHEEO, Ministry of Urban Development, Nirman Bhavan, New Delhi.
11. The CMD, Housing and Urban Development Corporation Ltd., HUDCO Bhavan, India Habitat Centre, Lodhi Road, New Delhi.
12. The Joint Secretary (JNNURM)/Mission Director, Ministry of Housing and Urban Poverty Alleviation, New Delhi - Member-Secretary

Copy to the Secretaries in charge of Basic Services to the Urban Poor (BSUP) and Integrated Housing & Slum Development Programme (IHSDP) in the States/UTs:-

The Principal Secretary, Urban Development & Municipal Administration Department Government of Andhra Pradesh, L-Block Secretariat Hyderabad – 500 002	The Principal Secretary, Housing Department, Government of Andhra Pradesh, L-Block, A.P. Secretariat, Hyderabad – 500 002
The Secretary, Municipal Administration Department, Government of Andhra Pradesh, L-Block Secretariat, Hyderabad-500 002.	The Principal Secretary, Urban Development & Tourism, Government of Arunachal Pradesh, Civil Secretariat, Itanagar.
The Commissioner & Secretary, UD Department, Government of Assam, Assam Secretariat, Dispur, Guwahati -781 006.	The Secretary, Urban Development Department, Government of Bihar, Vikash Bhawan, New Secretariat, Patna..
The Additional Secretary & Director (BUDA), Urban Development Department, Government of Bihar, Vikash Bhawan, Patna.	The Secretary (Housing), Government of Bihar Sachivalaya Patna – 800 015
The Secretary, Urban Administration & Development Department, Government of Chhattishgarh, Room NO 316, DKS Bhawan, Mantralaya, Raipur -492 001.	The Secretary(Housing) Government of Goa, Secretariat Annexe, EDC House, Panaji- 403 001
The Principal Secretary(UD) & Housing, Government of Gujarat, Block No, 14, 9 th Floor, New Sachivalaya, Gandhinagar-382 010.	The Chief Executive Officer, Gujarat Urban Development Mission, GMFB Building, Sector-10A, Gandhinagar – 382 016.
The Commissioner & Secretary, Department of Urban Development, Government of Haryana, SCO-20 Sec.7C, Chandigarh – 160 001.	The Secretary (UD), Government of Himachal Pradesh, Shimla – 171 002
The Secretary, Housing and UD Department, Government of Jammu & Kashmir, New Secretariat, Srinagar	The Principal Secretary (Housing), Government of Himachal Pradesh, Shimla – 171 002
The Director, Urban Local Bodies Government of Jammu & Kahsmir, 151-A/D, Gandhi Nagar, Jammu.	The Secretary Urban Development Department, Government of Jharkhand, Ranchi -834 004.

The Secretary (Housing) Government of Jharkhand, Project Building, Dhurwa, Ranchi-834004	The Principal Secretary (Housing) Government of Karnataka, Room No.213, 2 nd Floor, Vikas Souda Dr. B.R. Ambedkar Road, Bangalore-560 001
The Principal Secretary to Government UD Department, Government of Karnataka Room No.436, 4 th Floor, Vikas Souda Dr. B.R.Ambedkar Road, Bangalore 560 001	The Secretary (Housing), Government of Kerala, Secretariat, Thiruvananthapuram – 695 001
The Principal Secretary, Local Self Government Department Government of Kerala Thiruvananthapuram – 695 001	The Secretary , Local Self Government, Government of Kerala, Thiruvananthapuram-695 001
The Executive Director Kudumbashree State Poverty Eradication Mission Government of Kerala 2 nd Floor, TRIDA Building, Chalakupuzhy Road, Medical College (PO), Thiruvananthapuram 695 011.	The Principal Secretary, Urban Administration and Development Department, Government of Madhya Pradesh, Mantralaya, Bhopal - 462 032
The Principal Secretary (Housing & Environment), Government of Madhya Pradesh, Mantralaya, Ballabh Bhavan, Bhopal - 462 032	The Commissioner, Urban Administration & Development, Government of Madhya Pradesh, Nagar Palika Bhavan, Shivaji Nagar Bhopal -462 016
The Principal Secretary (UD), Government of Maharashtra, Room No.425, 4 th floor Mantraalaya, Mumbai-400 032	The Principal Secretary (Housing), Government of Maharashtra, Room No.268, 2 nd Floor, Mantralaya, Mumbai-400 032
Commissioner & Secretary, Urban Affairs & Housing, Government of Meghalaya, Main Secretariat Building Shillong-793 001	The Secretary, Housing, UD & Municipal Administration, Government of Manipur, Chief Secretariat, Imphal -795 001
The Commissioner & Secretary, Urban Development & Poverty Alleviation Department, Government of Mizoram, Civil Secretariat, Aizwal-796 001.	The Principal Secretary, Urban Development Department, Government of Nagaland, Kohima – 797 001
The Commissioner & Secretary, Works & Housing, Government of Nagaland Kohima – 797 001	The Principal Secretary (Housing & UD), Government of Orissa, Orissa Secretariat, Bhubaneswar - 751 001

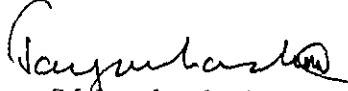
The Principal Secretary(LSG) Government of Punjab Room No.603, 6 th Floor, Mini Secretariat Sector-9, Chandigarh 160 001	The Secretary (Housing & UD) Government of Punjab, Room No.419, Mini Secretariat, Sector-9 Chandigarh 160 001
The Principal Secretary, UDH & LSG Department, Government of Rajasthan Room No. 29, Main Building, Secretariat, Jaipur	The Secretary, Local Self Government Department, Government of Rajasthan , Room No.39, SSO Building, Government Secretariat , Jaipur-302 005.
The Secretary, Department of UD & Housing, Government of Sikkim, NH 31A, Gangtok - 737 101	The Secretary (Housing & UD), Government of Tamil Nadu, Fort St. George, Secretariat, Chennai -600 009
The Secretary, Municipal Administration & Water Supply, Government of Tamil Nadu, 6 th Floor, Ezhilagam Annexe, Chepauk, Chennai- 600 009	The Secretary (UD), Government of Tripura Civil Secretariat, Pt. Nehru Complex, Agartala-799 001
The Principal Secretary (UD & MA) Government of Uttar Pradesh, Room No.825, 8 th floor, Babu Bhawan, Lucknow - 226 001	The Principal Secretary (Housing), Government of Uttar Pradesh, 325 Babu Bhawan, Lucknow - 226 001
The Director, SUDA, Government of Uttar Pradesh, Navchetna Kendra, 10, Ashok Marg, Lucknow.	The Principal Secretary (UD), Government of Uttarakhand, Uttarakhand Secretariat, 4 B, Subhash Road DEHRADUN - 248 001.
The Project Director (JNNURM), Urban Development Directorate, Government of Uttarakhand, 43/6, Mata Mandir Marg, Dharampur, Dehradun - 248 001	
The Principal Secretary (UD), Government of West Bengal, Nagarayan DF-8, Sector1, Bidhannagar, Kolkata 700 064	The Secretary (UD & Housing), Chandigarh Administration, UT Secretariat, Sector 9, Chandigarh-160 001
The Secretary (Housing), Government of Puducherry, Chief Secretariat, Puducherry-605 001	The Secretary, Local Administration Department Government of Puducherry, Chief Secretariat, Puducherry-605 001
The Principal Secretary (UD), Government of NCT of Delhi, 9 th Floor, C Wing, Delhi Secretariat, IP Estate, New Delhi.	The Additional Secretary (UD), Government of NCT of Delhi, Delhi Secretariat, IP Estate, New Delhi-110 002

The Commissioner & Secretary, (Relief & Rehabilitation), UT of Andaman & Nicobar Islands, Secretariat, Port Blair -744 101	The Secretary (Housing & UD), UT of Daman & Diu, Secretariat, Moti Daman-396 220
The Secretary (Housing & UD), UT of Dadra & Nagar Haveli, Secretariat, Silvassa- 396 220	The Chief Town Planner, Town & Country Planning Department, UT Administration of Dadra & Nagar Haveli, 2 nd Floor, Secretariat, Silvassa - 396 230.

Copy to:

1. The Joint Secretary to Hon'ble Prime Minister (Kind attention Shri R. Gopalakrishnan), PMO, South Block, New Delhi.
2. PS to Hon'ble Minister (HUPA)
3. Sr. PPS to Secretary (HUPA)
4. Joint Secretary (H), Ministry of HUPA
5. The Joint Secretary (PP), Ministry of Minority Affairs, Room No.1125, 11th Floor, Paryavaran Bhavan, CGO Complex, New Delhi.
6. The Joint Secretary (UT), Ministry of Home Affairs, North Block, New Delhi
7. Director (UPA), Ministry of HUPA
8. OSD (JNNURM), Ministry of HUPA.
9. Director (Administration), Ministry of HUPA
10. DS(JNNURM), Ministry of HUPA
11. US(JNNURM), Ministry of HUPA
12. DD(JPC), NBO, Ministry of HUPA
13. DD(Data & MIS), NBO, Ministry of HUPA
14. DD (NRC), NBO, Ministry of HUPA
15. SO (IHSDP), Ministry of HUPA
- ✓ 16. Monitoring Cell (JNNURM), Ministry of HUPA
17. The CMD, NBCC, "NBCC Bhavan", Lodhi Road, New Delhi-110 003
18. The CMD, HPL, Jangpura, New Delhi-110014
19. The Executive Director, BMTPC, Core 5 A, First Floor, India Habitat Centre, Lodhi Road, New Delhi-110 003
20. The Director (Corporate Planning), HUDCO, "HUDCO Bhavan", India Habitat Centre, Lodhi Road, New Delhi 110 003.
21. The Director, Indian Institute of Technology Roorkee, Roorkee, Uttarakhand - 247 667

Copy to:- Guard folder on JNNURM


(M. Jayachandran)
Deputy Director (BSUP)

MINUTES OF THE 66th MEETING OF THE CENTRAL
SANCTIONING COMMITTEE (CSC) OF THE
INTEGRATED HOUSING AND SLUM DEVELOPMENT
PROGRAMME (IHSDP) UNDER JAWAHARLAL NEHRU
NATIONAL URBAN RENEWAL MISSION (JNNURM)

Nirman Bhawan, New Delhi, 1st September, 2009

1. The 66th Meeting of the Central Sanctioning Committee (CSC) of the Integrated Housing & Slum Development Programme (IHSDP) under Jawaharlal Nehru National Urban Renewal Mission (JnNURM) was held under the Chairpersonship of Secretary, Ministry of Housing and Urban Poverty Alleviation in New Delhi on 1st September, 2009 (at 4 PM in MIS Centre of NBO, Room No. 120, G Wing Nirman Bhawan). The list of participants is at Annexure – I.

2.1. Mission Director/Joint Secretary (JnNURM) welcomed the Chairperson and Members of the CSC. He reiterated the instructions of Secretary (HUPA) that in all cases where first instalment is released the beneficiary lists must be finalised within one month of sanction and placed in the web site; biometric identity cards should be issued to all beneficiaries within 3 months; and Third Party Inspection & Monitoring Agencies must be engaged without any delay. He informed that inspection and monitoring should be done at three levels i.e., Urban Local Body (ULB)/Project Implementing Agency (PIA), State Level Nodal Agency (SLNA) and TPIMA. He also informed that the Central Monitoring Cell in BMTPC, in association with HUDCO, and eminent experts from reputed institutions like IIT, Roorkee, IIT, Delhi and Delhi College of Engineering will continue to have random inspections to provide feedback to the Ministry regularly. He emphasised that the Chief Executive of the State Level Nodal Agency (SLNA)/State Secretary concerned should ensure that all the projects are regularly monitored and inspected so that utmost quality is assured. Further, they should personally satisfy that the proposals placed before the CSMC/CSC for 2nd and subsequent instalments are accompanied by inspection/quality assurance/TPIM reports and are in accordance with the Guidelines of JnNURM (BSUP/IHSDP) as well as the stipulations made by the CSMC/CSC from time to time.

2.2. Mission Director/Joint Secretary (JnNURM) suggested that the State/UT Secretaries ensure that the State/UT Level Nodal Agencies/ULBs submit Quarterly/Monthly Progress Reports/Data

online through the JnNURM Projects Tracking System component of Integrated Poverty Monitoring System (IPoMS). He suggested that the authorities should make use of the Central Assistance effectively by putting in place qualified personnel to man the PMU/PIUs including MIS Cell handling the e-Tool. Mission Director/JS (JnNURM) drew attention of the States/UTs towards undertaking Capacity Building Programmes under JnNURM for which a comprehensive toolkit has been approved and placed on website. The States should submit proposals in accordance with the toolkit. He reiterated the important points emphasized by the Chairperson in the earlier meetings of CSMC/CSC for adherence/implementation by the States/UTs/ULBs (Annexure-II).

3.1. Welcoming the participants, Secretary (HUPA) and Chairperson, Central Sanctioning Committee (CSC) in her opening remarks impressed upon the States and UTs to ensure the completion of projects sanctioned as per the target dates and the specifications approved, with utmost quality and without any time cost over run. She also stressed that the amenities being provided in the colonies of the urban poor under Basic Services to the Urban Poor (BSUP) & Integrated Housing & Slum Development Programme (IHSDP) should not be inferior compared to those surrounding areas. The housing units must conform to the design guidelines issued and have two rooms, kitchen and separate bathroom, toilet, individual water and electricity connections. She laid particular emphasis on the provision of water connection and toilet to every house in the slum being upgraded. It is both insensitive and short-sighted if no toilets or individual water connection is provided to the beneficiaries and a strong view would be taken regarding release of further subsidy in such cases. The stipulations and prescriptions made by CSMC/CSC in various meetings should also be adhered to by States in letter and spirit.

3.2. Secretary (HUPA) expressed concern over the delay in release of Central share and State share by some State Finance Departments to the implementing agencies. Mission Director/JS (JNNURM) drew attention of the States towards certain cases where considerable delay in release of the Central share by the State Finance Departments was noticed and a penal interest was demanded from the defaulting states. The Chairperson advised the States to immediately release the central grant as well as the matching state share due to the SLNAs and the SLNAs in turn should release funds to implementing agencies.

3.3. Chairperson (CSC) stated that since the Centre has stopped objecting to States passing on a part of cost escalation to beneficiaries, States must help the beneficiaries to avail easy loans to put their contribution in the house. Further, any measures to cut down the specifications of the project in terms of reduction of area of house or changes in the infrastructure components to factor cost escalation should be desisted. Any such change would not only undermine the quality of the project but also would amount to disproportionate allocation of Centre's share in the total sanctioned cost. If any change becomes absolute necessary, prior approval of CSMC must be taken by submitting revised specifications to the Ministry. Downward adjustment of central share would be done accordingly.

3.4. Secretary (HUPA) reiterated that the States/UTs must ensure that the land proposed for housing is free of encumbrance; action is taken for identification of beneficiaries and issuance of biometric cards to the eligible beneficiaries within 3 months of sanction. She stated that publication of list of beneficiaries, issuance of bio-metric cards, placing beneficiary lists on web site and Third Party quality inspection report are necessary pre-conditions for claiming subsequent instalments in all on-going projects. To ensure utmost quality, the States/UTs must put in place robust Quality Assurance and Third Party Inspection and Monitoring mechanisms without any further delay. She suggested that all projects should be got inspected by independent expert teams set up by the State Level Nodal Agency (SLNA)/State Level Steering Committee regularly and a thorough review of projects be taken by State Secretaries in-charge every month. Special attention must be paid to systems of technical support for designing and estimation and supervising the construction of houses in the case of beneficiary-led execution:

3.5. Secretary (HUPA) expressed her concern over the fact that the infrastructure is lagging behind in many projects. She stated that no allotment of houses should be done till the related infrastructure is put in the place. The fourth instalment of ACA would be released only if the work on all components has started and State/ ULB and beneficiary share is committed including cost escalation. Secretary (HUPA) emphasized the need to institute a system of "social audit" to ensure social accountability in project execution and timely corrective measures based on the feedback received from the beneficiaries. Secretary(HUPA) emphasized that the States should constitute beneficiary committees and create a forum for community participation

including forum for appeal also. Further, the State should create non-lapsable BSUP fund which should be used for operation and maintenance of assets created under JNNURM. The States should actively do social counselling of beneficiaries regarding how to stay in the newly built houses. The beneficiaries should be organised into thrift and credit societies or self help groups and given orientation on common issues of maintenance. For this, videos may be prepared to highlight common areas of maintenance. In the relocation projects bus routes should be tied-up and SJSRY should also be implemented in the relocation projects.

3.6. Secretary (HUPA) stressed the need for implementation of 3 pro-poor reforms in proper spirit. She stated that 'earmarking of municipal budget for the urban poor would not achieve its purpose unless the unutilised funds are transferred to a non-lapsable fund. States should create a non-lapsable BSUP Fund in cities for this purpose. Another key reform regarding 'Earmarking of at least 20 - 25% of developed land in housing project' should not be left for the seventh year as some States like West Bengal have programmed. This reform must be completed by States this year as it is critical for the newly announced Rajiv Awas Yojana.

3.7. Secretary (HUPA) referred to newly proposed scheme of Rajiv Awas Yojana aimed at creating Slum free India. The new scheme would focus on city as the unit and an enabling framework for creating slum free cities. Each state would draw up its own plan to create such cities by assigning property rights to the slum dwellers. The details of the scheme are being worked out.

4. For the CSC Meeting following items were put up in the agenda:-

- Proposal for modification in infrastructure component for IHSDP project at Kolhapur (Ph. II), Maharashtra.
- Proposal for approval of revised central assistance for IHSDP project at Jammu & Kashmir, Rajasthan, Arunachal Pradesh, Uttar Pradesh, Jharkhand and Kerala.

Brief details of the agenda are at Annexure – III.

5. **Modification in infrastructure components of already approved project:**

OSD (JNNURM) made a presentation on the proposal for modification in infrastructure components for IHSDP project at Kolhapur (Ph.II), Maharashtra. He informed that there is no change in the overall project cost/Central Share/State share. Since the modification in infrastructure components are within the sanctioned cost limit and based on the need, the committee approved the proposal. Details of the changes, as described in the agenda, would be documented (revised layout, etc) with signature of the State/ULB. Appraisal agency (HUDCO) would obtain the same and make them part of the DPR.

6. **Upward revision in the unit cost of Dwelling Units**

6.1. OSD (JNNURM) presented the proposal for Additional Central Assistance requirement after considering increase of ceiling cost per dwelling units (DUs) for IHSDP projects approved w.e.f. 01.04.2008 for the States of Jammu & Kashmir, Rajasthan, Arunachal Pradesh, Uttar Pradesh, Jharkhand and Kerala.

6.2. The committee took into consideration the figures calculated and checked by the HUDCO and observed that figures mentioned in the abstract statement of the agenda should be verified with the data furnished by HUDCO. The Committee noted that an upward revision in the Central share (by fixing Rs.1,00,000 as the cost of dwelling unit for the projects sanctioned during 2008-09 onwards for the purpose of determining Central share) would alter the share of State/UT/ULB and the beneficiary contribution. The Committee decided that where beneficiary contribution was higher than the minimum requirement of 10-12%, the benefit of upward revision in the Central share should be passed on to them. However, where the beneficiary contribution was fixed at the minimum (10-12%) based on Rs.80,000 as the unit cost of dwelling unit, any upward revision in the beneficiary contribution should be resorted to only after assessing the capacity of the beneficiaries and the financial position of the Urban Local Bodies. In order to ensure that a conscious decision has been taken by the State/ULB in revising the cost and to see that the benefit of higher Central share is passed on to the beneficiaries the Committee decided that the appraisal agencies should get revised financial statements signed by the States/ULBs concerned. Details of in-principle

approval for upward revision in the Additional Central Assistance for the 6 States are at Annexure-IV. First instalment of Central share would be released after the States/ULBs submit (through appraisal agencies) City-wise and project-wise details of revision clearly indicating the revised amount of State/ULB share and beneficiary contribution.

6.3. The Committee also decided that similar proposals from the States which have no ACA balance under IHSDP or partial balance will be considered, but only after due approval from Planning Commission for enhancement of ACA under IHSDP for these states. The Mission Directorate will take up the issue of enhancement of required ACA under IHSDP for these States, with Planning Commission.

7. Concluding the meeting, Secretary (HUPA) & Chairperson, CSC, requested the States/UTs to take all steps to avoid time and cost overruns in projects and achieve the Mid-term and Mission targets. She expressed the view that shelter and basic amenities to the urban poor may not suffice them to move above the poverty line. She suggested that the State Governments/ULBs should make effort to dovetail the implementation of SJSRY and skill development initiatives with JNNURM. This step would provide the urban poor with access to better livelihoods and enable them to overcome poverty. Secretary (HUPA) stated that programmes for skill development, self-employment, and community empowerment are necessary to facilitate sustained improvements in the living conditions of the urban poor. Secretary (HUPA) emphasized the need for convergence of BSUP and IHSDP with other schemes such as UIG, UIDSSMT, Sarva Sikhsa Abhiyan, National Social Assistance Programme, Aam Aadmi Bima Yojana, Rashtriya Bima Yojana, SJSRY, etc. so as to move towards the goals of poverty-free, slum-free and inclusive cities.

8. Secretary (HUPA) & Chairperson, CSC desired that both internal and external quality assurance mechanisms (including TPIMA) be established by States/UTs without any loss of time to ensure that every house constructed under BSUP and IHSDP becomes a place of pride for the poor person who has been deprived of access to affordable shelter and basic amenities for so long.

9. The meeting ended with a vote of thanks to the Chair.

**LIST OF PARTICIPANTS IN THE 66th MEETING OF
CENTRAL SANCTIONING COMMITTEE (CSC) OF IHSDP HELD UNDER
THE CHAIRPERSONSHIP
OF SECRETARY (HUPA) ON 1.9.2009**

1. Ms. Kiran Dhingra, Secretary, in Chair
Ministry of Housing and Urban Poverty Alleviation
2. Shri D.S. Negi, OSD (JNNURM), Ministry of HUPA
3. Shri Vivek Nangia, Director (JNNURM), Ministry of HUPA
4. Shri V.K. Gupta, Deputy Financial Adviser, Ministry of HUPA
5. Shri K.L. Dhingra, C.M.D., HUDCO, New Delhi
6. Shri Naresh Kumar Dhiran, Town & Country Planner, T.C.P.O, Ministry of Urban
Development
7. Shri Deena Nath, Deputy Director, Department of Expenditure, Ministry of Finance
8. Shri Umraw Singh, Deputy Director (JPC), NBO, Ministry of HUPA
9. Shri Ashok Kumar Sharma, Statistical Officer (JPC), NBO, Ministry of HUPA
10. Ms. Usha P. Mahavir, Deputy Chief, HUDCO, New Delhi
11. Ms. Radha Roy, Assistant Chief, HUDCO, New Delhi
12. Shri Pankaj Gupta, Development Officer, BMTPC
13. Shri C N Jha, Development Officer, BMTPC, New Delhi
14. Capt. Subrata Mukhopadhyay, JNNURM Monitoring Cell, BMTPC

**IMPORTANT POINTS REGARDING FORMULATION,
EXECUTION & MONITORING OF BSUP AND IHSDP
PROJECTS**

CDPs and Slum Development Plans

- The first generation CDPs have not addressed the requirements of housing and infrastructure in slums/low-income settlements adequately. States/UTs should revisit their CDPs, identify all the slums in their cities and towns – both notified and non-notified, develop database, and assess the needs for both *in situ* and relocation projects. As far as possible, priority should be accorded to *in situ* development of slums, keeping the livelihood needs of the urban poor in view. Time-bound Slum Development Plans need to be prepared for all cities and towns to pursue the agenda of Slum-free Cities/Towns in view of the mandatory reform regarding the provision of entitlements and amenities to the urban poor in accordance with the 7-Point Charter of JNNURM.

Slum & Socio-economic Surveys

- Survey of slums and potential beneficiaries for coverage under BSUP and IHSDP projects is a must for the meaningful formulation of DPRs. All efforts should be made for the proper conduct of detailed slum surveys and household socio-economic surveys to identify the projects/facilities to be included under DPRs. The SLNAs concerned would be responsible for the conduct of various surveys under the guidance of the State Secretary concerned. OSD (JNNURM) will coordinate the conduct of surveys in BSUP cities/towns and other cities and towns covered / proposed to be covered under IHSDP. He will communicate necessary guidelines / formats for the conduct of Slum, Household and Livelihoods Surveys in States/UTs. Training programmes as required for effective survey works should be organised by SLNAs, coordinated by OSD (JNNURM).
- Surveys would facilitate the assessment of the felt-needs of slum-dwellers/urban poor, especially for housing and physical and social infrastructure including schools, health care centres and other social /community facilities like community halls, common facility centres etc. Such surveys should cover health, education and livelihood profiles of the urban poor. The survey findings must be utilized for designing good BSUP/IHSDP projects, taking into account important aspects such as dependency load in the existing schools, capacity in hospitals for in- and out-patients, need for multi-purpose community centres including livelihood centres, informal sector

markets, etc. depending on the occupational profiles of the beneficiaries and the likely numbers.

- In case there is time constraint, *regular slum survey and household socio-economic survey* can be preceded by *rapid slum and socio-economic surveys* for the purposes of assessing the state of basic amenities in slums and the felt-needs of beneficiaries, duly considering their broad social, economic, educational and skill profiles, main and subsidiary occupations etc. so that appropriate physical and social infrastructure facilities can be proposed in the DPRs.
- Each DPR should be accompanied by a list of beneficiaries based on the socio-economic survey. Efforts should be made to develop slums inhabited predominantly by SCs, STs and other weaker sections living in sub-human conditions. States/ULBs should ensure that houses under BSUP and IHSDP are provided to the needy and the properly targeted sections. The list of beneficiaries should be notified and placed in the website of the ULB/JNNURM.
- States/UTs should go in for issues of bio-metric identity cards to beneficiaries based on the socio-economic survey and computerization of data/records. This is to ensure that they do not sell the dwelling units and squat elsewhere. Further, the States/ULBs may impose conditions that the houses constructed under JNNURM cannot be transferred over a specified period or that the same would be on a long-term lease. The possibility of sale/alienation/misuse of housing units constructed under BSUP and IHSDP should be prevented.

Consultation with Beneficiaries

- Consultation with beneficiaries is a must before deciding on preparation of DPRs. Willingness of the beneficiaries should be taken for any rehabilitation/relocation projects proposed and also for payment of beneficiary contribution.
- Affordability of the urban poor should be kept foremost in view while working out beneficiary contribution. Any contribution amount beyond their financial capacity may lead to the imposition of undue burden on them. Therefore, special care needs to be taken while deciding upfront beneficiary contribution or EMI payment. States/UTs may arrange loans under Differential Rate of Interest scheme for beneficiaries to enable them to meet their share. Overall construction cost of the housing unit may also be kept at a minimum.

Mandatory Clearances/Approvals

- The State Level Nodal Agency/implementing bodies should ensure that the necessary clearances/approvals such as environmental clearance, Coastal Regulation Zone (CRZ) regulation clearance, land

use clearance, etc. are in place before the DPRs are posed to the State Level Steering Committee/State Level Coordination Committee, as the case may be, for consideration. The CEO of the SLNA and State Secretary concerned should place the necessary technical clearances/approvals under various laws, including Environmental, Town Planning and Municipal laws, etc. and certificate that the estimates contained in the DPRs are authenticated/certified by the technically competent authorities under the State PWD Core/rules before the SLSC/SLCC.

- The layouts proposed for housing colonies under BSUP and IHSDP, showing various land uses and facilities proposed must conform to the prevalent Town Planning Rules/norms, as applicable to low income housing/informal settlements. Copies of layouts and housing designs must invariably accompany DPRs when the same are sent to Appraising Agencies.

Housing & Infrastructure Components

- The Ministry of Housing & Urban Poverty Alleviation has circulated Model DPR document to all States/UTs. The same is also placed in the Ministry's web site for JNNURM. A 'whole slum' approach will need to be adopted covering provision of land tenure, affordable housing and basic services. The whole slum proposed for development/redevelopment/relocation should be de-notified after the BSUP or IHSDP project is implemented. However, considering the difficulties in practice and special needs of the urban poor at some locations, clusters having more than 15 housing units can also be considered. Under the whole slum approach, it must be ensured that pucca houses left out of housing programme should be provided with individual toilet facilities with a view to achieving total sanitation.
- The housing component should generally be at least 50% of the total project cost with a view to achieving the targets fixed under the Mission and also giving primacy to the provision of shelter to the urban poor except in cases where housing units have already been constructed/are being constructed under VAMBAY or other EWS scheme of Central or State Governments.
- Adequate provision should be made for water supply, sewerage, drainage, solid and liquid waste disposal in the colonies proposed for development under BSUP or IHSDP. Individual water and sewer connection should be provided. Digester technology, where immediate connections to city sewer systems are not feasible, could be adopted in the place of dual-pits/septic tanks, wherever feasible.

Education, Health & Other Facilities

- Proposals for additional schools or additional rooms in existing schools must be part of the DPRs. The capacity of the existing schools to absorb the children from colonies being developed under BSUP and IHSDP needs to be carefully studied. The estimate of school-going children (including those from the new colonies) and demand for classrooms in terms of prevailing norms, capacity in existing schools and the additional capacity required should be worked out.
- Similar exercise should be done for providing health care facilities – hospitals, health centres, maternity centres etc.
- Action needs to be taken to ensure that other community infrastructure facilities like electrical sub-stations, transformers, water supply reservoirs, parks, playgrounds, bus stops, local markets, post offices etc. are also made available to the poor.
- Detailed estimates of community facility requirements as per planning norms, availability and gaps to be addressed have to be prepared at the initial stage of project preparation itself.

Colony Layouts & Housing Designs

- The colony layout plan should be socially cohesive and should facilitate social interaction amongst the dwellers. Efforts should be made for providing at 30% open areas along with 15% organised green area in the layouts.
- Adequate space must be provided in the layouts for community facilities including social and livelihoods infrastructure. The layout plan must include designated space to take care of convergent services such as health, education and recreation, informal sector markets, livelihood centres, pens for animals (if permitted and required) etc. in accordance with the specific needs of each of the slum pockets and their beneficiaries.
- The houses proposed should have two rooms, balcony, kitchen and separate bathroom and latrine, individual water connection and sewer connection. Aspects such as storage space for keeping things in rooms/kitchen, location of kitchen, location of toilet and bathroom in the houses to facilitate privacy, independent access from both rooms to toilet and bathroom, leaving a small space for fitting exhaust fan in kitchen and toilet, balcony for drying clothes etc., are some of the nuances that can be thoughtfully incorporated in the design of the houses for the poor.
- In the case of more than G+3 structures, provisions for ramp/lifts may be made to ensure that the old, disabled and children are not put to inconvenience.

- While priority should be given to accommodate physically challenged beneficiaries in the colonies, suitable barrier-free environments need to be provided for them in the proposed projects.
- The Ministry of HUPA, with the help of HUDCO and BMTPC, has developed good designs of houses, colonies, ramps and various types of social infrastructure facilities which could be appropriately used while formulating project proposals. A Toolkit has also been published in this regard. The same may be referred to.
- The State Level Nodal Agency/ULBs/other implementing agencies may adopt innovative designs for layouts and houses for the poor, multi-purpose community centres, informal sector markets, animal pens, etc. not only in BSUP and IHSDP projects but also in their own programmes taken up by Housing Corporations/Boards etc. duly considering the models presented by HUDCO and BMTPC in the Toolkit published by the Ministry.

Developing Green Habitats

- States/UTs should take action to develop green habitats for the urban poor duly providing as many green belts, parks, avenue plantations, etc. as possible. Road-side plantations with tree guards and block plantations in the colonies taken up under BSUP and IHSDP should be given priority by the ULBs/Departments dealing with Parks, Plantations and Urban Forests.
- Tall seedlings, say 4-5 years old may be procured and planted in BSUP and IHSDP colonies so that they get established quickly without the need for careful nurture and maintenance.

Connectivity to City Infrastructure

- The infrastructure networks being developed under BSUP and IHSDP should invariably be integrated or planned to be integrated with trunk-line city infrastructure facilities, either already existing or being developed under UIG / UIDSSMT or State Government programmes in accordance with CDPs.
- The States / ULBs should ensure proper coordination amongst various agencies engaged in the implementation of JNNURM (UIG, UIDSSMT, BSUP and IHSDP) and other schemes to make sure that slums and low-income communities are linked to city-wide infrastructure systems. The project appraisal teams for UIG, UIDSSMT, BSUP and IHSDP must ensure such type of linkages.
- Infrastructure facilities provided to slum-dwellers/urban poor in the BSUP and IHSDP colonies should not be inferior to those in the surrounding areas.

O&M - Maintenance of Assets

- Proper maintenance of assets and upkeep of cleanliness and hygiene in the housing complexes / colonies developed under BSUP and IHSDP should be given utmost importance. States/UTs must develop viable and sustainable mechanisms for the maintenance of the houses and common infrastructure facilities created under BSUP and IHSDP through suitable mechanisms such as colony welfare associations, local body-residents partnerships, institutional arrangements of collection of monthly maintenance charges etc.
- Wherever informal sector markets are taken up as a part of social infrastructure facilities in colonies, their operation on a time-sharing basis by inhabitants or their associations can be considered by the ULB concerned for enabling wider coverage of beneficiaries, without allotting space to any one person permanently.

Prime Minister's New 15-Point Programme for Welfare of Minorities

- An important objective of the new programme is to ensure that the benefits of various government schemes for the underprivileged reach the disadvantaged sections of the minority communities. In this regard, care should be taken to take up clusters of minority beneficiaries to the extent possible. Wherever feasible, efforts should be made to allocate up to 15% of targets under BSUP and IHSDP for the minorities.

Appraisal Check Lists

- Responsibility for the technical specifications in DPRs (adherence to State PWD Code and Government Orders) and their endorsement/approval by the competent authority lies with the ULB/implementing authority/State Level Nodal Agency. The appraisal agencies must also ensure that the technical specifications are duly certified by the technically competent authorities as per State Government Public Works Code/Government Orders. Authenticated estimates (rates and bills of quantities) duly signed by appropriate authorities must accompany the DPRs/Appraisal Reports.
- The SLNAs/Appraisal Agencies should specially devote attention to the following aspects:
 - Land availability for housing the poor – verification of ownership;
 - Land tenure status – patta, temporary patta, occupancy right etc.;
 - Availability of State share, ULB share, Beneficiary contribution as per Guidelines of BSUP/IHSDP;
 - Willingness of beneficiaries to pay for their contribution.

- Housing design – two rooms, kitchen, balcony, individual toilet, individual water connection - refer to the Design Manual circulated by the Ministry;
- Adherence to town planning norms – Layout plans/designs should conform to town planning regulations applicable and be duly signed by competent municipal authority/SLNA officer;
- Provision of adequate open space in layouts – for green belts, parks, playgrounds, avenue plantations, roads etc.;
- Authentication by competent engineering authority as per State Government PWD Code/Order duly signed by engineers of appropriate level and SLNA Chief Executive Officer;
- Identification of eligible beneficiaries - process for issue of biometric identity cards must be completed within one month after sanction of projects, in general;
- Rapid/detailed socio-economic survey of beneficiaries – details to be provided;
- Proper identification of needs of community infrastructure
 - Provision of required civic infrastructure including social infrastructure such as community hall, livelihood centre, information sector market, animal pen, etc. Estimation of requirements must be based on judicious norms.
 - Connectivity of slum infrastructure facilities with city-wide trunk infrastructure networks – water supply, sewerage, storm drainage, roads etc. – ensuring connectivity of local infrastructure being taken up under BSUP/IHSDP with facilities being created under UIG/UIDSSMT.
- Availability/provision of basic amenities like post offices, bus stops, transport services, local shopping complexes, electricity transformers, sub-stations, water supply overhead tanks/ground level service reservoirs etc.
- SLSC/SLCC approval, project-wise (not in a block) with all details clearly indicating estimates, plans, availability of State share and agreement to meet cost escalation.

Time & Cost Over-runs

- The BSUP and IHSDP projects are generally required to be completed in 12 to 15 months. In the case of Special Category States/UTs and projects requiring special considerations, 3 to 6 months additional period could be provided. It is expected that any escalation in the project cost would be borne by the State Government/ULB concerned.

- For reducing escalation in the cost of projects, the following options could be exercised by the implementing agencies:-
 - i) Purchasing materials (cement, steel, sanitary pipes, electrical items) in bulk, wherever considered prudent and feasible with a view to reducing cost;
 - ii) Encouraging labour contribution from the beneficiaries under the supervision of qualified technical personnel;
 - iii) Bifurcating tendering (between housing component and infrastructure component) with a view to reducing the possibility of time and cost overruns; and
 - iv) Creating/using a revolving "Basic Services for Urban Poor (BSUP) Fund" earmarked out of the municipal budget and supplemented by other innovative measures like cross-subsidization, State Government support etc. for meeting cost escalation.

Setting up of PMU/PIUs

- The States/UTs should submit proposals to OSD (JNNURM) who will get the same appraised and bring up before the Central Sanctioning & Monitoring Committee/Central Sanctioning Committee. Transparent methods should be adopted in the selection and appointment of professionals in PMUs and PIUs. Such appointments should not be permanent in nature but only in terms of short-term engagements. No appointment should be seen as a place for parking the dead-wood. States/UTs must take all care to ensure that the PMU/PIUs have competent personnel.
- Each appointment to PMU/PIU should be based on prescribed terms of reference and the deliverables should be measured. Various activities, tasks and outcomes have to be clearly spelt out in the TORs. States/ULBs should exercise utmost caution in making such appointments on a contract basis. They should try and ensure minimum expenditure by selecting / appointing professionals at an appropriate remuneration rather than immediately opting for the maximum amount indicated by the Centre. However, the calibre of such professionals should be of a reasonably high level. If need be, qualified persons from Central/State Government/ULBs could be taken in PMU/PIU on deputation. The personnel with PMUs/ PIUs should work in tandem/collaboration with the State Level Nodal Agency / ULBs. These personnel should also assist in the implementation of other programmes like SJSRY.

Fees for Preparation of DPRs

- The States/UTs should submit proposals for reimbursement of fees to the concerned Appraisal Agency which had appraised the projects. The Appraisal Agency has a crucial role in examining the claim with

particular reference to the various stages of improvement and modifications that were brought out in the DPRs before they were finally approved by the Central Sanctioning and Monitoring Committee/Central Sanctioning Committee. The Appraisal Agency should submit report to the Ministry for releasing Central Assistance for reimbursement of charges towards the cost of preparation of DPRs (both in the case of DPRs prepared by in-house personnel as well as by consultants). These will be considered by the Central Sanctioning and Monitoring Committee/Central Sanctioning Committee. After approval, recommendation will be sent to the Ministry of Finance/Ministry of Home Affairs for releasing Central Assistance out of the ACA allocation for the particular State/UT in the case of projects prepared by consultants.

- The Central Assistance for DPRs prepared through in-house personnel of the States would be released from out of the 1% JNNURM fund in the Budget of Ministry of HUPA as decided in the Central Sanctioning & Monitoring Committee /Central Sanctioning Committee Meetings earlier. While sending proposals for reimbursement of project preparation charges, the appraising agency must ensure that two copies of DPRs (duly revised based on decisions of CSMC/CSC) are provided to OSD (JNNURM) for record. The Appraising Agency should also keep two copies of DPRs with it in safe custody so as to be able to meet requests for information under the RTI Act, 2005.

Community Development Network (CDN)

- The States / UTs should prioritise and get necessary approval from SLSC/SLCC to the proposals concerning Community Development Network (CDN) so as to seek support from the Community Participation Fund. Such proposals received in the Ministry of HUPA will be appraised by a team working under the GOI-UNDP Project on National Strategy for Urban Poor coordinated by the National Project Coordinator/Deputy Secretary (JNNURM). The reports will then be placed before the Central Sanctioning & Monitoring Committee for consideration.
- Community Development Networks involving Neighbourhood Groups, Neighbourhood Committees and Community Development Societies should be promoted so that the dynamics of the CDN lead to fraternity in the neighbourhoods and issues of alienation of all sorts could be eliminated. CDN should work towards better inter- and intra- relationships in colonies to get over the dividing forces. This will strengthen a feeling of solidarity among the residents.

Execution of Projects

for the poor does not mean poor quality housing. Utmost must be accorded to the quality execution of houses and infrastructure facilities for the poor. High quality construction, durable units, vector-free atmosphere and healthy living environment should be ensured in the housing projects under IHSDP. States/UTs should establish both internal and external quality assurance mechanisms in the case of all BSUP and IHSDP projects.

Officers in charge of BSUP and IHSDP should ensure that all projects approved are inspected by independent high level teams from time to time to ensure quality in execution and timely completion of projects through removal of all hurdles. Quality committees must be constituted to supervise construction projects. States/UTs should take steps for conducting social audits under BSUP and IHSDP similar to NREGA.

Inspection and Monitoring (TPIM)

TPIM should be instituted to bring in transparency and quality in the execution of all BSUP and IHSDP projects. The Ministry will provide necessary financial and technical assistance to the States for TPIM. A Toolkit has been prepared and communicated to States/UTs.

States/UTs who have not been able to establish TPIMA for BSUP and IHSDP projects may use the services of independent inspection and monitoring agencies engaged for UIG, UIDSSMT or other programmes.

Final installment is released under BSUP and IHSDP projects, subject to quality inspection report until such time a TPIMA is established. It will be required from the concerned States/UTs unless the Director/SC is of the opinion that the release need not be stopped in the interest of completing houses for the urban poor who have contributed their share.

Monitoring Activities

From 2006-07, the Ministry of HUPA had released fund to the State Governments for capacity building activities including research and training towards implementation of BSUP and IHSDP projects. The States/UTs submit utilisation certificates for the funds received earlier, further releases of Central Assistance would be held subject to utilisation certificates have to be furnished within 12 months from the date of closure of the financial year to which financial assistance pertains.

- Capacity building is one of the biggest constraints in the execution of projects and reforms under JNNURM. The States/UTs may take full advantage of the IEC facilities under JNNURM, the National Programme on Capacity Building for Urban Poverty Alleviation and the programme of National Network of Resource Centres (NNRCs).

City/Town Poverty Reduction Strategy

- The city of Rajkot (Gujarat) has brought out a City Poverty Reduction Strategy Report. Other cities/towns may bring out similar reports. They may prepare comprehensive Slum Development Plans with a view to pursuing the goal of Slum-free City. The toolkit prepared by the National Strategy for the Urban Poor project may be referred to.
- States/UTs must develop agenda for Slum-free Cities and Towns and prepare and implement time-bound action plans with specified milestones for progress.

Key Reforms - Core to the Urban Poor

- Special attention should be paid for the implementation of the three key reforms stipulated under JNNURM that are critical to the urban poor: (i) internal earmarking within local body budgets for basic services to the urban poor; (ii) provision of basic services including the implementation of 7-Point Charter in accordance with agreed timelines; (iii) earmarking at least 20-25% of developed land in all housing projects (both public and private agencies) for EWS/LIG category with a system of cross subsidization. States/UTs must develop broad state level policy frameworks to facilitate the implementation of these reforms in all cities and towns.
- The issues of land availability for housing the urban poor and providing them security of land tenure are important issues to be addressed by States/UTs/ULBs if the goals of JNNURM are to be attained. States/UTs need to develop a policy framework including tangible reforms in master planning paradigm and process urgently.

Convergent Delivery of Social Services

- It is necessary to integrate provisions of health, education and social security with land tenure, housing and other amenities for the urban poor to enable them to lead a decent quality of life. Urban Local Bodies and State Governments have a critical role to play to ensure the proper convergence of facilities under the already available schemes for education, health, social security, etc. implemented through different Departments/Agencies. The DPRs should list out the deficiencies in terms of access to school, primary health centre, provision of social welfare and other facilities so that timely remedial

measures can be taken up in accordance with the socio-economic survey. Provision of adequate infrastructure by way of school/additional class rooms and health care centres should be taken at the formulation of the DPR itself. A mere statement that adequate number of schools/health centres is available in the vicinity of the proposed housing colony would not be sufficient. The State/ULB/implementing agencies concerned should certify that such facilities available in the vicinity are also accessible to the slum dwellers. Similarly proper convergence of schemes in the realm of social security such as old age pension, widow pension, disability pensions, health insurance, maternity benefit scheme, etc. should be ensured to benefit the urban poor selected under JNNURM and other programmes.

- The States/UTs must take all steps to ensure the convergence of BSUP and IHSDP with other ongoing schemes such as UIG, UIDSSMT, Sarva Sikhsa Abhiyan, Health Mission, Aam Aadmi Bima Yojana, Rashtriya Swasthya Bima Yojana, National Social Assistance Programme, Prime Minister's Employment Generation Programmes, SJSRY etc. Shelter and basic amenities to the urban poor may not suffice them to move above the poverty line. In particular, State Governments/ULBs should make effort to dovetail the implementation of SJSRY with JNNURM. This step would provide the urban poor with access to livelihoods and enable them to overcome poverty. Programmes for skill development, self-employment, community mobilization, development and empowerment are necessary to facilitate sustained improvements in the living conditions of the urban poor and develop 'inclusive' cities.

Projects for *in situ* Development

- States /UTs should come up with adequate number of projects for in-situ development with good lay-outs and type designs. The emphasis should be to provide a better and supportive atmosphere for living and working. The in-situ development projects should not end up with creation of another cluster of unplanned houses without access to water, sanitation and social infrastructure. Suitable planning and infrastructure provision norms must be adopted. The quality of infrastructure provided to housing colonies under BSUP and IHSDP projects should not be inferior to those available for surrounding areas.

Handholding in Relocation

- In the case of relocation projects, the process of shifting to a new environment with inadequate facilities, near-loss of contact with close relatives and being far off from work places can be very traumatic. The States/UTs should engage social counselors and Community

Development Department personnel/Community Organisers in ULBs to work closely with the beneficiaries and ensure that the process of transition to the new multi-storeyed housing complex/environment/location is as smooth as possible.

- Time-bound programmes must be implemented to provide all basic amenities to the urban poor in the relocation colonies, including city transport services and local market complexes.

Sense of Belongingness

- To create a sense of belongingness, the slums may be named in consultation with the intended beneficiaries. Provision of a low cost enclosure around open spaces in the slum pocket being covered under BSUP/IHSDP could be considered by States/ULBs, if the cost is not prohibitive.
- Beneficiaries must be closely involved in the planning, identification, implementation, monitoring, review and social audit of JNNURM projects.

IEC Activities – Awareness Building

- In a people-centric programme like BSUP and IHSDP under JNNURM, there is a need to generate awareness amongst both the targeted so that they are able to receive what is intended for them by the Government. Awareness needs to be generated amongst the non-targeted sections so as to improve urban policy and highlight how the concerns of the urban poor are very relevant to them. Any awareness campaign should have a national tone, tenor, appeal and recall value, backed by consistent and coherent slogans and themes. The States/ULBs could bring out advertisements in vernacular languages with local adaptation of the templates prepared by the Ministry of HUPA. States/ULBs should ensure that the local adaptation does not deviate from the letter and spirit of the national templates and the messages being conveyed are only about the programme and related policy advocacy. They should also ensure that all such media campaigns are in accordance with the relevant rules and regulations applicable. Cost of such campaigns, in accordance with Government approved rates, would be reimbursed to the States/ULBs under the IEC component of JNNURM subject to limits fixed by CSMC/CSC. Reimbursement will be made if prior approval of the Mission Directorate/CSMC/CSC in the Ministry of HUPA was obtained before launching such campaign. Proposals for reimbursement of such expenditure will be submitted through HUDCO, which will put up the same to the Central Sanctioning and Monitoring Committee for its consideration and approval of reimbursement through Department of Expenditure, Ministry of Finance or Ministry of Home Affairs, as the case may be.

Progress Reports on Implementation

- The States/ULBs should send Quarterly Progress Reports/ Monthly Progress Reports on projects as well as reforms as per prescribed formats, without fail to enable the Ministry to report to the Prime Minister's Office in time. Further, one page abstract on the status of implementation of projects and reforms must be presented before posing the details of project proposals in the meetings of Central Sanctioning & Monitoring Committee/Central Sanctioning Committee.
- State/UT Secretaries in charge of BSUP and IHSDP should take monthly review of the implementation of projects and reforms under BSUP and IHSDP. Copies of the minutes of such review meetings should be sent to the Mission Directorate in MoHUPA.

Focus on Urban Policy

- There is an urgent need for States/UTs to focus on broader urban policy and urban management reforms to address not only the backlog and current urban issues but also the challenges of future urban growth, say in the next 20-25 years, so that the conditions that led to urban decay are prevented well in time. We should not be in a situation where we are perpetually chasing slum upgradation; States/UTs should plan proactively in anticipation of the future patterns of urban growth due to the factors of rural-urban migration, urban-urban migration, reclassification and natural increase. Without the implementation of urban planning and local government reforms and capacity building for effective urban management, JNNURM would remain a mere infrastructure upgradation programme, and none of the policy changes for vibrant, productive, sustainable and inclusive cities that JNNURM hopes to drive would materialize.

**BRIEF SUMMARY AGENDA FOR CONSIDERATION TO CENTRAL SANCTIONING COMMITTEE (CSC) UNDER
INTEGRATED HOUSING & SLUM DEVELOPMENT PROGRAMME (IHSDP)**

(Rs. In Crores)

Sl. No	State/ULB	Project Title	Total project cost approved	Central Share approved	Revised Central Assistance	Add. Central Assistance required	Brief Summary	Page No.
A.	Kolhapur district, Maharashtra	Proposal for modification in infrastructure component for IHSDP project at Kolhapur (Ph. II), Maharashtra	38.62	30.89	30.89	0.00	<ul style="list-style-type: none"> CSC had approved the project in 27th meeting held on 27.10.2007. Total projects cost approved were Rs. 38.62 Crores with central share approved of Rs. 30.89 Cr. The proposal is for changes in infrastructure component. The cost of electrification & community hall has been increased as per new layout plan. State has requested to decrease the same amount from the approved cost of Roads & pavements and community toilets. 	1
B.	IHSDP proposal for 6 States	Proposal for approval of revised central assistances for IHSDP project at Jammu & Kashmir, Rajasthan, Arunanchal Pradesh, Uttar Pradesh, Jharkhand and Kerala	1073.99	670.75	708.04	37.30	<ul style="list-style-type: none"> CSC had approved all the projects in Financial Year 2008-09. Add. Central Assistance requirement are based on increase in ceiling cost per dwelling units for Central Share Projects with construction of new dwelling units approved are considered only. 	14

**BRIEF SUMMARY AGENDA FOR CONSIDERATION TO CENTRAL SANCTIONING COMMITTEE (CSC) UNDER
INTEGRATED HOUSING & SLUM DEVELOPMENT PROGRAMME (IHSDP)**

(Rs. In Crores)

							<ul style="list-style-type: none"> Project considered from the States with ACA balance for year 2005-12. 	
	Total						37.3	

23/26
MD

APPRAISAL REPORT

- 1) State: Maharashtra
 2) City/Town: Kolhapur
 3) Project Name: Implementation of IHSDP at Kolhapur, District Kolhapur.
 4) Nodal Agency: MHADA
 5) Implementing Agency: Kolhapur Municipal Corporation. (KMC)

IMPORTANT INFORMATION

The project proposal is having total project cost of Rs 37.21 crores {Part - I (Redevelopment) - Rs 31.14 + Part-II (Upgradation) - Rs 6.07 lacs} which is more than Rs 20.00 crores limit for an IHSDP project.

6) Project Cost Brief:

Sr. No.	Component	Project Cost (Earlier Sanctioned)	Revised Cost Sanctioned
A.	2667 New Houses with allied infrastructure	3254.12	3254.12
B.	Infrastructure to existing houses under lay		
1.	Water Supply	3.77	18.76
2.	Sewerage & grey water treat.	28.28	97.45
3.	Storm Water Drains	140.64	127.52
4.	Roads & Pavements	382.60	202.91
5.	Community Toilets	45.60	29.99
6.	Electrification	0.88	82.57
7.	Community Hall	5.65	48.22
	Total-B	607.42	607.42
	Total A+B	3861.54	3861.54

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 Mb

Additional Central Assistance requirement, after considering increase of ceiling cost per dwelling units (Dus) for IISDP projects approved w.e.f. 01.04.2008 from States

Sl. No.	Name of State	Total ACA balance for year 2005-12	No. of project under consideration	Project Cost Approved	Central Share Approved	Revised Central Share	Add. Central Assistance required/duc
1	Jammu & Kashmir	50.26	17	48.83	30.72	37.62	6.90
2	Rajasthan	80.19	4	83.37	48.36	48.69	0.34
3	Arunanchal Pradesh	14.63	1	9.95	8.66	8.96	0.30
4	Uttar Pradesh	301.48	123	752.65	474.42	498.20	23.78
5	Jharkhand	46.96	6	123.67	66.65	72.40	5.74
6	Kerala	43.00	11	55.50	41.94	42.18	0.24
	Total	536.52		1073.97	670.75	708.04	37.30

25/26

(M)

**ENHANCED CENTRAL SHARE FOR DWELLING UNITS FOR PROJECTS APPROVED UNDER INTEGRATED HOUSING AND
SLUM DEVELOPMENT PROGRAMME (IHSDP) FROM 2008-09 ONWARDS**

Sl. No.	Name of State	Total ACA balance for year 2005-12	No. of Projects	Total Project Cost Approved	Total No. of DUs (New)	Central Share Approved for New Dwelling Units based on unit cost of Rs. 80,000/-per DU *	Revised Central Share approved for New Dwelling Units based on unit cost of Rs. 1,00,000/- per DU *	Enhanced Central share for New Dwelling Units (col. 8 - 7)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
1	Arunachal Pradesh *	14.63	1	9.95	176	1.43	1.72	0.2960
2	Jammu & Kashmir *	50.26	15	42.60	3408	27.60	34.51	6.9012
3	Jharkhand	46.96	3	67.87	3589	22.97	28.71	5.7424
4	Kerala	43.00	2	9.70	477	3.05	3.30	0.2430
5	Rajasthan	80.19	4	83.37	2347	15.02	18.78	3.7552
6	Uttar Pradesh	301.48	77	400.49	14861	95.11	118.89	23.7776
TOTAL :-		536.52	102	613.98	24858	165.18	205.90	40.7154

* For Arunachal Pradesh and Jammu & Kashmir with 12.5% additionality with central share at 90%

26/2/09
MND

(Rs. in Crores)