

CHECK-LIST FOR PREPARATION/APPRAISAL OF DPR IN BASIC SERVICES TO THE URBAN POOR (BSUP)

1.	Name of the State		
2.	Category of State – whether general or North Eastern States (including Sikkim)/J&K		
3.	Name of the City		
		Status (Yes/No)	Remarks
4.	<p>a) Whether City Development Plan (CDP) has been prepared and forwarded to the Ministry of Urban Development with a copy to Ministry of Urban Employment & Poverty Alleviation?</p> <p>b) Whether the CDP has been appraised and approved by the Ministry of Urban Development?</p> <p>c) Whether copy of the approved CDP has been endorsed to the Ministry of UEPA or not by Ministry of Urban Development?</p> <p>d) Whether aspects of slums and the urban poor including street vendors have been included as a separate chapter in the CDP along with the 7 Point Charter (viz., security of tenure at affordable prices, improved housing, water supply, sanitation and ensuring delivery of other already existing universal services of the government for education, health and social security)</p>		
5.	<p>a) Whether MOA for reforms has been signed with the Ministry of Urban Development?</p> <p>b) Whether a copy of the signed MOA has been received in the Ministry of Urban Employment</p>		

	& Poverty Alleviation or not? c) Whether signed MOA mentions with asterisk that two reforms, viz., i) Repeal of Urban Land Ceiling & Regulation Act; and ii) Amendment of Rent Control Laws balancing the interest of landlords and tenants are optional with regard to schemes under BSUP?		
6.	Whether the State Level Nodal Agency has been designated?		
7.	Whether the DPR has been forwarded by the designated Nodal Agency?		
8.	Whether State Level Steering Committee (SLSC) has been constituted?		
9.	Whether DPR has been recommended by the SLSC?		
10.	Whether elected Local Body is in place?		
11.	Total urban population of the city		
12.	Total slum population of the city		
13.	Is the DPR in accordance with the CDP?		
14.	Is DPR an integrated housing & infrastructure project? [If not, proper justification]		
15.	Has the contribution from the beneficiaries been collected?		
16.	Has the State share been deposited in a separate account?		
17.	Is the DPR for <i>in situ</i> development?		
18.	Is the DPR for relocation?		
19.	In case of relocation, whether all basic amenities have been provided for in the new site in the DPR?		

20.	Is the new site in proximity to the original site/work-place?		
21.	In case of <i>in situ</i> , whether provision for basic services has been provided for in the DPR ?		
22.	Housing (i) Whether density of population norms have been observed in the DPR with reference to city as per the byelaws? (ii) Whether plotted area, setbacks and coverage of plot are as per byelaws? (iii) Whether maximum FAR utilization has been considered in the DPR to ensure efficient use of land? (iv) Whether the cost of the dwelling units and infrastructure has been worked out in terms of State's schedule of rates? (v) Whether security of tenure/patta has been provided to the beneficiaries, as per guidelines, preferably women? (vi) Whether the floor area of dwelling unit is equal or more than 25 sq mtrs? (vii) Whether each dwelling unit comprises two rooms, kitchen and a toilet?		
23.	Infrastructure facilities Whether provisioning for the following has been made in the DPR: (i) Water supply (ii) Sewerage (iii) Solid waste disposal (iv) Development of roads/paths/ footpaths/pavements (v) Storm water drains (vi) Street lighting		
24.	Community facilities Whether provisioning for the following		

	has been made in the DPR: (i) Community Centre (ii) Community Primary Health Care Centre (iii) Primary Education Centre (iv) Parks and open spaces		
25.	Whether there is provisioning for convergence of the Central and State Government schemes in the following sectors in the DPR:- (i) Health (ii) Education (iii) Social security including accidental and medical insurance, old age pension, old age homes, etc.		
26.	Whether cost of land has been included in the project cost where private land has been acquired? [Applicable only to the State of J&K, Uttaranchal, Himachal Pradesh and North Eastern States including Sikkim]		
27.	Whether a separate provisioning for upkeep and maintenance of public assets to be created through this project has been made by the State Government/ULB/Parastatal? [This provisioning will not be funded under the Scheme]		
28.	Whether DPR is a PPP Project?		
29.	Whether the area/beneficiaries covered under DPR had previously benefited in any Central/State Government Scheme? [If so, details thereof]		
30.	Is the DPR in accordance with the BSUP guidelines? If not, indicate deviations and provide justifications.		
31.	Any other information relevant to the DPR		

Signatures of the Competent Authority in ULB/Parastatal

Name:

Designation:

Office Address:

Tel No./Fax No.

E-mail ID:

Verified & Countersigned by the State Level Nodal Officer

Name:

Designation:

Office Address:

Tel No./Fax No.

CHECK-LIST FOR PRERATION/APPRaisal OF DPR IN INTEGRATED HOUSING & SLUM DEVELOPMENT PROGRAMME (IHSDP)

1.	Name of the State		
2.	Category of State – whether special category* or general? [*Special category States are J&K, Uttaranchal, Himachal Pradesh & North Eastern States including Sikkim]		
3.	Name of the City		
		Status (Yes/No)	Remarks
4.	a) Whether MOA for reforms has been signed with the Ministry of Urban Development? b) Whether a copy of the signed MOA has been received in the Ministry of Urban Employment & Poverty Alleviation or not? c) Whether signed MOA mentions with asterisk that two reforms, viz., i) Repeal of Urban Land Ceiling and Regulation Act; and ii) Amendment of Rent Control Laws balancing the interest of landlords and tenants are optional with regard to schemes under IHSDP?		
5.	Whether the State Level Nodal Agency has been designated?		
6.	Whether the DPR has been forwarded by the designated Nodal Agency?		
7.	Whether State Level Co-ordination Committee (SLCC) has been constituted?		
8.	Whether DPR has been recommended by the SLCC?		

9.	Whether elected Local Body is in place?		
10.	Total urban population of the city		
11.	Total slum population of the city		
12.	Is DPR an integrated housing & infrastructure project? [If not, proper justification]		
13.	Has the contribution from the beneficiaries been collected?		
14.	Has the State share been deposited in a separate account?		
15.	Is the DPR for <i>in situ</i> development?		
16.	Is the DPR for relocation?		
17.	In case of relocation, whether all basic amenities have been provided for in the new site in the DPR?		
18.	Is the new site in proximity to the original site/work-place?		
19.	In case of <i>in situ</i> , whether provision for basic services has been provided for in the DPR ?		
20.	Housing (i) Whether density of population norms have been observed in the DPR with reference to city as per the byelaws? (ii) Whether plotted area, setbacks and coverage of plot are as per byelaws? (iii) Whether maximum FAR utilization has been considered in the DPR to ensure efficient use of land? (iv) Whether the dwelling unit cost is within the ceiling limit? (v) In case the cost is higher, whether the source of funds has been tied up/indicated? (vi) Whether security of tenure/patta has		

	<p>been provided to the beneficiaries, as per guidelines, preferably women?</p> <p>(vii) Whether the floor area of dwelling unit is equal or more than 25 sq mtrs?</p> <p>(viii) Whether each dwelling unit comprises two rooms, kitchen and a toilet?</p>		
21.	<p>Infrastructure facilities</p> <p>Whether provisioning for the following has been made in the DPR:</p> <ul style="list-style-type: none"> (i) Water supply (ii) Sewerage (iii) Solid waste disposal (iv) Development of roads/paths/footpaths/pavements (v) Storm water drains (vi) Street lighting 		
22.	Whether environmental impact considerations have been taken into account while preparing the DPR?		
23.	<p>Community facilities</p> <p>Whether provisioning for the following has been made in the DPR:</p> <ul style="list-style-type: none"> (i) Community Centre (ii) Community Primary Health Care Centre (iii) Primary Education Centre (iv) Parks and open spaces 		
24.	<p>Whether there is provisioning for convergence of the Central and State Government schemes in the following sectors in the DPR:-</p> <ul style="list-style-type: none"> (i) Health (ii) Education (iii) Social security including accidental and medical insurance, old age pension, old age homes, etc. 		
25.	Whether cost of land has been included in the project cost where private land has been acquired?		

	[Applicable only to special category States, viz., J&K, Uttaranchal, Himachal Pradesh and North Eastern States including Sikkim]		
26.	Whether a separate provisioning for upkeep and maintenance of public assets to be created through this project has been made by the State Government/ULB/Parastatal? [This provisioning will not be funded under the Scheme]		
27.	Whether the area/beneficiaries covered under DPR had previously benefited in any Central/State Government Scheme? [If so, details thereof]		
28.	Whether DPR is a PPP Project?		
29.	Is the DPR in accordance with the IHSDP guidelines? If not, indicate deviations and provide justifications.		
30.	Any other information relevant to the DPR		

Signatures of the Competent Authority in ULB/Parastatal

Name:

Designation:

Office Address:

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E-mail ID:

Verified & Countersigned by the State Level Nodal Officer

Name:

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E-mail ID: