

No.N-11026/2/2008/BSUP/JNNURM -Vol. XIII

Government of India

Ministry of Housing and Urban Poverty Alleviation

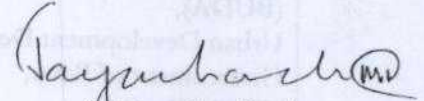
41-CSM

Room No. 201, G Wing
New Delhi, dated 14th October, 2008**OFFICE MEMORANDUM**

The undersigned is directed to enclose herewith a copy of the minutes of the 41st meeting of the Central Sanctioning and Monitoring Committee of Ministry of Housing and Urban Poverty Alleviation held on 30th September, 2008 under the Chairmanship of Secretary (HUPA) to consider and sanction projects under Sub-Mission on Basic Services to the Urban Poor (BSUP) under Jawaharlal Nehru National Urban Renewal Mission (JNNURM).

2. The appraisal agencies (i.e. HUDCO, BMTPC) are requested to convey the decisions of the Central Sanctioning & Monitoring Committee to all the State implementing agencies/nodal agencies for BSUP and IHSDP to take appropriate follow up action as per the minutes of the meeting.

3. A copy of the minutes is forwarded to the Secretaries in-charge of BSUP and IHSDP in the States/UTs with a request to take further follow up action.



(M. Jayachandran)

Deputy Director (BSUP)

Telephone 011-2306 1519

Encl: Minutes of the meeting**To****Members of the CSMC as follows:**

1. The Secretary, Ministry of Urban Development, Nirman Bhavan, New Delhi.
2. The Secretary, Ministry of Finance, Department of Expenditure, New Delhi.
3. The Principal Adviser (HUD), Planning Commission, Yojana Bhavan, New Delhi.
4. The Secretary, Ministry of Environment and Forests, Paryavaran Bhavan, CGO Complex, Lodhi Road, New Delhi.
5. The Secretary, Ministry of Social Justice and Empowerment, Shastri Bhavan, New Delhi.
6. The Secretary, Ministry of Health and Family Welfare, Nirman Bhavan, New Delhi.
7. The Secretary, Department of School Education & Literacy, Shastri Bhavan, New Delhi.
8. The Joint Secretary and FA, Ministry of Urban Development and Ministry of HUPA, New Delhi.
9. The Chief Planner, Town and Country Planning Organisation (TCPO), I.P. Estate, New Delhi.
10. The Adviser, CPHEEO, Ministry of Urban Development, Nirman Bhavan, New Delhi.
11. The CMD, Housing and Urban Development Corporation Ltd., HUDCO Bhavan, India Habitat Centre, Lodhi Road, New Delhi.
12. The Joint Secretary (JNNURM)/Mission Director, Ministry of Housing and Urban Poverty Alleviation, New Delhi - Member-Secretary

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16/10/08

Copy to the Secretaries in charge of Basic Services to the Urban Poor (BSUP) and Integrated Housing & Slum Development Programme (IHSDP) in the States/UTs:-

The Principal Secretary, Urban Development & Municipal Administration Department Government of Andhra Pradesh, L-Block Secretariat Hyderabad – 500 002	The Principal Secretary, Housing Department, Government of Andhra Pradesh, L-Block, A.P. Secretariat, Hyderabad – 500 002
The Secretary, Municipal Administration Department, Government of Andhra Pradesh, L-Block Secretariat, Hyderabad-500 002.	The Principal Secretary, Urban Development & Tourism, Government of Arunachal Pradesh, Civil Secretariat, Itanagar.
The Commissioner & Secretary, UD Department, Government of Assam, Assam Secretariat, Dispur, Guwahati -781 006.	The Secretary, Urban Development Department, Government of Bihar, Vikash Bhawan, New Secretariat, Patna..
The Additional Secretary & Director (BUDA), Urban Development Department, Government of Bihar, Vikash Bhawan, Patna.	The Secretary (Housing), Government of Bihar Sachivalaya Patna – 800 015
The Secretary, School Education & UD/LSG, Government of Chhattishgarh, Room NO 254, DKS Bhawan, Mantralaya, Raipur.	The Secretary(Housing) Government of Goa, Secretariat Annexe, EDC House, Panaji- 403 001
The Principal Secretary(UD) & Housing, Government of Gujarat, Block No, 14, 9 th Floor, New Sachivalaya, Gandhinagar-382 010.	The Chief Executive Officer, Gujarat Urban Development Mission, GMFB Building, Sector-10A, Gandhinagar – 382 016.
The Commissioner & Secretary, Department of Urban Development, Government of Haryana, SCO-20 Sec.7C, Chandigarh – 160 001.	The Secretary (UD), Government of Himachal Pradesh, Shimla – 171 002
The Secretary, Housing and UD Department, Government of Jammu & Kashmir, New Secretariat, Srinagar	The Principal Secretary (Housing), Government of Himachal Pradesh, Shimla – 171 002

The Director, Urban Local Bodies Government of Jammu & Kashmir, 151-A/D, Gandhi Nagar, Jammu.	The Secretary Urban Development Department, Government of Jharkhand, Ranchi -834 004.
The Secretary (Housing) Government of Jharkhand, Project Building, Dhurwa, Ranchi-834004	The Principal Secretary (Housing) Government of Karnataka, Room No.213, 2 nd Floor, Vikas Sauda Dr. B.R. Ambedkar Road, Bangalore-560 001
The Principal Secretary to Government UD Department, Government of Karnataka Room No.436, 4 th Floor, Vikas Sauda Dr. B.R.Ambedkar Road Bangalore 560 001	The Secretary (Housing), Government of Kerala, Secretariat, Thiruvananthapuram – 695 001
The Principal Secretary, Local Self Government Department Government of Kerala Thiruvananthapuram – 695 001	The Secretary , Local Self Government, Government of Kerala, Thiruvananthapuram-695 001
The Executive Director Kudumbashree State Poverty Eradication Mission Government of Kerala 2 nd Floor, TRIDA Building, Chalakupuzhy Road, Medical College (PO), Thiruvananthapuram 695 011.	The Principal Secretary, Urban Administration and Development Department, Government of Madhya Pradesh, Mantralaya, Bhopal - 462 032
The Principal Secretary (Housing & Environment), Government of Madhya Pradesh, Mantralaya, Ballabh Bhavan, Bhopal - 462 032	The Commissioner, Urban Administration & Development, Government of Madhya Pradesh, Nagar Palika Bhavan, Shivaji Nagar Bhopal -462 016
The Principal Secretary (UD), Government of Maharashtra, Room No.425, 4 th floor Mantraalaya, Mumbai-400 032	The Principal Secretary (Housing), Government of Maharashtra, Room No.268, 2 nd Floor, Mantralaya, Mumbai-400 032
Commissioner & Secretary, Urban Affairs & Housing, Government of Meghalaya, Main Secretariat Building Shillong-793 001	The Secretary, Housing, UD & Municipal Administration, Government of Manipur, Chief Secretariat, Imphal -795 001
The Commissioner & Secretary (LAD), Government of Mizoram, Civil Secretariat, Aizwal-796 001.	The Principal Secretary, Urban Development Department, Government of Nagaland, Kohima – 797 001

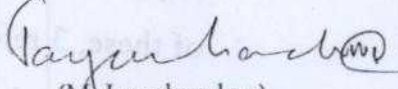
The Commissioner & Secretary, Works & Housing, Government of Nagaland Kohima – 797 001	The Principal Secretary (Housing & UD), Government of Orissa, Orissa Secretariat, Bhubaneswar - 751 001
The Principal Secretary(LSG) Government of Punjab Mini Secretariat Sector-9, Chandigarh 160 001	The Secretary (Housing & UD) Government of Punjab, Room No.419, Mini Secretariat, Sector-9 Chandigarh 160 001
The Principal Secretary, UDH & LSG Department, Government of Rajasthan Room No. 29, Main Building, Secretariat, Jaipur	The Secretary, Local Self Government Department, Government of Rajasthan , Room No.39, SSO Building, Government Secretariat , Jaipur 302 005.
The Secretary, Department of UD & Housing, Government of Sikkim, NH 31A, Gangtok – 737 101	The Secretary (Housing & UD), Government of Tamil Nadu, Fort St. George, Secretariat, Chennai –600 009
The Secretary, Municipal Administration & Water Supply, Government of Tamil Nadu, 6 th Floor, Ezhilagam Annexe, Chepauk, Chennai– 600 009	The Secretary (UD), Government of Tripura Civil Secretariat, Pt. Nehru Complex, Agartala-799 001
The Principal Secretary (UD & MA) Government of Uttar Pradesh, Room No.825, 8 th floor, Babu Bhawan, Lucknow – 226 001	The Principal Secretary (Housing), Government of Uttar Pradesh, 325 Babu Bhawan, Lucknow – 226 001
The Director, SUDA, Government of Uttar Pradesh, Navchetna Kendra, 10, Ashok Marg, Lucknow.	The Principal Secretary (UD), Government of Uttarakhand, Uttarakhand Secretariat, 4 B, Subhash Road DEHRADUN – 248 001.
The Project Director (JNNURM), Urban Development Directorate, Government of Uttarakhand, 43/6, Mata Mandir Marg, Dharampur, Dehradun – 248 001	
The Principal Secretary (UD), Government of West Bengal, Nagarayan DF-8, Sector1, Bidhannagar, Kolkata 700 064	The Secretary (UD & Housing), Chandigarh Administration, UT Secretariat, Sector 9, Chandigarh-160 001

The Secretary (Housing), Government of Puducherry, Chief Secretariat, Puducherry-605 001	The Secretary, Local Administration Department Government of Puducherry, Chief Secretariat, Puducherry-605 001
The Principal Secretary (UD), Government of NCT of Delhi, 9 th Floor, C Wing, Delhi Secretariat, IP Estate, New Delhi.	The Additional Secretary (UD), Government of NCT of Delhi, Delhi Secretariat, IP Estate, New Delhi-110 002
The Commissioner & Secretary, (Relief & Rehabilitation), UT of Andaman & Nicobar Islands, Secretariat, Port Blair -744 101	The Secretary (Housing & UD), UT of Daman & Diu, Secretariat, Moti Daman-396 220
The Secretary (Housing & UD), UT of Dadra & Nagar Haveli, Secretariat, Silvassa-396 220	The Chief Town Planner, Town & Country Planning Department, UT Administration of Dadra & Nagar Haveli, 2 nd Floor, Secretariat, Silvassa - 396 230.

Copy to:

1. The Joint Secretary to Hon'ble Prime Minister (Kind attention Shri R. Gopalakrishnan), PMO, South Block, New Delhi.
2. PS to Hon'ble Minister (HUPA)
3. Sr. PPS to Secretary (HUPA)
4. Joint Secretary (H), Ministry of HUPA
5. The Joint Secretary (PP), Ministry of Minority Affairs, Room No.1125, 11th Floor, Paryavaran Bhavan, CGO Complex, New Delhi.
6. Director (UPA), Ministry of HUPA
7. OSD (JNNURM), Ministry of HUPA.
8. Director (Administration), Ministry of HUPA
- ✓ 9. DS(JNNURM), Ministry of HUPA
10. US(JNNURM), Ministry of HUPA
11. DD(JPC), NBO, Ministry of HUPA
12. DD(Data & MIS), NBO, Ministry of HUPA
13. DD (NRC), NBO, Ministry of HUPA
14. SO (IHSDP), Ministry of HUPA
15. The CMD, NBCC, "NBCC Bhavan", Lodhi Road, New Delhi-110 003
16. The CMD, HPL, Jangpura, New Delhi-110014
17. The Executive Director, BMTPC, Core 5 A, First Floor, India Habitat Centre, Lodhi Road, New Delhi-110 003
18. The Director (Corporate Planning), HUDCO, "HUDCO Bhavan", India Habitat Centre, Lodhi Road, New Delhi 110 003.
19. The Director, Indian Institute of Technology Roorkee, Roorkee, Uttarakhand - 247 667

Copy to:- Guard folder on JNNURM


 (M. Jayachandran)
 Deputy Director (BSUP)

MINUTES OF THE 41st MEETING OF THE CENTRAL SANCTIONING AND MONITORING COMMITTEE (CSMC) OF SUB-MISSION ON BASIC SERVICES TO THE URBAN POOR (BSUP) UNDER JAWAHARLAL NEHRU NATIONAL URBAN RENEWAL MISSION (JNNURM)

Nirman Bhawan, New Delhi, 30th September, 2008

The 41st meeting of the Central Sanctioning and Monitoring Committee (CMSC) of the Sub-Mission on Basic Services to the Urban Poor (BSUP) under Jawaharlal Nehru National Urban Renewal Mission (JNNURM) was held under the Chairpersonship of Secretary, Ministry of Housing and Urban Poverty Alleviation in New Delhi on 30th September, 2008. List of participants is at **Annexure – I**.

2.1. Joint Secretary & Mission Director (JNNURM) welcomed Secretary (HUPA) and the participants. He gave a brief background of the functioning of the Central Sanctioning and Monitoring Committee. He informed that presently the Committee is getting appraisal reports for the BSUP projects from two agencies, viz, HUDCO and BMTPC. Though the IITs at Roorkee and Delhi were empanelled as appraisal agencies, they have not come forth to take up this assignment.

2.2. Joint Secretary & Mission Director (JNNURM) informed that the implementation of projects are linked to a set of 23 urban reforms. Out of these, 3 reforms are core to the urban poor. As the implementation of projects and reforms both require considerable amount of capacity building, the Mission Directorate in the Ministry of HUPA has been paying special attention to capacity building in States/ULBs. Accordingly, Central grant-in-aid has been released to 31 States/UTs. The Ministry is also giving financial assistance for setting up of Programme Management

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Unit (PMU) at the State level and Project Implementation Units (PIUs) at the City/ULB level. This assistance is for engaging specialists in the field of slum, poverty, management, community mobilization, MIS etc. For PMUs, full financial assistance is given for 3 years whereas assistance for PIUs it is given on a tapering basis (100% in first, 75% in second and 50% in third year). The States/UTs are required to come up with necessary proposals for PMU and PIUs for getting necessary financial assistance. For preparation of Detailed Project Reports (DPRs) under BSUP and IHSDP, Central assistance is given (both for projects prepared "in-house" as well as those prepared by consultants). The maximum payable amount for preparation of DPRs by consultants is Rs.75 lakh per DPR under BSUP and Rs. 40 lakh per DPR under IHSDP or 2% of project cost, whichever is less. For DPRs prepared "in-house", the maximum amount payable is Rs.10 lakh per DPR under BSUP and Rs.5 lakh per DPR under IHSDP.

2.3 JS (JNNURM) further informed that BMTPC has been designated as the central monitoring agency for all BSUP and IHSDP projects for the purpose of site visits, scrutinizing progress/analyzing monitoring reports and provide support to the Ministry and State Governments on matters related to monitoring of BSUP and IHSDP. He brought to the notice of Secretary (HUPA) that as suggested by Hon'ble Minister, Executive Director, BMTPC may invite members of the Technical Advisory Group, High Level Committee on BSUP and IHSDP and National Core Group on Urban Poverty when the central monitoring agency visits States/ULBs/project sites along with officers in the Ministry. Also, Director (NBO) and OSD (JNNURM) should invite the members whenever State/Regional/National level reviews of JNNURM projects are organised.

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3.1. Chairperson, CSMC, and Secretary (HUPA) in her opening remarks said that so far the budget utilisation by the Ministry has been very good. A large number of projects has been approved. Now we are in the 4th year of JNNURM, we are in a very critical period in terms of sustaining the pace of progress. Most of the States are yet to come up with proposals for releasing 2nd / 3rd installment for their projects that the Committee approved more 18 months ago. Delay in getting 2nd and subsequent installments for the projects may be pointing to the problems in implementation. This is equally relevant to the case of new project proposals. Secretary (HUPA) suggested that the States/ULBs should be urged to make all efforts to complete projects already sanctioned and come up with new projects, where huge balances are pending against 7-year allocation. In this regard, special attention should be paid to achieving the Mid-Term Targets communicated earlier to the State Chief Secretaries [vide DO. Letter No.N-11027/42/2007-BSUP/JNNURM dated 8th August, 2007 from Secretary (HUPA)]

3.2. Regarding setting up of PMU and PIUs, Chairperson, CSMC, and Secretary (HUPA) said that it would be appropriate if an undertaking is obtained from the States/ULBs that they would continue such units by providing necessary financial assistance after the 3rd year.

3.3. Chairperson, CSMC and Secretary (HUPA) enquired as to how many PMUs and PIUs have actually been set up in various States/UTs. Joint Secretary (JNNURM) and Mission Director informed that so far no States/UTs have put in place PMUs and PIUs on account of the fact that funds for the same were released very recently and also because of the difficulty faced by them in finding qualified personnel with appropriate background. Secretary (HUPA) said that the States/ULBs can seek the

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help of national / state level agencies in case they find it difficult in securing qualified personnel to manage the PMU and PIUs.

3.4. Chairperson, CSMC and Secretary (HUPA) emphasised on the need to prepare bio-metric details of the beneficiaries. She said that all States should take immediate necessary steps to prepare bio-metric details and to put beneficiary list on the websites. JS (JNNURM) said that States like Gujarat have already prepared bio-metric details of the beneficiaries. Their experience (both in terms of quality as well as cost) can be suitably adopted/replicated by other States/ULBs. It was decided that support will be extended to States/UTs for biometric identification of beneficiaries under BSUP and IHSDP from out of 1%/5% JNNURM funds. DS (JNNURM) will put up a suitable proposal before the CSMC/CSC in the next meeting.

3.5. Emphasising the need of convergence of services under health, education and social security with JNNURM, Secretary (HUPA) suggested that necessary steps such as provision of adequate infrastructure for school and health care should be taken at the formulation of the project itself. A mere statement that adequate number of schools/health centres is available in the vicinity of the proposed housing colony would not be sufficient. The State/ULB/implementing agency should ensure that such facilities available in the vicinity are also accessible to the slum dwellers. Similarly proper convergence of schemes in the realm of social security such as old age pension, health insurance, maternity benefit scheme, etc. should be accessed to benefit the urban poor selected under JNNURM.

3.6. On reimbursement of cost of preparation of DPRs, Chairperson, CSMC and Secretary (HUPA) said that States/ULBs should give emphasis

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on preparation of DPRs “in-house” by building capacity progressively. HUDCO and BMTPC will continue to undertake capacity building programmes for State and ULB level covering areas such as project preparation, appraisal, management, monitoring, evaluation, quality assurance, third party implementation monitoring, implementation of reforms and mainstreaming policy.

3.7. Chairperson, CSMC and Secretary (HUPA) said that in all the meetings, a representative of the State Government (preferably the Secretary concerned) should be present while presenting the proposals – whether for new projects or for seeking 2nd/subsequent installments). The representative of the State Government should present details of achievement of already-sanctioned projects and regarding the achievement of reform milestones. The Regional Chief of HUDCO of the State concerned should also be present in the meeting. The appraisal agencies should thoroughly scrutinize the project proposals and come up with their assessment of the project. Where the projects seek only infrastructure components, the appraisal agency must ensure that the existing houses are in good condition and they belong to the categories eligible under BSUP and IHSDP.

3.8 Some representatives of State Governments informed Secretary (HUPA) that generally it takes several months for the Central Assistance sanctioned by CSMC to be made available to the ULBs and this is leading to delay in project implementation. Secretary (HUPA) suggested that the Mission Directorate should make a case study on the time being taken for Central assistance to reach ULBs in States after its release by the Ministry of Finance, Government of India to State Finance Department and then to the implementing agencies.

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3.9. Joint Secretary (JNNURM) brought to the notice of the Chairperson, CSMC and Secretary (HUPA) regarding certain important points discussed in the earlier meetings of CSMC and conveyed to the States/ULBs. These may be kept in mind while formulating and executing projects (**Annexure-II**).

4. For the meeting the following proposals were put up in the Agenda:-

- (i) Proposal for release of 2nd installment of ACA to Tripura;
- (ii) Proposal for setting up of PMU/PIUs in the States Karnataka, Uttarakhand and Sikkim; and
- (iii) Proposal for reimbursement of cost of preparation of DPRs to the State of Uttar Pradesh.

Brief details of the Agenda are at **Annexure-III**.

5.1. Deputy Secretary (JNNURM) made a presentation on the proposal received from the State of Tripura for release of 2nd instalment for one BSUP project. He made a presentation giving details of the proposal. He informed that these are based on information furnished by States/UT's in comprehensive formats giving details about (i) project attributes, (ii) fund flow and utilisation, (iii) progress of reforms, (iv) financial progress and (v) physical achievement.

5.2. Deputy Secretary (JNNURM) informed the Committee that the State of Tripura has achieved 100% utilisation of the fund (both Central and State) released and the State is on track in terms of achieving the 3 reform milestones. The physical progress is also matching with the

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financial achievement. DS (JNNURM) recommended to the Committee for the release of 2nd Installment.

5.3. Chairperson, CSMC and Secretary (HUPA) emphasized that the State/ULB must implement reforms as per the targets in MOA and any delay in the same should be addressed expeditiously. She also reiterated that the project has to be executed as per sanctioned DPR and any escalation in cost in implementation would have to be borne by the State/ULB. The Committee approved the proposal for release of 2nd instalment for BSUP project at Agartala subject to the condition that the fund would be released on receipt of a report from the State Government that slum survey for the project has been completed and that all the conditions imposed by the CSMC at the time of approval of the project have been complied with. Details of the second instalment approved are at **Annexure-IV**.

6.1. The Committee considered the proposals received from the States of Karnataka and Sikkim for setting up of PMUs and PIUs and the proposal from the State of Uttarakhand for setting up of PIUs. A presentation was made by Director (NBO) & OSD (JNNURM).

6.2. On the proposal for setting up 3 more PIUs in the State of Uttarakhand, Chairperson, CSMC and Secretary (HUPA) said that we may first ascertain whether the State/ULBs have already put in place the PIUs sanctioned so far and thereafter more such PIUs can be approved. Accordingly, the Committee deferred the proposal.

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6.3. The Committee approved the proposals for setting of 1 PMU and 5 PIUs in the State of Karnataka. The details are at **Annexure V(a)** and **Annexure VI (a)**.

6.4. For the State of Sikkim, the Committee approved the proposal for setting up of 1 PMU. The details are at **Annexure V (b)**. Regarding setting of PIUs, the Committee decided that considering the very limited number of projects sanctioned so far, only one PIU may be set up for the State as a whole instead of the 2 PIUs proposed. The details are at **Annexure-VI (b)**.

7. On the agenda item seeking reimbursement of cost of preparation (by consultants) of DPRs to the State of Uttar Pradesh, Director (NBO) & OSD (JNNURM) informed that requisite report on the same is to be obtained from the appraisal agency (HUDCO). The Committee was of the view that any proposal for reimbursement of DPR charges could be considered only on receipt of report from the concerned appraisal agency. Accordingly, the Committee deferred the proposal. HUDCO will send a report on the matter within a fortnight.

8. Concluding the meeting, the Chairperson of CSMC and Secretary (HUPA) reiterated the need to gear up implementation process by fixing milestones for progress and undertaking regular monitoring. She also urged the representatives of States/UTs/ULBs/parastatals/implementing agencies/appraisal agencies to adhere to the approved guidelines as well as undertake measures for smooth implementation of projects and reforms so that the intended benefits reach the poor.

9. The meeting ended with a vote of thanks to the Chair.

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**LIST OF PARTICIPANTS IN THE 41st MEETING OF CENTRAL
SANCTIONING AND MONITORING COMMITTEE (CSMC) OF BSUP HELD
UNDER THE CHAIRPERSONSHIP OF SECRETARY (HUPA) ON 30.9.2008**

1. Ms. Kiran Dhingra, Secretary, in Chair
Ministry of Housing and Urban Poverty Alleviation
2. Dr. P.K. Mohanty, Joint Secretary (JNNURM) and Mission Director, Ministry of HUPA
3. Shri D.S. Negi, OSD (JNNURM), Ministry of HUPA
4. Shri V.K. Gupta, Deputy Financial Adviser, Ministry of Urban Development
5. Shri Vivek Nangia, Deputy Secretary (JNNURM), Ministry of HUPA
6. Shri Deena Nath, Deputy Director, Ministry of Finance
7. Shri K.L. Dhingra, CMD, HUDCO, New Delhi.
8. Shri Sanjay Kumar, Deputy Secretary (NURM), Ministry of Urban Development
9. Shri Lalit Kapur, Additional Director, Ministry of Environment & Forests
10. Shri Sunil Kansal S.O. (UH), Ministry of Health & Family Welfare
11. Shri R.K. Gupta, Investigator, Ministry of Social Justice and Empowerment
12. Shri J.A. Vaidyanathan, Under Secretary (JNNURM), Ministry of HUPA
13. Shri Umraw Singh, Deputy Director, Ministry of HUPA
14. Shri Vinod Patni, Chairman, Municipal Board, Suratgarh, Rajasthan
15. Shri Ravindra Paratap Shahi, Chairman, Nagar Parishat, Hariharapur, Uttar Pradesh
16. Shri D.S. Bains, Principal Secretary, Government of Punjab
17. Ms. Sarada Muraleedharan, Executive Director, Kudumbashree, Government of Kerala
18. Shri S.K. Tripathi, Director (CP), HUDCO, New Delhi
19. Dr. Sailesh Kumar Agrawal, Executive Director, BMTPC, New Delhi
20. G. Padma Kumar, Municipal Secretary, Nedumangadu Municipality, Kerala
21. Shri D.R. Hadadare, Chief Engineer, MHADA, Mumbai
22. Shri Chintamani, Director, State Urban Development Agency (SUDA), Uttar Pradesh,
Lucknow
23. Shri Manoj Mathur, Regional Chief, HUDCO, Jaipur
24. Shri Anand Mohan, Project Director, Local Self Government Department, Government
of Rajasthan, Jaipur
25. Shir Rajnish Kalra, Additional Director (Projects), SUDA, Punjab
26. Shri Varinder Jain, Executive Officer, Municipal Council, Rajpura
27. Shri Umesh Nangia, Assistant Chief, HUDCO, Jaipur
28. Shri Pravenn K.K., Appraisal Officer, HUDCO, Jaipur
29. Shri C.P. Sunil, Director, C-earh, Kochi, Kerala
30. Shri Sudhakar K. Deshmukh, Municipal Engineer, Municipal Council, Risod
31. Shri Vinod Mehta, Director, CADCON, Jaipur
32. Shri K.C. Arora, Assistant Engineer, Municipal Board, Suratgarh
33. Shri Taneram Meghwal, Executive Engineer, Municipal Corporation, Bikaner, Rajasthan
34. Shri Rahul Tripathi, Consultant, SUDA, Uttar Pradesh
35. Shri Chhedi Lal, Project Manager, U.P.R.N.N. Ltd, Ghaziabad, Uttar Pradesh
36. Ms Madhushree, Consultant, IPE, New Delhi
37. Ms Daljeet Kaur, Consultant, IPE, New Delhi
38. Shri R.S. Tomar, Project Manager, NBCC Ltd
39. Ms. Usha P. Mahavir, Deputy Chief, HUDCO, New Delhi.
40. Ms. Radha Roy, Assistant Chief, HUDCO, New Delhi
41. Shri A.K. Kaushik, Executive Director, HUDCO, Hyderabad
42. Shri M. Chatterjee, Executive Director, HUDCO, Chandigarh
43. Shri H.T. Suresh, Regional Chief, HUDCO, Thiruvananthapuram

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44. Shri R.D. Padma Kumar, DC (P), HUDCO Thiruvananthapuram
45. Shri A.P. Tiwari, AC (Projects), HUDCO, New Delhi
46. Shri S.V. Kamath, Regional Chief, HUDCO, Lucknow
47. Shri Arun Rana, Appraisal Officer, HUDCO, Lucknow
48. Shri Akash Tyagi, Senior Project Appraisal Officer, HUDCO, Chandigarh
49. Shri Pankaj Gupta, Development Officer, BMTPC, New Delhi
50. Shri C.N. Jha, Development Officer, BMTPC, New Delhi
51. Shri N Chandrasekhar, HUDCO Regional Office, Jaipur
52. Shri Kamlesh Maheshwari, Navnirman Mahila Bahuuddeshiya Sansthan, Maharashtra
53. Shri Manish Gawande, Mahila Bahuuddeshiya Sansthan, Maharashtra
54. Shri R. Sanil Kumar, Assistant Project Manager, Nirmithi Kendra, Thiruvananthapuram
55. Shri A.K. Singh, Programme Officer, SUDA, Lucknow
56. Shri Yasmin Khan, Administrative Office, Ramdeobaba Charitable Society, Nagpur
57. Shri Dake Vithal D, Chief Officer, Municipal Council, Hingoli, Maharashtra
58. Shri J.P.S. Aujla, V.O. Local Government, Punjab
59. Shri P.K. Das, P.O., DUDA, Gorakhpur, Uttar Pradesh
60. Ms. Lalita Pathak, PO, DUDA, Bareilly, Uttar Pradesh
61. Shri A.S. Chauhan, PO, DUDA, Meerut, Uttar Pradesh
62. Shri G. Singh, J.E., DUDA, Meerut, Uttar Pradesh
63. Shri Binu Francis, Municipal Commissioner, Neyyatinkara Municipality, Kerala
64. Shri M. Jayachandran, Deputy Director, Ministry of HUPA

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Ms.

IMPORTANT POINTS REGARDING FORMULATION AND EXECUTION OF BSUP AND IHSDP PROJECTS

- In case there is time constraint, a regular socio-economic survey can be preceded by *a rapid survey for identifying beneficiaries, their main and subsidiary occupations, their educational profile and felt-needs so as to design appropriate social infrastructure for each project*. Willingness of the beneficiaries should also be taken for any rehabilitation/relocation projects.
- Affordability of the urban poor should be kept in view while working out Beneficiary Contribution. Overall construction cost of the housing unit should be kept at a minimum. The housing component should generally be at least 50% of the total project cost with a view to giving primacy to provision of shelter to the urban poor except where housing units have already been constructed/are being constructed under VAMBAY or other EWS scheme of Central or State Governments. Further, considering the difficulties and special needs of the urban poor at some locations, clusters having 15 housing units can also be considered.
- Each project should be accompanied by a list of beneficiaries based on a socio-economic survey and ULBs should go for bio-metric cards, wherever feasible, to avoid the possibility of sale/misuse of housing units allotted to properly targeted beneficiaries. The list should be notified and placed in the website of the ULB/JNNURM.
- The layout plan must be socially cohesive and facilitate social interaction. Efforts may be made for providing at least 30% open spaces with 15% green area in the layouts and adequate social and livelihoods infrastructure.
- Adequate space must be provided for community activities, informal sector markets, livelihood activities, pen for animals (if permitted and required), space to take care of convergent services such as health, education and recreation conforming to the specific needs of each of the slum pockets and their beneficiaries.
- The houses proposed should have two rooms, balcony, kitchen and separate bathroom and latrine, individual water connection and sewer connection. Aspects such as storage space for keeping things in rooms/kitchen, location of kitchen, location of toilet and bathroom in the houses to facilitate privacy, independent access from both rooms to toilet and bathroom, leaving a small space for fitting exhaust fan in kitchen and toilet, balcony for drying clothes

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etc., are some of the nuances that can be thoughtfully incorporated in the design of the houses for the poor.

- The State authorities/ULBs may adopt some of the innovative designs and layouts of houses, multi-purpose community centres, informal sector markets and animal pen, etc., prepared and compiled by HUDCO and BMTPC. The Toolkit published may be referred to.
- The State authorities in consultation with appraisal agencies should ensure that necessary clearances such as environmental clearance, Coastal Regulation Zone (CRZ) regulation clearance, land use clearance, etc., are obtained. They should also ensure that necessary technical approvals are secured from the competent agencies as per State PWD Code.
- Since these projects are required to be generally completed in 12 to 15 months, it is generally expected that any escalation of the project cost is borne by the State Government/ULB concerned. For reducing escalation in the cost of project, the following option could be exercised:-
 - i) Purchasing materials (cement, steel, sanitary pipes, electrical items) in bulk, wherever considered prudent and feasible with a view to reducing cost;
 - ii) Encouraging labour contribution from the beneficiaries under the supervision of qualified personnel;
 - iii) Bifurcating tendering (between housing component and infrastructure component) with a view to reducing the possibility of time and cost overruns; and
 - iv) Creating/using a revolving "Basic Services for Urban Poor (BSUP) Fund" earmarked out of the municipal budget and supplemented by other innovative measures like cross subsidization for meeting escalation.
- Wherever informal sector markets are taken up as a part of social infrastructure, their operation on a time-sharing basis by inhabitants for enabling wider coverage of the beneficiaries can be considered by the ULB concerned.
- Adequate provision should be made for solid and liquid waste disposal and digester technology can be adopted in place of dual-pits/septic tanks wherever feasible.
- Road-side plantations with tree guards are advisable.
- Responsibility of the technical specifications (adherence to State PWD Code) and their approval by the competent authority lies with

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the ULBs/State Level Nodal Agency. The appraisal agencies must ensure that technical specifications are duly approved by the technically competent authority.

- **Prime Minister's New 15-Point Programme for the Welfare of the Minorities:** *An important objective of the new programme is to ensure that the benefits of various government schemes for the underprivileged reach the disadvantaged sections of the minority communities.* In this regard, care should be taken to take up clusters of minority beneficiaries to the extent possible. Wherever feasible, efforts should be made to allocate upto 15% of targets and outlays under BSUP and IHSDP for the minorities. Similarly, priority should be given to accommodate physically challenged beneficiaries.
- **Capacity Building Activities:** In the year 2006-07, the Ministry of HUPA had released fund to the State Governments for capacity building activities including Research and Training towards implementation of BSUP and IHSDP projects. Unless the States submit utilisation certificates for the funds released earlier, further release of Central Assistance would be held up, as utilisation certificates have to be furnished within 12 months from the date of closure of the financial year to which financial sanction pertains.
- **Status of Project Implementation:** The States/ULBs should present Quarterly Progress Reports/Monthly Progress Reports as per prescribed format, without fail to enable the Ministry to report to Prime Minister's Office in time. Further, one page abstract on the status of implementation of projects & reforms must be presented before presenting the details of project proposals in the meetings of Central Sanctioning & Monitoring Committee/Central Sanctioning Committee.
- **Setting up of PMU/PIA/PIU:-** The States should submit proposals to the o/o OSD (JNNURM) which will get them same appraised; and bring up before the Central Sanctioning & Monitoring Committee/Central Sanctioning Committee. Transparent method should be adopted in the selection and appointment of professionals in PMUs and PIUs. Such appointments should not be permanent in nature but only in terms of short-term appointments. Such appointments should not be seen as a place for parking dead-wood. Each appointment should be based on prescribed terms of reference and the deliverables should be measured. Various activities, tasks and outcomes have to be clearly spelt out in the TORs. States/ULBs should exercise utmost caution in making such appointments on a contract basis.

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The States/ULBs should try and ensure minimum expenditure by selecting/appointing professionals at an appropriate fee rather than immediately opting for the maximum amount indicated by the Centre. However, the calibre of such professionals should be of a good level. If need be, qualified persons from Central/State Government/ULBs could be posted on deputation. The personnel with PMUs/PIUs should work in tandem/collaboration with the State Level Nodal Agency.

- **Fees for Preparation of DPRs:** The States should submit proposals to the concerned Appraisal Agency which had appraised the projects. The Appraisal Agency has a crucial role in examining the claim with particular reference to the various stages of improvement and modifications that were brought out in the DPRs before they were finally approved by the Central Sanctioning and Monitoring Committee/Central Sanctioning Committee. The Appraisal Agency will submit proposals to the Ministry for releasing Central Assistance towards the cost of preparation of DPRs (both in the case of DPRs prepared by in-house personnel as well as by consultants). These will be considered by the Central Sanctioning and Monitoring Committee. After approval, recommendation will be sent to Ministry of Finance/Ministry of Home Affairs for releasing Central Assistance out of the ACA allocation for the particular State/UT in the case of projects prepared by consultants. The Central Assistance for DPRs prepared through in-house personnel of the States would be released from out of the 1% JNNURM fund in the Budget of Ministry of HUPA as decided in the Central Sanctioning & Monitoring Committee/Central Sanctioning Committee meetings earlier.

- **Community Development Network (CDN):** The State should prioritise and get necessary approval from SLSC/SLCC to the proposals related to Community Development Network (CDN). Such proposals received in the Ministry of HUPA will be appraised by a team working under the GOI-UNDP Project on National Strategy for Urban Poor coordinated by the National Project Coordinator/Deputy Secretary (JNNURM). The reports will then be placed before the Central Sanctioning & Monitoring Committee.

Community Development Networks should be promoted so that the dynamics of the CDN lead to fraternity in the neighbourhoods and the issues of alienation of all sorts are eliminated. CDN should work towards better inter- and intra- relationships in colonies to get

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over the dividing forces. This will strengthen a feeling of solidarity among the residents.

- **Third Party Inspection and Monitoring (TPIM) mechanism:** TPIM should be instituted to bring transparency and quality in the implementation of BSUP and IHSDP projects. The Ministry would give necessary assistance to the States for TPIM. Toolkit has been prepared and communicated to the State Governments.
- **Quality of Projects:** Housing for the poor does not mean poor quality housing. Utmost emphasis must be given to the quality of houses for the poor. A vector-free atmosphere and healthy living environment should be ensured in the housing projects under BSUP/IHSDP.
- **Socio-economic Survey:** No efforts should be spared for conducting socio-economic surveys of potential beneficiaries including preparation of bio-metric cards. Such surveys should emphasize housing, health, educational and livelihood profiles of the urban poor. The surveys would assist in designing good BSUP/IHSDP projects by taking into account important aspects such as dependency load in the existing schools and capacity of hospitals for in- and out-patients, need for multi-purpose community centres including livelihood centre and informal sector market. *HUDCO and BMTPC have developed good designs of houses and various types of social infrastructure which could be appropriately used while formulating project proposals. A Toolkit has also been published.*
- **City Poverty Reduction Strategy Report.** The city of Rajkot (Gujarat) has brought out a City Poverty Reduction Strategy Report. Other cities/towns may bring out similar reports.
- **Convergence of Health, Education and Social Security:** It is necessary to integrate provisions of Health, Education and Social Security with Housing for the Poor to enable them to lead a better quality of life. The Urban Local Bodies and the State Governments have a critical role to play to ensure proper convergence of facilities under the already available schemes for education, health and social security implemented through different departments/fields. The projects should list out the deficiencies in terms of access to school, primary health centre, provision of social welfare measures so that timely remedial measures in accordance with the socio-economic survey can be taken up.
- **Projects for in-situ development:** States should come up with projects for in-situ development with good lay-outs and designs. The emphasis should be to provide a better and supportive atmosphere for living. The in-situ development should not end up

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BRIEF SUMMARY OF SUPPLEMENTARY AGENDA FOR CONSIDERATION TO CENTRAL SANCTIONING & MONITORING COMMITTEE (C&MCC) UNDER BASIC SERVICES TO THE URBAN POOR (Sub-Mission II)

Sl. No.	Mission City/Slum	Project Title	Total Cost	DPR changes	State Share	Est. Snd. Installment	Brief	Page No.
							with creation of another cluster of houses without access to water, sanitation and social infrastructure.	
							<ul style="list-style-type: none"> • Sense of belongingness: To create a sense of belongingness, the slums may be named in consultation with the intended beneficiaries. Provision of a low cost enclosure around open spaces in a slum pocket being covered under BSUP/IHSDP could be considered by a State/ULB, if the cost is not prohibitive. • Three reforms core to the urban poor: Special attention should be paid for the implementation of the three reforms stipulated under JNNURM that are critical to the urban poor: (i) internal earmarking within local body budgets for basic services to the urban poor; (ii) provision of basic services including the 7-point charter in accordance with agreed timelines; (iii) earmarking at least 20-25% of developed land in all housing projects (both public and private agencies) for EWS/LIG category with a system of cross subsidization. The poor are squeezed out of the urban land market and the issue of land for housing the poor and informal sector activities and security of land tenure must be accorded top-most priority by the State Governments. 	
							<p>100% in the project.</p> <p>The utilization of central & state share is 100% released.</p> <p>State share + ULB share of Rs. 138 Cr. to Rs. 3,49 Cr. Released in FY 2007-08.</p> <p>Est. installment of Central Share amounting to Rs. 3,49 Cr.</p>	
							<p>Government of Uttar Pradesh submitted proposal for reimbursement of Uttar Pradesh.</p> <p>Allahabad, Kanpur, Noida and Meerut.</p> <p>DPR changes for Lucknow, Mathura submitted for reimbursement of Uttar Pradesh.</p>	

(As in Cases)

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C&MCC Meeting - 20/07/2008 (Implementation of Basic Services) 15/31
 15/31
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BRIEF SUMMARY OF SUPPLEMENTARY AGENDA FOR CONSIDERATION TO CENTRAL SANCTIONING & MONITORING COMMITTEE (CS&MC) UNDER BASIC SERVICES TO THE URBAN POOR (Sub-Mission-II)
(Rs. in Crores)

Sl. No	Mission City, State	Project Title	Total Cost	DPR charges	State Share	Ist/ 2nd Install - ment	Brief	Page No.
A.	Agartala, Tripura	Sanction of 2 nd installment for one project Agartala, Tripura				3.49	<ul style="list-style-type: none"> 1st installment of Central Share amounting to Rs. 3.49 Cr. Released in FY 2007-08. State share + ULB share of Rs. 1.38 Cr. Was released. The utilization of central & state share is 100% in the project. 	1 To 2
B.	Uttar Pradesh	Sanction for reimbursement of DPR charges for Lucknow, Mathura, Allahabad, Kanpur Nagar and, Meerut Uttar Pradesh		1.37			<ul style="list-style-type: none"> Government of Uttar Pradesh has submitted proposal for reimbursement of DPR charges for Lucknow, Mathura, Allahabad, Kanpur Nagar and, Meerut Uttar Pradesh 	3
		Total		1.37		3.49		

BSUP 41st CSMC 30-09-2008

(Rupees lakhs)

State/ City	Name of Project	Total central share Approved	State Share approved	Central share Released,			Amount of Central share utilised	%of utilis ation	Amount of State+ ULB share released	Amou nt of State+ ULB share utilise d	% of utilis ation	Amount recommen ded for release as 2 nd /3 rd installment of ACA	2 nd installm ent	3 rd inst all me nt	% of amount recommen ded to the total central share approved
				1 st installm ent	2 nd install ment	Total									
TRIPURA/ Agartala	Construction of 256 Dwelling Units at Mouza Kunjuban	1395.91	276.93	349.00	--	349.00	349.00	100 %	138.00	138.00	100 %	349.00	--	50%	

Conditions to be complied before release of funds:

- i) The Slum Survey would be completed.
- ii) Detailed Compliance Report on the conditions imposed by CSMC to be provided.

No	State	Project Title	Total	Central Share	Share	DBR Charges
1	TRIPURA	Integrated Rehabilitation	35.23	14.38	15.24	0.2433
Total			18/34			

Proposal for Reimbursement of DBR Charges under B2NB

(Rs. in Crores)

Proposal for Reimbursement of DPR Charges under BSUP

(Rs. in Crores)

Sl. No	Mission City, State	Project Title	Total project Cost	Central Share	State Share	DPR Charges
1.	Lucknow, Uttar Pradesh	Integrated Rehabilitation Project for the urban Poor staying in Slums in Lucknow under BSUP	32.53	14.78	17.74	0.5439
2.	Mathura, Uttar Pradesh	Integrated Rehabilitation Project for the urban Poor staying in Slums in Mathura under BSUP	4.58	3.33	1.25	0.0765
3.	Azhabad, Uttar Pradesh	Integrated Rehabilitation Project for the urban Poor staying in Slums in Allahabad under BSUP	3.34	1.52	1.82	0.0558
4.	Kanpur Nagar, Uttar Pradesh	Integrated Rehabilitation Project for the urban Poor staying in Slums in Kanpur under BSUP	8.85	4.02	4.83	0.1480
5.	Meerut Uttar Pradesh	Integrated Upgradation & Housing Project for the urban Poor in 5, Slums in Meerut under BSUP	32.84	14.93	17.91	0.5491
Total			82.1287			1.3733

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A

Proposal for establishment of PMU in Karnataka

Proposed Financial Support:

S. No.	Position	No. of Months	Remuneration per month (Rs)	Amount (Rs)
1	Project specialist (Housing and slum Development) - 1 No.	12	40,000	480000
2	Specialist (Social Development) - 1 No.	12	30,000	360000
3	Specialist (Community Mobilization and MIS) -1 No.	12	25,000	300000
4	Specialist (Poverty Management) - 1 No.	12	30,000	360000
5	Research & Training Coordinator - 1 No.	12	20,000	240000
	Sub Total 1			1740000
6	Support cost (if any)			
	GRAND TOTAL			1740000

Observations:

- a The Educational Qualification and scope of work of the key professionals is in accordance with the guidelines/toolkit of the PMU.
- b The proposal for financial support is as per the guidelines.
- c The approval is subject to the ratification of the proposal by the State Level Steering Committee (SLSC).
- d The financial support, formulation and scope of work of PMU will be strictly in accordance with the terms and conditions laid down in the guidelines/toolkit for establishment of PMU/PIU.

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Appraised Financial Support for PMU in Karnataka

S. No.	Position	No. of Months	Remuneration per month (Rs)	Amount (Rs)
1	Project specialist (Housing and slum Development) – 1 No.	12	40,000	480000
2	Specialist (Social Development) – 1 No.	12	30,000	360000
3	Specialist (Community Mobilization and MIS) -1 No.	12	25,000	300000
4	Specialist (Poverty Management) – 1 No.	12	30,000	360000
5	Research & Training Coordinator – 1 No.	12	20,000	240000
	Sub Total 1			1740000
6	Travel Expenses (20% of the total annual support)			580000
7	Operational Expenditure (10% of total annual support)			290000
8	Contingencies Expenses (10% of total annual support)			290000
	Sub Total 2			1160000
	GRAND TOTAL			2900000

Financial support for PMU in Karnataka		
Total Annual Support for 1st year	Rs	29.00 Lacs
Total Annual Support for 2nd year	Rs	29.00 Lacs
Total Annual Support for 3rd year	Rs	29.00 Lacs
TOTAL SUPPORT	Rs	87.00 Lacs

B**Proposal for establishment of five PIUs in Karnataka:
Bangalore, Mysore, Davanagere, Dharwad & Gulbarga****Proposed Financial Support (per PIU):**

S. No.	Position	No. of Months	Remuneration per month (Rs)	Amount (Rs)
1	Project Coordinator (Housing and slum Development) - 1 No	12	40,000	480000
2	Social Development Officer - 1 No.	12	30,000	360000
3	Livelihoods Development Specialist - 1 No.	12	25,000	300000
4	Research Officer - 1 No.	12	30,000	360000
5	Research and Training Coordinator - 1 No.	12	20,000	240000
	Sub Total 1			1740000
6	Support Cost (if any)			
	GRAND TOTAL			1740000

Observations:

- a The Educational Qualification and scope of work of the key professionals is in accordance with the guidelines/toolkit of the PMU.
- b The proposal for financial support is as per the guidelines.
- c The approval is subject to the ratification of the proposal by the State Level Steering Committee (SLSC).
- d The financial support, formulation and scope of work of PMU will be strictly in accordance with the terms and conditions laid down in the guidelines/toolkit for establishment of PMU/PIU.

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Appraised Financial Support per PIU in Karnataka

S. No.	Position	No. of Months	Remuneration per month (Rs)	Amount (Rs)
1	Project Coordinator (Housing and slum Development) - 1 No	12	40,000	480000
2	Social Development Officer - 1 No.	12	30,000	360000
3	Livelihoods Development Specialist - 1 No.	12	25,000	300000
4	Research Officer - 1 No.	12	30,000	360000
5	Research and Training Coordinator - 1 No.	12	20,000	240000
	Sub Total 1			1740000
6	Travel Expenses (20% of total annual support)			580000
7	Operational Expenditure (10% of total annual support)			290000
8	Contingencies Expenses (10% of total annual support)			290000
	Sub Total 2			1160000
	GRAND TOTAL			2900000

Financial Support for each PIU in Karnataka

Total Annual Support for 1st year (100%)	Rs	29.00 Lacs
Total Annual Support for 2nd year (75%)	Rs	21.75 Lacs
Total Annual Support for 3rd year (50%)	Rs	14.50 Lacs
TOTAL SUPPORT	Rs	65.25 Lacs

C

Proposal for establishment of two PIUs in Uttarakhand: Pauri & Srinagar

Proposed Financial Support (per PIU):

S. No.	Position	No. of Months	Remuneration per month (Rs)	Amount (Rs)
1	Project Coordinator (Housing and slum Development) - 1 No	12	30,000	360000
2	Social Development Officer - 1 No.	12	30,000	360000
3	Livelihoods Development Specialist - 1 No.	12	30,000	360000
4	Research Officer - 1 No.	12	30,000	360000
5	Research and Training Coordinator - 1 No.	12	30,000	360000
	Sub Total 1			1800000
6	Support Cost (as admissible)			-
	GRAND TOTAL			1800000

Observations:

- a The Educational Qualification and scope of work of the key professionals is in accordance with the guidelines/toolkit of the PMU.
- b The proposal for financial support is as per the guidelines.
- c The approval is subject to the ratification of the proposal by the State Level Steering Committee (SLSC).
- d The financial support, formulation and scope of work of PMU will be strictly in accordance with the terms and conditions laid down in the guidelines/toolkit for establishment of PMU/PIU.

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Appraised Financial Support per PIU in Uttarakhand

S. No.	Position	No. of Months	Remuneration per month (Rs)	Amount (Rs)
1	Project Coordinator (Housing and slum Development) - 1 No	12	30,000	360000
2	Social Development Officer - 1 No.	12	30,000	360000
3	Livelihoods Development Specialist - 1 No.	12	30,000	360000
4	Research Officer - 1 No.	12	30,000	360000
5	Research and Training Coordinator - 1 No.	12	30,000	360000
	Sub Total 1			1800000
6	Travel Expenses (20% of total annual support)			600000
7	Operational Expenditure (10% of total annual support)			300000
8	Contingencies Expenses (10% of total annual support)			300000
	Sub Total 2			1200000
	GRAND TOTAL			3000000

Financial Support per PIU in Uttarakhand		
Total Annual Support for 1st year (100%)	Rs	30.00 Lacs
Total Annual Support for 2nd year (75%)	Rs	22.50 Lacs
Total Annual Support for 3rd year (50%)	Rs	15.00 Lacs
TOTAL SUPPORT	Rs	67.50 Lacs

D

Proposal for establishment of PMU in Sikkim

Proposed Financial Support:

S. No.	Position	No. of Months	Remuneration per month (Rs)	Amount (Rs)
1	Project specialist (Housing and slum Development) – 1 No.	12	50,000	600000
2	Specialist (Social Development) – 1 No.	12	50,000	600000
3	Specialist (Poverty Management) – 1 No.	12	50,000	600000
4	Research & Training Coordinator – 1 No.	12	40,000	480000
	Sub Total 1			2280000
5	Travel Expenses (20% of the total cost)			760000
6	Operational Expenditure (10% of total cost)			380000
7	Contingencies Expenses (10% of total cost)			380000
	Sub Total 2			1520000
	GRAND TOTAL			3800000

Observations:

- a As proposed by the state, the Social Development Specialist shall be designated as Specialist (Social Development, Community Mobilisation & MIS).
- b The Educational Qualification and scope of work of the key professionals is in accordance with the guidelines/toolkit of the PMU.
- c The proposal for financial support is as per the guidelines.
- d The approval is subject to the ratification of the proposal by the State Level Steering Committee (SLSC).
- e The financial support, formulation and scope of work of PMU will be strictly in accordance with the terms and conditions laid down in the guidelines/toolkit for establishment of PMU/PIU.

Appraised Financial Support for PMU in Sikkim

S. No.	Position	No. of Months	Remuneration per month (Rs)	Amount (Rs)
1	Project specialist (Housing and slum Development) – 1 No.	12	50,000	600000
2	Specialist (Social Development) – 1 No.	12	50,000	600000
3	Specialist (Poverty Management) – 1 No.	12	50,000	600000
4	Research & Training Officer – 1 No.	12	40,000	480000
	Sub Total 1			2280000
5	Travel Expenses (20% of the total annual support)			760000
6	Operational Expenditure (10% of total annual support)			380000
7	Contingencies Expenses (10% of total annual support)			380000
	Sub Total 2			1520000
	GRAND TOTAL			3800000

Financial support for PMU in Sikkim		
Total Annual Support for 1st year	Rs	38.00 Lacs
Total Annual Support for 2nd year	Rs	38.00 Lacs
Total Annual Support for 3rd year	Rs	38.00 Lacs
TOTAL SUPPORT	Rs	114.00 Lacs

E

**Proposal for establishment of two PIUs in Sikkim:
North-East Sikkim & South-West Sikkim**

Proposed Financial Support (per PIU)

S. No.	Position	No. of Months	Remuneration per month (Rs)	Amount (Rs)
1	Project Coordinator (Housing and slum Development) - 1 No	12	30,000	360000
2	Social Development Officer - 1 No.	12	30,000	360000
3	Livelihoods Development Specialist - 1 No.	12	30,000	360000
	Sub Total 1			1080000
6	Travel Expenses (20% of total annual support)			360000
7	Operational Expenditure (10% of total annual support)			180000
8	Contingencies Expenses (10% of total annual support)			180000
	Sub Total 2			720000
	GRAND TOTAL			1800000

Observations:

- a Sikkim is administered by four districts namely North, East, South & West. One PIU each will serve the North/East and South/West district respectively.
- b The Educational Qualification and scope of work of the key professionals is in accordance with the guidelines/toolkit of the PMU.
- c The proposal for financial support is as per the guidelines.
- d The approval is subject to the ratification of the proposal by the State Level Steering Committee (SLSC).
- e The financial support, formulation and scope of work of PMU will be strictly in accordance with the terms and conditions laid down in the guidelines/toolkit for establishment of PMU/PIU.

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Appraised Financial Support per PIU in Sikkim

S. No.	Position	No. of Months	Remuneration per month (Rs)	Amount (Rs)
1	Project Coordinator (Housing and slum Development) - 1 No	12	30,000	360000
2	Social Development Officer - 1 No.	12	30,000	360000
3	Livelihoods Development Specialist - 1 No.	12	30,000	360000
	Sub Total 1			1080000
6	Travel Expenses (20% of total annual support)			360000
7	Operational Expenditure (10% of total annual support)			180000
8	Contingencies Expenses (10% of total annual support)			180000
	Sub Total 2			720000
	GRAND TOTAL			1800000

Financial Support for each PIU in Sikkim		
Total Annual Support for 1st year (100%)	Rs	18.00 Lacs
Total Annual Support for 2nd year (75%)	Rs	13.50 Lacs
Total Annual Support for 3rd year (50%)	Rs	9.00 Lacs
TOTAL SUPPORT	Rs	40.50 Lacs

Annexure-IV

to the minutes of 41st CSMC (BSUP)
Rs. in lakh

Name of the State	Name of city	Name of Project	Total Project Cost	Total central share- Approved	State Share approved	Central share Released so far			Amount of Central share utilised	%of utilisation	Amount of State+ ULB share released	Amount of State+ ULB share utilised	%of utilisation	Amount recommended for release as 2 nd /3 rd installment of ACA			% of amount recommended to the total central share approved
						1st installment	2nd installment	Total						2nd installment	3rd installment	total	
Tripura	Agartala	Detailed Project Report for Mouza Kunjaban slum improvement Schema at Agartala -- Construction of 256 dwelling units.	1672.841	1395.913	276.928	348.99	0.000	348.99	348.99	100%	138.000	138.000	100%	348.99	0.000	25%	

Note: Fund would be released on receipt of a report from the State Government that slum survey for the project has been completed and that all the conditions imposed by the CSMC at the time of approval of the project have been complied with.

Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount

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MM

FINANCIAL SUPPORT APPROVED FOR ESTABLISHMENT OF PROGRAMME
MANAGEMENT UNIT (PMU) UNDER JNNURM (BSUP& IHSDP) FOR KARNATAKA

S. No.	Position	No. of Months	Remuneration per month (Rs)	Amount (Rs)
A. Cost towards Professionals				
1	Project Specialist (Housing and Slum Development) – 1 No.	12	40,000	480000
2	Specialist (Social Development) – 1 No.	12	30,000	360000
3	Specialist (Community Mobilization and MIS) -1 No.	12	25,000	300000
4	Urban Poverty/ Management Specialist – 1 No.	12	30,000	360000
5	Research & Training Coordinator – 1 No.	12	20,000	240000
	Sub Total 1			1740000
B. Non Staff Component				
6	Travel Expenses (20% of the total annual support)			580000
7	System support cost, Stationary, Documentation, Printing etc (10% of total annual support)			290000
8	Overheads and other miscellenous expenses (10% of total annual support)			290000
	Sub Total 2			1160000
	GRAND TOTAL			2900000

1st Installment of Central Assistance for 1st year (50%) Rs 14.50 Lacs

Financial support for PMU in Karnataka		
Total Annual Support for 1st year	Rs	29.00 Lacs
Total Annual Support for 2nd year	Rs	29.00 Lacs
Total Annual Support for 3rd year	Rs	29.00 Lacs
TOTAL SUPPORT	Rs	87.00 Lacs

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FINANCIAL SUPPORT APPROVED FOR ESTABLISHMENT OF PROGRAMME MANAGEMENT UNIT (PMU) UNDER JNNURM (BSUP& IHSDP) FOR SIKKIM

S. No.	Position	No. of Months	Remuneration per month (Rs)	Amount (Rs)
A. Cost towards Professionals				
1	Project Specialist (Housing and Slum Development) – 1 No.	12	50,000	600000
2	Specialist (Social Development) – 1 No.	12	50,000	600000
3	Urban Poverty/ Management Specialist – 1 No.	12	50,000	600000
4	Research & Training Coordinator – 1 No.	12	40,000	480000
	Sub Total 1			2280000
B. Non Staff Component				
5	Travel Expenses (20% of the total annual support)			760000
6	System support cost, Stationary, Documentation, Printing etc (10% of total annual support)			380000
7	Overheads and other miscellenous expenses (10% of total annual support)			380000
	Sub Total 2			1520000
	GRAND TOTAL			3800000

1st Installment of Central Assistance for 1st year (50%) Rs 19.00 Lacs

Financial support for PMU in Sikkim		
Total Annual Support for 1st year	Rs	38.00 Lacs
Total Annual Support for 2nd year	Rs	38.00 Lacs
Total Annual Support for 3rd year	Rs	38.00 Lacs
TOTAL SUPPORT	Rs	114.00 Lacs

38.00 Lacs	Rs	Total Annual Support for 1st year (100%)
38.00 Lacs	Rs	Total Annual Support for 2nd year (75%)
38.00 Lacs	Rs	Total Annual Support for 3rd year (50%)
114.00 Lacs	Rs	TOTAL SUPPORT

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**FINANCIAL SUPPORT APPROVED FOR ESTABLISHMENT OF FIVE PROJECT
IMPLIMENTATION UNITS (PIUs) UNDER JNNURM (BSUP& IHSDP) FOR KARNATAKA:
BANGALORE, MYSORE, DAVANAGERE, DHARWAD & GULBARGA**

S. No.	Position	No. of Months	Remuneration per month (Rs)	Amount (Rs)
A. Cost towards Professionals				
1	Project Coordinator (Housing and slum Development) - 1 No	12	40,000	480000
2	Social Development Officer - 1 No.	12	30,000	360000
3	Livelihoods Development Specialist - 1 No.	12	25,000	300000
4	Research Officer - 1 No.	12	30,000	360000
5	Research and Training Coordinator - 1 No.	12	20,000	240000
	Sub Total 1			1740000
B. Non Staff Component				
6	Travel Expenses (20% of the total annual support)			580000
7	System support cost, Stationary, Documentation, Printing etc (10% of total annual support)			290000
8	Overheads and other miscellenous expenses (10% of total annual support)			290000
	Sub Total 2			1160000
	GRAND TOTAL			2900000

1st Installment of Central Assistance for 1st year (50%)

1	BANGALORE	Rs	14.50	Lacs
2	MYSORE	Rs	14.50	Lacs
3	DAVANAGERE	Rs	14.50	Lacs
4	DHARWAD	Rs	14.50	Lacs
5	GULBARGA	Rs	14.50	Lacs
	TOTAL	Rs	72.50	Lacs

Financial Support for each PIU in Karnataka	
Total Annual Support for 1st year (100%)	Rs 29.00 Lacs
Total Annual Support for 2nd year (75%)	Rs 21.75 Lacs
Total Annual Support for 3rd year (50%)	Rs 14.50 Lacs
TOTAL SUPPORT	Rs 65.25 Lacs

**FINANCIAL SUPPORT APPROVED FOR ESTABLISHMENT OF ONE PROJECT
IMPLIMENTATION UNIT (PIU) UNDER JNNURM (BSUP& IHSDP) FOR GANGTOK
(SIKKIM)**

S. No.	Position	No. of Months	Remuneration per month (Rs)	Amount (Rs)
A. Cost towards Professionals				
1	Project Coordinator (Housing and slum Development) - 1 No	12	30,000	360000
2	Social Development Officer - 1 No.	12	30,000	360000
3	Livelihoods Development Specialist - 1 No.	12	30,000	360000
	Sub Total 1			1080000
B. Non Staff Component				
6	Travel Expenses (20% of the total annual support)			360000
7	System support cost, Stationary, Documentation, Printing etc (10% of total annual support)			180000
8	Overheads and other miscellenous expenses (10% of total annual support)			180000
	Sub Total 2			720000
	GRAND TOTAL			1800000

1st Installment of Central Assistance for 1st year
(50%)

Rs

9.00 Lacs

Financial Support for PIU in Gangtok (Sikkim)		
Total Annual Support for 1st year (100%)	Rs	18.00 Lacs
Total Annual Support for 2nd year (75%)	Rs	13.50 Lacs
Total Annual Support for 3rd year (50%)	Rs	9.00 Lacs
TOTAL SUPPORT	Rs	40.50 Lacs

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