

REGISTERED POST/BY HAND

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Government of India

Ministry of Housing and Urban Poverty Alleviation

Room No. 201, G Wing, Nirman Bhavan

New Delhi, dated 7th January, 2010

OFFICE MEMORANDUM

The undersigned is directed to enclose herewith a copy of the minutes of the 74th meeting of the Central Sanctioning and Monitoring Committee of Ministry of Housing and Urban Poverty Alleviation held on 18th December, 2009 under the Chairpersonship of Secretary (HUPA) to consider and sanction projects under Sub-Mission on Basic Services to the Urban Poor (BSUP) under Jawaharlal Nehru National Urban Renewal Mission (JNNURM).

2. The appraisal agencies (i.e. HUDCO, BMTPC) are requested to convey the decisions of the Central Sanctioning & Monitoring Committee to all the State implementing agencies/nodal agencies for BSUP and IHSDP to take appropriate follow up action as per the minutes of the meeting.

3. A copy of the minutes is forwarded to the Secretaries in-charge of BSUP and IHSDP in the States/UTs with a request to take further follow up action.



(M. Jayachandran)

Deputy Director (BSUP)

Telephone 011-23061519

Encl: Minutes of the meeting

To:

Members of the CSMC as follows:

1. The Secretary, Ministry of Urban Development, Nirman Bhavan, New Delhi.
2. The Secretary, Ministry of Finance, Department of Expenditure, New Delhi.
3. The Principal Adviser (HUD), Planning Commission, Yojana Bhavan, New Delhi.
4. The Secretary, Ministry of Environment and Forests, Paryavaran Bhavan, CGO Complex, Lodhi Road, New Delhi.
5. The Secretary, Ministry of Social Justice and Empowerment, Shastri Bhavan, New Delhi.
6. The Secretary, Ministry of Health and Family Welfare, Nirman Bhavan, New Delhi.
7. The Secretary, Department of School Education & Literacy, Shastri Bhavan, New Delhi.
8. The Joint Secretary and FA, Ministry of Urban Development and Ministry of HUPA, New Delhi.
9. The Chief Planner, Town and Country Planning Organisation (TCPO), I.P. Estate, New Delhi.
10. The Adviser, CPHEEO, Ministry of Urban Development, Nirman Bhavan, New Delhi.
11. The CMD, Housing and Urban Development Corporation Ltd., HUDCO Bhavan, India Habitat Centre, Lodhi Road, New Delhi.
12. The Joint Secretary (JNNURM)/Mission Director, Ministry of Housing and Urban Poverty Alleviation, New Delhi - Member-Secretary

Copy to the Secretaries in charge of Basic Services to the Urban Poor (BSUP) and Integrated Housing & Slum Development Programme (IHSDP) in the States/UTs:-

The Principal Secretary, Urban Development & Municipal Administration Department Government of Andhra Pradesh, L-Block Secretariat Hyderabad - 500 002	The Principal Secretary, Housing Department, Government of Andhra Pradesh, L-Block; A.P. Secretariat, Hyderabad - 500 002
The Secretary, Municipal Administration Department, Government of Andhra Pradesh, L-Block Secretariat, Hyderabad-500 002.	The Principal Secretary, Urban Development & Tourism, Government of Arunachal Pradesh, Civil Secretariat, Itanagar.
The Commissioner & Secretary, UD Department, Government of Assam, Assam Secretariat, Dispur, Guwahati -781 006.	The Secretary, Urban Development Department, Government of Bihar, Vikash Bhawan, New Secretariat, Patna..
The Additional Secretary & Director (BUDA), Urban Development Department, Government of Bihar, Vikash Bhawan, Patna.	The Secretary (Housing), Government of Bihar Sachivalaya Patna - 800 015
The Secretary, Urban Administration & Development Department, Government of Chhattishgarh, Room NO 316, DKS Bhawan, Mantralaya, Raipur -492 001.	The Secretary(Housing) Government of Goa, Secretariat Annexe, EDC House, Panaji- 403 001
The Principal Secretary(UD) & Housing, Government of Gujarat, Block No, 14, 9 th Floor, New Sachivalaya, Gandhinagar-382 010.	The Chief Executive Officer, Gujarat Urban Development Mission, GMFB Building, Sector-10A, Gandhinagar - 382 016
The Commissioner & Secretary, Department of Urban Development, Government of Haryana, SCO-20 Sec.7C, Chandigarh - 160 001.	The Secretary (UD), Government of Himachal Pradesh, Shimla - 171 002
The Secretary, Housing and UD Department, Government of Jammu & Kashmir, New Secretariat, Srinagar.	The Principal Secretary (Housing), Government of Himachal Pradesh, Shimla - 171 002
The Director, Urban Local Bodies Government of Jammu & Kashmir, 151-A/D, Gandhi Nagar, Jammu.	The Secretary Urban Development Department, Government of Jharkhand, Ranchi -834 004.
The Secretary (Housing) Government of Jharkhand, Project Building, Dhurwa, Ranchi-834004	The Principal Secretary (Housing) Government of Karnataka, Room No.213, 2 nd Floor, Vikas Souda Dr. B.R. Ambedkar Road, Bangalore-560 001

The Principal Secretary to Government UD Department, Government of Karnataka Room No.436, 4 th Floor, Vikas Sauda Dr. B.R.Ambedkar Road Bangalore 560 001	The Secretary (Housing), Government of Kerala, Secretariat, Thiruvananthapuram – 695 001
The Principal Secretary, Local Self Government Department Government of Kerala Thiruvananthapuram – 695 001	The Secretary , Local Self Government, Government of Kerala, Thiruvananthapuram-695 001
The Executive Director Kudumbashree State Poverty Eradication Mission Government of Kerala 2 nd Floor, TRIDA Building, Chalakupuzhy Road, Medical College (PO), Thiruvananthapuram 695 011.	The Principal Secretary, Urban Administration and Development Department, Government of Madhya Pradesh, Mantralaya, Bhopal - 462 032
The Principal Secretary (Housing & Environment), Government of Madhya Pradesh, Mantralaya, Ballabh Bhavan, Bhopal - 462 032	The Commissioner, Urban Administration & Development, Government of Madhya Pradesh, Nagar Palika Bhavan, Shivaji Nagar Bhopal -462 016 .
The Principal Secretary (UD), Government of Maharashtra, Room No.425, 4 th floor Mantraalaya, Mumbai-400 032	The Principal Secretary (Housing), Government of Maharashtra, Room No.268, 2 nd Floor, Mantralaya, Mumbai-400 032
Commissioner & Secretary, Urban Affairs & Housing, Government of Meghalaya, Main Secretariat Building Shillong-793 001	The Secretary, Housing, UD & Municipal Administration, Government of Manipur, Chief Secretariat, Imphal -795 001
The Commissioner & Secretary, Urban Development & Poverty Alleviation Department Government of Mizoram, Civil Secretariat, Aizwal-796 001.	The Principal Secretary, Urban Development Department, Government of Nagaland, Kohima – 797 001 .
The Commissioner & Secretary, Works & Housing, Government of Nagaland Kohima – 797 001	The Principal Secretary (Housing & UD), Government of Orissa, Orissa Secretariat, Bhubaneswar - 751 001
The Executive Director, Orissa Water Supply & Sewerage Board & Ex-Officio Additional Secretary to Government (Housing & Urban Development Department), Government of Orissa, Satyanagar, Bhubaneswar - 751 007	

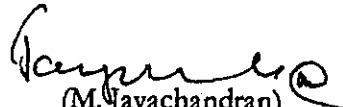
The Principal Secretary(LSG) Government of Punjab Mini Secretariat Sector-9, Chandigarh 160 001	The Secretary (Housing & UD) Government of Punjab, Room No.419, Mini Secretariat, Sector-9 Chandigarh 160 001
The Principal Secretary, UDH & LSG Department, Government of Rajasthan Room No. 29, Main Building, Secretariat, Jaipur	The Secretary, Local Self Government Department, Government of Rajasthan , Room No.39, SSO Building, Government Secretariat , Jaipur 302 005.
The Secretary, Department of UD & Housing, Government of Sikkim, NH 31A, Gangtok - 737 101	The Secretary (Housing & UD), Government of Tamil Nadu, Fort St. George, Secretariat, Chennai -600 009
The Secretary, Municipal Administration & Water Supply, Government of Tamil Nadu, 6 th Floor, Ezhilagam Annexe, Chepauk, Chennai- 600 009	The Secretary (UD), Government of Tripura Civil Secretariat, Pt. Nehru Complex, Agartala-799 001
The Principal Secretary (UD & MA) Government of Uttar Pradesh, Room No.825, 8 th floor, Babu Bhawan, Lucknow - 226 001	The Principal Secretary (Housing), Government of Uttar Pradesh, 325 Babu Bhawan, Lucknow - 226 001
The Director, SUDA, Government of Uttar Pradesh, Navchetna Kendra, 10, Ashok Marg, Lucknow.	The Principal Secretary (UD), Government of Uttarakhand, Uttarakhand Secretariat, 4 B, Subhash Road DEHRADUN - 248 001.
The Project Director (JNNURM), Urban Development Directorate, Government of Uttarakhand, 43/6, Mata Mandir Marg, Dharampur, Dehradun - 248 001	
The Principal Secretary (UD), Government of West Bengal, Nagarayan DF-8, Sector 1, Bidhannagar, Kolkata 700 064	The Secretary (UD & Housing), Chandigarh Administration, UT Secretariat, Sector 9, Chandigarh-160 001
The Secretary (Housing), Government of Puducherry, Chief Secretariat, Puducherry-605 001	The Secretary, Local Administration Department Government of Puducherry, Chief Secretariat, Puducherry-605 001
The Principal Secretary (UD), Government of NCT of Delhi, 9 th Floor, C Wing, Delhi Secretariat, IP Estate, New Delhi.	The Additional Secretary (UD), Government of NCT of Delhi, Delhi Secretariat, IP Estate, New Delhi-110 002
The Commissioner & Secretary, (Relief & Rehabilitation), UT of Andaman & Nicobar Islands, Secretariat,	The Secretary (Housing & UD), UT of Daman & Diu, Secretariat, Moti Daman-396 220

Port Blair -744 101	
The Secretary (Housing & UD), UT of Dadra & Nagar Haveli, Secretariat, Silvassa-396 220	The Chief Town Planner, Town & Country Planning Department, UT Administration of Dadra & Nagar Haveli, 2 nd Floor, Secretariat, Silvassa - 396 230.

Copy to:

1. The Joint Secretary to Hon'ble Prime Minister (Kind attention Shri R. Gopalakrishnan),
PMO, South Block, New Delhi.
2. PS to Hon'ble Minister (HUPA)
3. Sr. PPS to Secretary (HUPA)
4. Joint Secretary (H), Ministry of HUPA
5. The Joint Secretary (PP), Ministry of Minority Affairs, Room No.1125, 11th Floor,
Paryavaran Bhavan, CGO Complex, New Delhi.
6. The Joint Secretary (UT), Ministry of Home Affairs, North Block, New Delhi
7. Director (UPA), Ministry of HUPA
8. OSD (JNNURM), Ministry of HUPA.
9. Director (JNNURM), Ministry of HUPA
10. Director (Administration), Ministry of HUPA
11. US(JNNURM), Ministry of HUPA
12. DD(JPC), NBO, Ministry of HUPA
13. DD(Data & MIS), NBO, Ministry of HUPA
14. DD (NRC), NBO, Ministry of HUPA
15. SO (IHSDP), Ministry of HUPA
16. Monitoring Cell (JNNURM), Ministry of HUPA
17. The CMD, NBCC, "NBCC Bhavan", Lodhi Road, New Delhi-110 003
18. The CMD, HPL, Jangpura, New Delhi-110014
19. The Executive Director, BMTPC, Core 5 A, First Floor, India Habitat Centre, Lodhi
Road, New Delhi-110 003
20. The Director (Corporate Planning), HUDCO, "HUDCO Bhavan", India Habitat
Centre, Lodhi Road, New Delhi 110 003.
21. The Director, Indian Institute of Technology Roorkee, Roorkee, Uttarakhand - 247 667

Copy to:- Guard folder on JNNURM


(M. Jayachandran)
Deputy Director (BSUP)

**MINUTES OF THE 74th MEETING OF THE CENTRAL
SANCTIONING AND MONITORING COMMITTEE (CSMC) OF
THE SUB-MISSION ON BASIC SERVICES TO THE URBAN
POOR (BSUP) UNDER JAWAHARLAL NEHRU NATIONAL
URBAN RENEWAL MISSION (JNNURM)**

Nirman Bhawan, New Delhi, 18th December, 2009

The 74th meeting of the Central Sanctioning and Monitoring Committee (CSMC) of the Sub-Mission on Basic Services to the Urban Poor (BSUP) under Jawaharlal Nehru National Urban Renewal Mission (JNNURM) was held under the Chairpersonship of Secretary, Ministry of Housing and Urban Poverty Alleviation in New Delhi on 18th December, 2009 (at 12 Noon AM in MIS Centre of NBO, Room No. 120, G Wing Nirman Bhawan). The list of participants is at Annexure - I.

2.1. Welcoming the Chairperson and Members of the CSMC, the Mission Director and Joint Secretary (JnNURM) requested all the States/UTs/ULBs/implementing agencies to take all steps to complete all the sanctioned projects as per the approved time schedule. The States should come up with proposals for release of fund in the current year, latest by 28.2.2010. Any proposals received thereafter will not be considered by Ministry of Finance.

(Action: States/ULBs)

2.2. Mission Director/Joint Secretary requested all the States/UTs to submit utilisation certificate in respect of the grant-in-aid released by the Ministry in March, 2007 for conducting capacity building programme under JNNURM. Any further delay in submitting utilization certificate will lead to recall of the fund with penal interest and stoppage of any further release of funds. He also reiterated the important points emphasized by the Chairperson in the earlier meetings of CSMC/CSC for adherence/implementation by the States/UTs/ULBs (Annexure-II).

(Action: States/ULBs/Appraisal agencies)

3.1. In her opening remarks, Secretary (HUPA) and Chairperson, CSMC, emphasized making the BSUP fund in cities non-lapsable and permanent through the promulgation of an appropriate regulation. Emphasising that maintenance of assets created for the poor needs to be given top priority, Secretary (HUPA) suggested that States should prescribe for earmarking of municipal budget under both revenue and capital heads. She said that ideally, the directive from the State to the ULBs to set up BSUP fund should have a legal validity. She also said that the reform relating to reservation of land in housing colonies should be through appropriate changes in the existing town

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planning/zoning regulation and if necessary a new regulation may be issued by the State Government. Executive instructions will simply not do. Secretary (HUPA) said that it is time that States firm up measures/institutional arrangements for post-project maintenance of assets and common areas in group housing colonies by forming resident welfare associations, collecting contributions from residents, providing contribution from out of the BSUP fund, etc. .

(Action: States/ULBs)

3.2. The Chairperson stated that the States/UTs/ULBs should set up a grievance redressal system to respond to the complaints from public regarding implementation of BSUP and IHSDP projects. Representatives of the people should be involved at all stages of implementation of the projects. She referred to complaints received at the National Conference on JNNURM held on 3.12.2009 at Vigyan Bhavan, New Delhi, where Mayors of Varanasi and Allahabad complained that they have not been involved in the implementation of JNNURM in their urban local bodies. Secretary (HUPA) said that the President of India and the Prime Minister have time and again emphasized in their addresses about the involvement of representative of the people in the implementation of people-oriented programmes. The implementation of Constitution (74th Amendment) Act, 1992 is a mandatory reform under JNNURM and Uttar Pradesh and other State Governments should take action to implement the Act in letter and spirit by issuing directives for the close involvement of elected local representatives in the implementation of JNNURM. It was emphasized that JNNURM projects must be executed in a participatory manner .

(Action: States/ULBs/implementing agencies)

3.3. Referring to some projects received under JNNURM as well as 10% lump-sum NER scheme, Secretary (HUPA) requested all the State Secretaries in North Eastern Region to ensure that proposals submitted by their Ministers/Municipal Chairpersons directly to the Ministry are followed up immediately to complete the procedural and documentation requirements. All such proposals should have the authentication by the concerned State Secretary. The proposals must be certified and it must be indicated that they are preferred based on priorities of the State Governments concerned.

(Action States in NER region)

4. For the CSMC Meeting, the following proposals were put in the agenda:

(a) Proposal for approval of new BSUP project received from:

i. Maharashtra (1 project in Nanded) – deferred earlier

(b) Proposals for 2nd instalment of ACA received from:

i. Uttar Pradesh (2 projects in Kanpur)

ii. West Bengal (3 projects in Kolkata)

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(c) **Proposals for 3rd instalment of ACA received from**

- i. Gujarat (2 projects in Ahmedabad)
- ii. Tripura (1 project in Agartala)

(d) **Proposals for 4th instalment of ACA received from:**

- i. Gujarat (1 project in Ahmedabad)
- ii. Tripura – for the same project as at (c) ii above

(e) **Proposals for PMU and PIU:**

- i. Madhya Pradesh (1 PMU and 7 PIUs)

(f) **Proposal for Comprehensive Capacity Building Programme under JNNURM - Gujarat**

Brief details of the agenda are at Annexure-III.

5. Proposal for New BSUP project

Maharashtra

5.1. The representative of the State of Maharashtra made a presentation on the proposal seeking approval to 1 new BSUP project in Nanded. The Committee observed the following:-

- The land for the project belongs to the NTC. The NTC has only given an NOC and the land has not been formally transferred to the Municipal Corporation. The ownership of the dwelling unit needs to be clarified
- List of beneficiaries has not been furnished;
- SLSC approval is only for Rs.20434.75 lakh whereas the total cost of the project brought up before the Committee is Rs.21,701.42 lakh.

5.2. The representative of the State Government informed that necessary approval of SLSC for the upward revised project cost would be furnished. Regarding availability of land it was clarified by the representative that this is as per the practice in vogue and there is no dispute about the land being made available to the Municipality. He said that the agency would furnish a certificate regarding ownership of the dwelling unit.

5.3. The Committee approved the project subject to the following conditions:

- State should furnish SLSC approval for the upward revised cost;
- The beneficiary list should be finalized immediately and put on website within 1 month;
- The ULB should furnish a certificate about the ownership of the dwelling unit; and

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- The State should issue regulations regarding non-lapsable BSUP fund and reservation of land for EWS and LIG in housing colonies.

Abstracts of the approved components are at Statement-I of Annexure-IV. First instalment of ACA will be recommended for release on receipt of SLSC approval for the upward revised cost.

(Action: JNNURM Directorate to recommend release of 1st instalment of ACA on receipt of SLSC approval; State/ULB to comply with conditions)

6. Proposals for 2nd instalment of ACA Uttar Pradesh

6.1. The representative of the State of Uttar Pradesh made a presentation on the proposal seeking 2nd instalment of ACA for 2 BSUP projects in Kanpur. He informed the Committee that:

- the State has started work on appointing TPIMA. In the meanwhile, the two projects for which 2nd instalments have been sought, independent technical check has been got done and the SLNA is satisfied about the quality of work;

6.2. The Committee observed the following:

- The gap in the implementation of 7 Point Charter should be addressed immediately;
- Shortfall in the State share should be made up immediately.
- The infrastructure components in the project should progress, commensurate with the progress of construction of housing component.

6.3. Referring specifically to the State's proposal to limit its share to a particular amount, the Committee decided that there should not be any dilution in the absolute amount of State share as decided when the project was originally approved. The absolute figure of State share committed in the approval should be adhered to and State should provide its share accordingly. However, depending on the capacity of the beneficiary, the State may charge more than the minimum prescribed beneficiary contribution of 10-12% to meet part of the cost escalation. The State should also extend necessary assistance to provide loan to the beneficiaries at differential rate of interest (DRI) so that they are not overburdened in paying the beneficiary contribution.

6.4. The Committee approved the proposal subject to the following:-

- The State must complete implementation of all reforms within the Mission period;

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- In particular, the State should issue regulations regarding non-lapsable BSUP fund and reservation of land for EWS and LIG in housing colonies;
- The State/ULB should facilitate sanction of DRI loan to beneficiaries wherever required; and
- The State should not dilute the absolute amount of State share approved earlier.

Details of 2nd instalment approved are at Annexure-V (Col. A & B).

(Action: JNNURM Directorate to recommend release of ACA; State to comply with the conditions)

West Bengal

6.5. The representative of the State of West Bengal made a presentation on the proposal seeking release of 2nd instalment for 3 BSUP projects in Kolkata. The Committee observed the following:-

- There should be proper accounting of expenditure under Central share, State share, ULB share and Beneficiary share; especially where projects are executed through beneficiaries themselves. Though it is a common account, State has shown utilization in varying amounts under Centre/State and ULB shares – some as 10% and some as 50% with overall utilization of more than 70%;
- The State should address the gap in implementation of 7-point charter;
- The State should establish TPIMA and ensure TPIMA inspections of ongoing projects;
- Shortfall in State share should be released and utilised;
- The State should issue regulations regarding non-lapsable BSUP fund and reservation of land for EWS and LIG in housing colonies.

6.6. The representative of the State informed that the State is maintaining separate accounting for Central/State/ULB/Beneficiary share. Regarding TPIMA, she said that the State will be signing MoA with the agency very soon. Till such time TPIMA is established quality of the projects is ensured through the Engineering Wing of the Municipal Directorate. She said that the SLNA is satisfied about the quality of the projects.

6.7. The Committee approved the proposal seeking release of 2nd instalment for 3 projects in Kolkata subject to the following:

- The State must complete implementation of all reforms within the Mission period;
- Shortfall in State share should be released urgently and utilised; and
- UCs should be resubmitted with break-up details of expenditure separately under Central share, State share, ULB share and Beneficiary contribution

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Details of the approval are at Annexure-V (Col. C, D & E).
Recommendation for release of ACA will be made subject to the State submitting revised UC.

(Action: JNNURM Directorate to recommend release of ACA; State to comply with the conditions.)

7. Proposals for 3rd instalment of ACA

Gujarat

8.3. The representative of the State of Gujarat made a presentation on the proposal seeking 3rd instalment of ACA for 2 BSUP projects in Ahmedabad. The Committee observed the following:

- The gap in implementation of 7 point charter should be addressed immediately;
- The BSUP fund should be made non-lapsable;
- The State should issue regulations regarding making BSUP fund in cities non-lapsable and permanent and adequate reservation of land for EWS and LIG in housing colonies including TP schemes so as to implement the stipulated reform under JNNURM; and
- The State has not utilised its share fully.

8.3. The Committee approved the proposal for releasing the 3rd instalment of ACA for 2 BSUP projects in Ahmedabad, subject to the following:

- The State must utilise its share fully; and
- The State must complete implementation of all reforms within the Mission period with set milestones.

Details of the approval are at Annexure-VI (Col.A & B).

(Action: JNNURM Directorate to recommend release of ACA; State to comply with conditions)

8. Proposals for 4th instalment of ACA

Gujarat

8.3. The representative of the State of Gujarat made a presentation on the proposal seeking 4th and final instalment of ACA for 1 BSUP project in Ahmedabad. The Committee observed the following:-

- The State should start work on the remaining 600 units
- The issues raised about the beneficiary list should be sorted out;
- Beneficiary list should be put on the website
- The ULB should ensure that there is no encroachment in the land that will be vacated on completion of the BSUP project;

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- The gap in implementation of 7 point charter should be addressed immediately;
- The BSUP fund should be made non-lapsable and permanent through regulation;
- Reservation of land in layouts for EWS and LIG should be through appropriate regulatory amendments.
- The TPIMA report should be submitted for entire projects, and not for some select units.

8.2. The representative of the State informed that the land vacated, on completion of the project, would be utilised for specific projects/purposes for which it was reserved. He said that the Government would soon issue a communication directing the ULBs to make the BSUP fund non-lapsable. He further informed that in new TP schemes/townships 10% of total land (not developed land) is reserved for Socially and Economically Weaker Sections. He stated that the ULB has started work on the remaining 600 units. The delay was on account of locating land for the same. The land for the remaining units has now been obtained. The State representative said that gap in implementation of 7-point Charter will be addressed once funds for completing the projects on hand are made available.

8.3. The Committee approved the proposal seeking 4th and final instalment of ACA for 1 BSUP project in Ahmedabad subject to the following:-

- The State must complete implementation of all reforms within the Mission period;
- Any escalation in the cost should be borne by the State; and
- The State should issue regulation making BSUP Fund non-lapsable and permanent.

Details of the approval are at Annexure-VII.

(Action: JNNURM Directorate to recommend release of ACA: State to comply with the conditions)

9. Proposal seeking 3rd & 4th instalment together

9.1. The representative of the State of Tripura made a presentation on the proposal seeking 3rd and 4th instalment of ACA for one BSUP project in Agartala. He informed that all the 256 housing units approved in the project have been completed.

9.2. The Committee observed the following:

- The State/ULB/implementing agency should ensure that all the 256 housing units are allotted to only eligible people as per the BSUP guidelines;

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- The ULB/implementing agency should ensure that the land vacated is not encroached upon again;
- The ULB should take initiative to form a group housing society in order to guide the dwellers and to ensure maintenance of the assets;
- The project has been executed through NBCC. As no TPIM agency has been established, the State should satisfy itself about the quality of the project through inspection by a State technical agency.
- TPIMA should be established and proper inspection of the IHSDP projects in the State should be done;
- The ULB is lagging behind in the implementation of the 7-point Charter.

9.3. The representative of the State informed that the State has taken action for appointing a TPIMA. He said that the present BSUP project at Agartala has been executed through a reputed agency, i.e., NBCC. The SLNA is satisfied about the quality of the project. Regarding gap in the implementation of 7-point Charter, he said that once the entire balance share of Central assistance is released, the ULB will be in a position to hand over the dwelling units to the beneficiaries. He said that the housing units have been completed with both technical and financial support from NBCC. Payment to them is overdue. He, therefore, requested the Committee to consider releasing 3rd and 4th instalment of ACA together.

9.4. The Committee approved the proposal to release 3rd and 4th instalment/final instalment of ACA for the project, subject to the condition that the State should undertake implementation of all the JNNURM reforms within the Mission period. Details of the approval are at Annexure-VIII.

(Action: JNNURM Directorate to recommend release of ACA; State to comply with the condition and take action on the observations of the Committee)

10. Proposals for PMU and PIU:

10.1. OSD (JNNURM) made a presentation on the proposal for setting up of 1 PMU and 7 PIUs in Madhya Pradesh. He said that the proposal is to establish 1 PMU for both BSUP and IHSDP projects in the in the State and 4 PIUs for Mission Cities and 3 PIUs for IHSDP towns. He said that the proposals conform to the guidelines.

10.2. The Committee approved the proposal. Details of approval are at Annexure IX (a) to (d).

(Action: JNNURM Directorate to recommend release of ACA)

11. Proposal for Comprehensive Capacity Building Programme under JNNURM

11.1. OSD (JNNURM) made a presentation on the proposal received from the State of Gujarat to establish a dedicated national institute to meet the capacity

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building requirements at the ULB, State, regional and national levels. The proposed institute, tentatively named Gujarat Urban Development Institute (GUDI), will act as a national as well as state think tank and a catalyst for capacity creation/training programme for Municipal and senior level officers and other stakeholders, including civil society, research and training providers, etc.. The key components proposed are : development support, capacity building, State urban information resource centre, applied research and development and knowledge management. The proposed institute is to be networked with eminent national and international resource centres. It is to be positioned to act as a think tank for the country/region in matters such as inclusive urban planning, skill development of the poor and municipal finance. OSD (JNNURM) said the proposal seeks Central assistance for the following:-

(Rs. in lakh)

		Total project cost	GOI share	Other sources (Government of Gujarat)
1.	Land, building, interiors, computers for data centre	6240.17		
2.	Data Centre	3446.55		
3.	Capacity building:			
	(a) Development support	356.40		
	(b) Capacity building	2194.00		
	Grand Total	12237.12	10820.12	1414.00

11.2. After discussions, the Committee observed that there is need to standardize the pattern of and limit central assistance while following the relevant Toolkit on the subject. It decided that.

- (1) The Central assistance from MoHUPA for national and regional resource centres will be provided from the pool of 5% IEC funds under JNNURM (BSUP and IHSDP), pooled for the country as a whole as decided earlier
- (2) The Central assistance from MoHUPA for a national resource centre would be limited to Rs.50 crores (Rs.30 crore for Resource Centre, Rs.10 crore for MIS/Data Centre and system and Rs.10 crore for programme support, to start with)
- (3) The Central assistance from MoHUPA for a regional resource centre would be limited to Rs.35 crores (Rs.20 crore for Resource Centre, Rs.10 crore for MIS/Data Centre and Rs.5 crore for programme support, to start with)
- (4) The guidelines under the Toolkit regarding land availability, State share and programme support should be followed.

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11.3 The Committee appreciated the scoping of the national resource centre proposed by Gujarat and approved the proposal in principle subject to the following conditions:-

- (a) The guidelines in the Toolkit regarding land, State share and programmatic support would be followed.
- (b) The State should elucidate on the governing and management structure of the proposed Centre duly studying the alternative structure of institutions like NIPFP, IIMA, NISG and CGG;
- (c) The State should come up with a detailed plan for the National Resource Centre, indicating availability of land, conceptual design, networking with other resource centres proposed, etc.
- (d) The State should provide for representation of the Government of India and other States/cities/national institutions in the management structure of the institute.
- (e) The programme of the institute for next three years may be worked out in consultation with the Ministry.
- (f) The State would seek support from other sources including externally-assisted projects to develop a national centre of excellence duly taking assistance from eminent institutions like CEPT, NIPFP etc.

11.3. The Committee approved the proposal from the State of Gujarat in principle. Release of first installment of funds would be @ 25% of the total cost of Rs.30 Crores for the NRC, @25% of the total cost of Rs.10. Crores for the MIS/Data Centre and @25% of the programme cost of Rs.10 Crores following the Toolkit after a revised proposal is received from the State.

11.4 The Committee observed that capacity building is the single most important constraint to urban governance and poverty alleviation and that OSD (JNNURM) should take up with State Secretaries regarding additional proposals. Secretary (HUPA) stated that we must get prepared to implement the ambitious scheme of Rajiv Awas Yojana aimed at making the country slum-free. RAY calls for the creation of enormous capacity at national, state and city levels.

12. The meeting ended with a vote of thanks to the chair.

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ANNEXURE-I

**LIST OF PARTICIPANTS IN THE 74th MEETING OF CENTRAL
SANCTIONING AND MONITORING COMMITTEE (CSMC) OF BSUP HELD
UNDER THE CHAIRPERSONSHIP OF SECRETARY (HUPA) ON 18.12.2009**

1. Ms. Kiran Dhingra, Secretary,
Ministry of Housing and Urban Poverty Alleviation..... in Chair
2. Dr. P.K. Mohanty, Mission Director and Joint Secretary (JNNURM), MoHUPA
3. Shri Naresh K Dhiran, Town & Country Planner, TCPO, Ministry of Urban
Development
4. Shri D.S. Negi, OSD (JNNURM), MoHUPA
5. Shri Vivek Nangia, Director (JNNURM), MoHUPA
6. Shri V.K. Gupta, Deputy Financial Adviser, Ministry of UD/HUPA
7. Shri J.D. Sharma, Assistant Director, Department of Expenditure
8. Shri Umraw Singh, Deputy Director, MoHUPA
9. Ms Philomena Raphael, AO (JNNURM), MoHUPA
10. Shri I.P. Gautam, Municipal Commissioner, Ahmedabad
11. Ms Mamta Verma, CEO, GUDA, Gujarat
12. Shri Vijay Anadkat, Team Leader, GUDM, Gujarat
13. Ms Chanda Sircar, Director, SUDA, West Bengal
14. Shri Subhasis Gupta, PMU, West Bengal
15. Shri Chintamani, Director, SUDA, Lucknow, Uttar Pradesh
16. Shri Shashimohan Nanda, Deputy Municipal Commissioner, Nanded, Maharashtra
17. Ms Hema Dudhwala, Consultant, Nanded Municipal Corporation
18. Shri Ashok Khare, Chief Engineer, Madhya Pradesh
19. Shri P Bhattacharya, TCP, Govt of Tripura
20. Ms. Usha P. Mahavir, Deputy Chief, HUDCO, New Delhi
21. Ms. Radha Roy, Assistant Chief, HUDCO, New Delhi
22. Dr Ravi Shankar, Asst. Chief, HUDCO, Maharashtra
23. Shri Surinder Garg, Regional Chief, HUDCO, Assam
24. Shri C.N. Jha, Development Officer, BMTPC
25. Shri D.P. Singh, Development Officer, BMTPC, New Delhi
26. Shri M Jayachandran, Deputy Director, MoHUPA

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IMPORTANT POINTS REGARDING FORMULATION, EXECUTION & MONITORING OF BSUP AND IHSDP PROJECTS

CDPs and Slum Development Plans

- The first generation CDPs have not addressed the requirements of housing and infrastructure in slums/low-income settlements adequately. States/UTs should revisit their CDPs, identify all the slums in their cities and towns – both notified and non-notified, develop database, and assess the needs for both *in situ* and relocation projects. As far as possible, priority should be accorded to *in situ* development of slums, keeping the livelihood needs of the urban poor in view. Time-bound Slum Development Plans need to be prepared for all cities and towns to pursue the agenda of Slum-free Cities/Towns in view of the mandatory reform regarding the provision of entitlements and amenities to the urban poor in accordance with the 7-Point Charter of JNNURM.

Slum & Socio-economic Surveys

- Survey of slums and potential beneficiaries for coverage under BSUP and IHSDP projects is a must for the meaningful formulation of DPRs. All efforts should be made for the proper conduct of detailed slum surveys and household socio-economic surveys to identify the projects/facilities to be included under DPRs. The SLNAs concerned would be responsible for the conduct of various surveys under the guidance of the State Secretary concerned. OSD (JNNURM) will coordinate the conduct of surveys in BSUP cities/towns and other cities and towns covered / proposed to be covered under IHSDP. He will communicate necessary guidelines / formats for the conduct of Slum, Household and Livelihoods Surveys in States/UTs. Training programmes as required for effective survey works should be organised by SLNAs, coordinated by OSD (JNNURM).
- Surveys would facilitate the assessment of the felt-needs of slum-dwellers/urban poor, especially for housing and physical and social infrastructure including schools, health care centres and other social /community facilities like community halls, common facility centres etc. Such surveys should cover health, education and livelihood profiles of the urban poor. The survey findings must be utilized for designing good BSUP/IHSDP projects, taking into account important aspects such as dependency load in the existing schools, capacity in hospitals for in- and out-patients, need for multi-purpose community centres including livelihood centres, informal sector markets, etc. depending

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on the occupational profiles of the beneficiaries and the likely numbers.

- In case there is time constraint, *regular slum survey and household socio-economic survey* can be preceded by *rapid slum and socio-economic surveys* for the purposes of assessing the state of basic amenities in slums and the felt-needs of beneficiaries, duly considering their broad social, economic, educational and skill profiles, main and subsidiary occupations etc. so that appropriate physical and social infrastructure facilities can be proposed in the DPRs.
- Each DPR should be accompanied by a list of beneficiaries based on the socio-economic survey. Efforts should be made to develop slums inhabited predominantly by SCs, STs and other weaker sections living in sub-human conditions. States/ULBs should ensure that houses under BSUP and IHSDP are provided to the needy and the properly targeted sections. The list of beneficiaries should be notified and placed in the website of the ULB/JNNURM.
- States/UTs should go in for issues of bio-metric identity cards to beneficiaries based on the socio-economic survey and computerization of data/records. This is to ensure that they do not sell the dwelling units and squat elsewhere. Further, the States/ULBs may impose conditions that the houses constructed under JNNURM cannot be transferred over a specified period or that the same would be on a long-term lease. The possibility of sale/alienation/misuse of housing units constructed under BSUP and IHSDP should be prevented.

Consultation with Beneficiaries

- Consultation with beneficiaries is a must before deciding on preparation of DPRs. Willingness of the beneficiaries should be taken for any rehabilitation/relocation projects proposed and also for payment of beneficiary contribution.
- Affordability of the urban poor should be kept foremost in view while working out beneficiary contribution. Any contribution amount beyond their financial capacity may lead to the imposition of undue burden on them. Therefore, special care needs to be taken while deciding upfront beneficiary contribution or EMI payment. States/UTs may arrange loans under Differential Rate of Interest scheme for beneficiaries to enable them to meet their share. Overall construction cost of the housing unit may also be kept at a minimum.

Mandatory Clearances/Approvals

- The State Level Nodal Agency/implementing bodies should ensure that the necessary clearances/approvals such as environmental clearance, Coastal Regulation Zone (CRZ) regulation clearance, land use clearance, etc. are in place before the DPRs are posed to the State Level Steering Committee/State Level Coordination Committee, as the

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case may be, for consideration. The CEO of the SLNA and State Secretary concerned should place the necessary technical clearances/approvals under various laws, including Environmental, Town Planning and Municipal laws, etc. and certificate that the estimates contained in the DPRs are authenticated/certified by the technically competent authorities under the State PWD Core/rules before the SLSC/SLCC.

- The layouts proposed for housing colonies under BSUP and IHSDP, showing various land uses and facilities proposed must conform to the prevalent Town Planning Rules/norms, as applicable to low income housing/informal settlements. Copies of layouts and housing designs must invariably accompany DPRs when the same are sent to Appraising Agencies.

Housing & Infrastructure Components

- The Ministry of Housing & Urban Poverty Alleviation has circulated Model DPR document to all States/UTs. The same is also placed in the Ministry's web site for JNNURM. A 'whole slum' approach will need to be adopted covering provision of land tenure, affordable housing and basic services. The whole slum proposed for development/redevelopment/relocation should be de-notified after the BSUP or IHSDP project is implemented. However, considering the difficulties in practice and special needs of the urban poor at some locations, clusters having more than 15 housing units can also be considered. Under the whole slum approach, it must be ensured that pucca houses left out of housing programme should be provided with individual toilet facilities with a view to achieving total sanitation.
- The housing component should generally be at least 50% of the total project cost with a view to achieving the targets fixed under the Mission and also giving primacy to the provision of shelter to the urban poor except in cases where housing units have already been constructed/are being constructed under VAMBAY or other EWS scheme of Central or State Governments.
- Adequate provision should be made for water supply, sewerage, drainage, solid and liquid waste disposal in the colonies proposed for development under BSUP or IHSDP. Individual water and sewer connection should be provided. Digeester technology, where immediate connections to city sewer systems are not feasible, could be adopted in the place of dual-pits/septic tanks, wherever feasible.

Education, Health & Other Facilities

- Proposals for additional schools or additional rooms in existing schools must be part of the DPRs. The capacity of the existing schools to absorb the children from colonies being developed under BSUP and

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IHSDP needs to be carefully studied. The estimate of school-going children (including those from the new colonies) and demand for classrooms in terms of prevailing norms, capacity in existing schools and the additional capacity required should be worked out.

- Similar exercise should be done for providing health care facilities – hospitals, health centres, maternity centres etc.
- Action needs to be taken to ensure that other community infrastructure facilities like electrical sub-stations, transformers, water supply reservoirs, parks, playgrounds, bus stops, local markets, post offices etc. are also made available to the poor.
- Detailed estimates of community facility requirements as per planning norms, availability and gaps to be addressed have to be prepared at the initial stage of project preparation itself.

Colony Layouts & Housing Designs

- The colony layout plan should be socially cohesive and should facilitate social interaction amongst the dwellers. Efforts should be made for providing at 30% open areas along with 15% organised green area in the layouts.
- Adequate space must be provided in the layouts for community facilities including social and livelihoods infrastructure. The layout plan must include designated space to take care of convergent services such as health, education and recreation, informal sector markets, livelihood centres, pens for animals (if permitted and required) etc. in accordance with the specific needs of each of the slum pockets and their beneficiaries.
- The houses proposed should have two rooms, balcony, kitchen and separate bathroom and latrine, individual water connection and sewer connection. Aspects such as storage space for keeping things in rooms/kitchen, location of kitchen, location of toilet and bathroom in the houses to facilitate privacy, independent access from both rooms to toilet and bathroom, leaving a small space for fitting exhaust fan in kitchen and toilet, balcony for drying clothes etc., are some of the nuances that can be thoughtfully incorporated in the design of the houses for the poor.
- In the case of more than G+3 structures, provisions for ramp/lifts may be made to ensure that the old, disabled and children are not put to inconvenience.
- While priority should be given to accommodate physically challenged beneficiaries in the colonies, suitable barrier-free environments need to be provided for them in the proposed projects.
- The Ministry of HUPA, with the help of HUDCO and BMTPC, has developed good designs of houses, colonies, ramps and various types of social infrastructure facilities which could be appropriately used

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while formulating project proposals. A Toolkit has also been published in this regard. The same may be referred to.

- The State Level Nodal Agency/ULBs/other implementing agencies may adopt innovative designs for layouts and houses for the poor, multi-purpose community centres, informal sector markets, animal pens; etc. not only in BSUP and IHSDP projects but also in their own programmes taken up by Housing Corporations/Boards etc. duly considering the models presented by HUDCO and BMTPC in the Toolkit published by the Ministry.

Developing Green Habitats

- States/UTs should take action to develop green habitats for the urban poor duly providing as many green belts, parks, avenue plantations, etc. as possible. Road-side plantations with tree guards and block plantations in the colonies taken up under BSUP and IHSDP should be given priority by the ULBs/Departments dealing with Parks, Plantations and Urban Forests.
- Tall seedlings, say 4-5 years old may be procured and planted in BSUP and IHSDP colonies so that they get established quickly without the need for careful nurture and maintenance.

Connectivity to City Infrastructure

- The infrastructure networks being developed under BSUP and IHSDP should invariably be integrated or planned to be integrated with trunk-line city infrastructure facilities, either already existing or being developed under UIG / UIDSSMT or State Government programmes in accordance with CDPs.
- The States / ULBs should ensure proper coordination amongst various agencies engaged in the implementation of JNNURM (UIG, UIDSSMT, BSUP and IHSDP) and other schemes to make sure that slums and low-income communities are linked to city-wide infrastructure systems. The project appraisal teams for UIG, UIDSSMT, BSUP and IHSDP must ensure such type of linkages.
- Infrastructure facilities provided to slum-dwellers/urban poor in the BSUP and IHSDP colonies should not be inferior to those in the surrounding areas.

O&M - Maintenance of Assets

- Proper maintenance of assets and upkeep of cleanliness and hygiene in the housing complexes / colonies developed under BSUP and IHSDP should be given utmost importance. States/UTs must develop viable and sustainable mechanisms for the maintenance of the houses and common infrastructure facilities created under BSUP and IHSDP through suitable mechanisms such as colony welfare associations, local

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body-residents partnerships, institutional arrangements of collection of monthly maintenance charges etc.

- Wherever informal sector markets are taken up as a part of social infrastructure facilities in colonies, their operation on a time-sharing basis by inhabitants or their associations can be considered by the ULB concerned for enabling wider coverage of beneficiaries, without allotting space to any one person permanently.

Prime Minister's New 15-Point Programme for Welfare of Minorities

- An important objective of the new programme is to ensure that the benefits of various government schemes for the underprivileged reach the disadvantaged sections of the minority communities. In this regard, care should be taken to take up clusters of minority beneficiaries to the extent possible. Wherever feasible, efforts should be made to allocate up to 15% of targets under BSUP and IHSDP for the minorities.

Appraisal Check Lists

- Responsibility for the technical specifications in DPRs (adherence to State PWD Code and Government Orders) and their endorsement/approval by the competent authority lies with the ULB/implementing authority/State Level Nodal Agency. The appraisal agencies must also ensure that the technical specifications are duly certified by the technically competent authorities as per State Government Public Works Code/Government Orders. Authenticated estimates (rates and bills of quantities) duly signed by appropriate authorities must accompany the DPRs/Appraisal Reports.
- The SLNAs/Appraisal Agencies should specially devote attention to the following aspects:
 - Land availability for housing the poor – verification of ownership;
 - Land tenure status – patta, temporary patta, occupancy right etc.;
 - Availability of State share, ULB share, Beneficiary contribution as per Guidelines of BSUP/IHSDP;
 - Willingness of beneficiaries to pay for their contribution.
 - Housing design – two rooms, kitchen, balcony, individual toilet, individual water connection - refer to the Design Manual circulated by the Ministry;
 - Adherence to town planning norms – Layout plans/designs should conform to town planning regulations applicable and be duly signed by competent municipal authority/SLNA officer;
 - Provision of adequate open space in layouts – for green belts, parks, playgrounds, avenue plantations, roads etc.;

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- Authentication by competent engineering authority as per State Government PWD Code/Order duly signed by engineers of appropriate level and SLNA Chief Executive Officer;
- Identification of eligible beneficiaries - process for issue of biometric identity cards must be completed within one month after sanction of projects, in general;
- Rapid/detailed socio-economic survey of beneficiaries - details to be provided;
- Proper identification of needs of community infrastructure
 - Provision of required civic infrastructure including social infrastructure such as community hall, livelihood centre, information sector market, animal pen, etc. Estimation of requirements must be based on judicious norms.
 - Connectivity of slum infrastructure facilities with city-wide trunk infrastructure networks - water supply, sewerage, storm drainage, roads etc. - ensuring connectivity of local infrastructure being taken up under BSUP/IHSDP with facilities being created under UIG/UIDSSMT.
- Availability/provision of basic amenities like post offices, bus stops, transport services, local shopping complexes, electricity transformers, sub-stations, water supply overhead tanks/ground level service reservoirs etc.
- SLSC/SLCC approval, project-wise (not in a block) with all details clearly indicating estimates, plans, availability of State share and agreement to meet cost escalation.

Time & Cost Over-runs

- The BSUP and IHSDP projects are generally required to be completed in 12 to 15 months. In the case of Special Category States/UTs and projects requiring special considerations, 3 to 6 months additional period could be provided. It is expected that any escalation in the project cost would be borne by the State Government/ULB concerned.
- For reducing escalation in the cost of projects, the following options could be exercised by the implementing agencies:-
 - i) Purchasing materials (cement, steel, sanitary pipes, electrical items) in bulk, wherever considered prudent and feasible with a view to reducing cost;
 - ii) Encouraging labour contribution from the beneficiaries under the supervision of qualified technical personnel;
 - iii) Bifurcating tendering (between housing component and infrastructure component) with a view to reducing the possibility of time and cost overruns; and

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- iv) Creating/using a revolving "Basic Services for Urban Poor (BSUP) Fund" earmarked out of the municipal budget and supplemented by other innovative measures like cross-subsidization, State Government support etc. for meeting cost escalation.

Setting up of PMU/PIUs

- The States/UTs should submit proposals to OSD (JNNURM) who will get the same appraised and bring up before the Central Sanctioning & Monitoring Committee/Central Sanctioning Committee. Transparent methods should be adopted in the selection and appointment of professionals in PMUs and PIUs. Such appointments should not be permanent in nature but only in terms of short-term engagements. No appointment should be seen as a place for parking the dead-wood. States/UTs must take all care to ensure that the PMU/PIUs have competent personnel.
- Each appointment to PMU/PIU should be based on prescribed terms of reference and the deliverables should be measured. Various activities, tasks and outcomes have to be clearly spelt out in the TORs. States/ULBs should exercise utmost caution in making such appointments on a contract basis. They should try and ensure minimum expenditure by selecting / appointing professionals at an appropriate remuneration rather than immediately opting for the maximum amount indicated by the Centre. However, the calibre of such professionals should be of a reasonably high level. If need be, qualified persons from Central/State Government/ULBs could be taken in PMU/PIU on deputation. The personnel with PMUs/ PIUs should work in tandem/collaboration with the State Level Nodal Agency / ULBs. These personnel should also assist in the implementation of other programmes like SJSRY.

Fees for Preparation of DPRs

- The States/UTs should submit proposals for reimbursement of fees to the concerned Appraisal Agency which had appraised the projects. The Appraisal Agency has a crucial role in examining the claim with particular reference to the various stages of improvement and modifications that were brought out in the DPRs before they were finally approved by the Central Sanctioning and Monitoring Committee/Central Sanctioning Committee. The Appraisal Agency should submit report to the Ministry for releasing Central Assistance for reimbursement of charges towards the cost of preparation of DPRs (both in the case of DPRs prepared by in-house personnel as well as by consultants). These will be considered by the Central Sanctioning and Monitoring Committee/Central Sanctioning Committee. After approval, recommendation will be sent to the Ministry of

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Finance/Ministry of Home Affairs for releasing Central Assistance out of the ACA allocation for the particular State/UT in the case of projects prepared by consultants.

- The Central Assistance for DPRs prepared through in-house personnel of the States would be released from out of the 1% JNNURM fund in the Budget of Ministry of HUPA as decided in the Central Sanctioning & Monitoring Committee /Central Sanctioning Committee Meetings earlier. While sending proposals for reimbursement of project preparation charges, the appraising agency must ensure that two copies of DPRs (duly revised based on decisions of CSMC/CSC) are provided to OSD (JNNURM) for record. The Appraising Agency should also keep two copies of DPRs with it in safe custody so as to be able to meet requests for information under the RTI Act, 2005.

Community Development Network (CDN)

- The States / UTs should prioritise and get necessary approval from SLSC/SLCC to the proposals concerning Community Development Network (CDN) so as to seek support from the Community Participation Fund. Such proposals received in the Ministry of HUPA will be appraised by a team working under the GOI-UNDP Project on National Strategy for Urban Poor coordinated by the National Project Coordinator/Deputy Secretary (JNNURM). The reports will then be placed before the Central Sanctioning & Monitoring Committee for consideration.
- Community Development Networks involving Neighbourhood Groups, Neighbourhood Committees and Community Development Societies should be promoted so that the dynamics of the CDN lead to fraternity in the neighbourhoods and issues of alienation of all sorts could be eliminated. CDN should work towards better inter- and intra- relationships in colonies to get over the dividing forces. This will strengthen a feeling of solidarity among the residents.

Quality in Execution of Projects

- Housing for the poor does not mean poor quality housing. Utmost emphasis must be accorded to the quality execution of houses and infrastructure facilities for the poor. High quality construction, functional units, vector-free atmosphere and healthy living environment should be ensured in the housing projects under BSUP/IHSDP. States/UTs should establish both internal and external quality assurance mechanisms in the case of all BSUP and IHSDP projects.
- State Secretaries in charge of BSUP and IHSDP should ensure that all the projects approved are inspected by independent high level teams

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from time to time to ensure quality in execution and timely completion of projects through removal of all hurdles.

- Beneficiary committees must be constituted to supervise construction of houses. States/UTs should take steps for conducting social audit of projects under BSUP and IHSDP similar to NREGA.

Third Party Inspection and Monitoring (TPIM)

- TPIM should be instituted to bring in transparency and quality in the implementation of all BSUP and IHSDP projects. The Ministry will be providing necessary financial and technical assistance to the States / UTs for TPIM. A Toolkit has been prepared and communicated to the States /UTs.
- Those States/UTs who have not been able to establish TPIMA for BSUP and IHSDP projects may use the services of independent review and monitoring agencies engaged for UIG, UIDSSMT or other programmes.
- Before final instalment is released under BSUP and IHSDP projects, TPIM or quality inspection report until such time a TPIMA is engaged, will be required from the concerned States/UTs unless the CSMC/CSC is of the opinion that the release need not be stopped for the present in the interest of completing houses for the urban poor, who have contributed their share.

Capacity Building Activities

- In the year 2006-07, the Ministry of HUPA had released fund to the State Governments for capacity building activities including research and training towards implementation of BSUP and IHSDP projects. Unless the States/UTs submit utilisation certificates for the funds released earlier, further releases of Central Assistance would be held up, as utilisation certificates have to be furnished within 12 months from the date of closure of the financial year to which financial sanction pertains.
- Capacity building is one of the biggest constraints in the execution of projects and reforms under JNNURM. The States/UTs may take full advantage of the IEC facilities under JNNURM, the National Programme on Capacity Building for Urban Poverty Alleviation and the programme of National Network of Resource Centres (NNRCs).

City/Town Poverty Reduction Strategy

- The city of Rajkot (Gujarat) has brought out a City Poverty Reduction Strategy Report. Other cities/towns may bring out similar reports. They may prepare comprehensive Slum Development Plans with a view to pursuing the goal of Slum-free City. The toolkit prepared by the National Strategy for the Urban Poor project may be referred to.

- States/UTs must develop agenda for Slum-free Cities and Towns and prepare and implement time-bound action plans with specified milestones for progress.

Key Reforms - Core to the Urban Poor

- Special attention should be paid for the implementation of the three key reforms stipulated under JNNURM that are critical to the urban poor: (i) internal earmarking within local body budgets for basic services to the urban poor; (ii) provision of basic services including the implementation of 7-Point Charter in accordance with agreed timelines; (iii) earmarking at least 20-25% of developed land in all housing projects (both public and private agencies) for EWS/LIG category with a system of cross subsidization. States/UTs must develop broad state level policy frameworks to facilitate the implementation of these reforms in all cities and towns.
- The issues of land availability for housing the urban poor and providing them security of land tenure are important issues to be addressed by States/UTs/ULBs if the goals of JNNURM are to be attained. States/UTs need to develop a policy framework including tangible reforms in master planning paradigm and process urgently.

Convergent Delivery of Social Services

- It is necessary to integrate provisions of health, education and social security with land tenure, housing and other amenities for the urban poor to enable them to lead a decent quality of life. Urban Local Bodies and State Governments have a critical role to play to ensure the proper convergence of facilities under the already available schemes for education, health, social security, etc. implemented through different Departments/Agencies. The DPRs should list out the deficiencies in terms of access to school, primary health centre, provision of social welfare and other facilities so that timely remedial measures can be taken up in accordance with the socio-economic survey. Provision of adequate infrastructure by way of school/additional class rooms and health care centres should be taken at the formulation of the DPR itself. A mere statement that adequate number of schools/health centres is available in the vicinity of the proposed housing colony would not be sufficient. The State/ULB/implementing agencies concerned should certify that such facilities available in the vicinity are also accessible to the slum dwellers. Similarly proper convergence of schemes in the realm of social security such as old age pension, widow pension, disability pensions, health insurance, maternity benefit scheme, etc. should be ensured to benefit the urban poor selected under JNNURM and other programmes.
- The States/UTs must take all steps to ensure the convergence of BSUP and IHSDP with other ongoing schemes such as UIG,

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UIDSSMT, Sarva-Siksha Abhiyan, Health Mission, Aam Aadmi Bima Yojana, Rashtriya Swasthya Bima Yojana, National Social Assistance Programme, Prime Minister's Employment Generation Programmes, SJSRY etc. Shelter and basic amenities to the urban poor may not suffice them to move above the poverty line. In particular, State Governments/ULBs should make effort to dovetail the implementation of SJSRY with JNNURM. This step would provide the urban poor with access to livelihoods and enable them to overcome poverty. Programmes for skill development, self-employment, community mobilization, development and empowerment are necessary to facilitate sustained improvements in the living conditions of the urban poor and develop 'inclusive' cities.

Projects for *in situ* Development

- States /UTs should come up with adequate number of projects for in-situ development with good lay-outs and type designs. The emphasis should be to provide a better and supportive atmosphere for living and working. The in-situ development projects should not end up with creation of another cluster of unplanned houses without access to water, sanitation and social infrastructure. Suitable planning and infrastructure provision norms must be adopted. The quality of infrastructure provided to housing colonies under BSUP and IHSDP projects should not be inferior to those available for surrounding areas.

Handholding in Relocation

- In the case of relocation projects, the process of shifting to a new environment with inadequate facilities, near-loss of contact with close relatives and being far off from work places can be very traumatic. The States/UTs should engage social counsellors and Community Development Department personnel/Community Organisers in ULBs to work closely with the beneficiaries and ensure that the process of transition to the new multi-storeyed housing complex/environment/location is as smooth as possible.
- Time-bound programmes must be implemented to provide all basic amenities to the urban poor in the relocation colonies, including city transport services and local market complexes.

Sense of Belongingness

- To create a sense of belongingness, the slums may be named in consultation with the intended beneficiaries. Provision of a low cost enclosure around open spaces in the slum pocket being covered under BSUP/IHSDP could be considered by States/ULBs, if the cost is not prohibitive.

- Beneficiaries must be closely involved in the planning, identification, implementation, monitoring, review and social audit of JNNURM projects.

IEC Activities – Awareness Building

- In a people-centric programme like BSUP and IHSDP under JNNURM, there is a need to generate awareness amongst both the targeted so that they are able to receive what is intended for them by the Government. Awareness needs to be generated amongst the non-targeted sections so as to improve urban policy and highlight how the concerns of the urban poor are very relevant to them. Any awareness campaign should have a national tone, tenor, appeal and recall value, backed by consistent and coherent slogans and themes. The States/ULBs could bring out advertisements in vernacular languages with local adaptation of the templates prepared by the Ministry of HUPA. States/ULBs should ensure that the local adaptation does not deviate from the letter and spirit of the national templates and the messages being conveyed are only about the programme and related policy advocacy. They should also ensure that all such media campaigns are in accordance with the relevant rules and regulations applicable. Cost of such campaigns, in accordance with Government approved rates, would be reimbursed to the States/ULBs under the IEC component of JNNURM subject to limits fixed by CSMC/CSC. Reimbursement will be made if prior approval of the Mission Directorate/CSMC/CSC in the Ministry of HUPA was obtained before launching such campaign. Proposals for reimbursement of such expenditure will be submitted through HUDCO, which will put up the same to the Central Sanctioning and Monitoring Committee for its consideration and approval of reimbursement through Department of Expenditure, Ministry of Finance or Ministry of Home Affairs, as the case may be.

Progress Reports on Implementation

- The States/ULBs should send Quarterly Progress Reports/ Monthly Progress Reports on projects as well as reforms as per prescribed formats, without fail to enable the Ministry to report to the Prime Minister's Office in time. Further, one page abstract on the status of implementation of projects and reforms must be presented before posing the details of project proposals in the meetings of Central Sanctioning & Monitoring Committee/Central Sanctioning Committee.
- State/UT Secretaries in charge of BSUP and IHSDP should take monthly review of the implementation of projects and reforms under

BSUP and IHSDP. Copies of the minutes of such review meetings should be sent to the Mission Directorate in MoHUPA.

Focus on Urban Policy

- There is an urgent need for States/UTs to focus on broader urban policy and urban management reforms to address not only the backlog and current urban issues but also the challenges of future urban growth, say in the next 20-25 years, so that the conditions that led to urban decay are prevented well in time. We should not be in a situation where we are perpetually chasing slum upgradation; States/UTs should plan proactively in anticipation of the future patterns of urban growth due to the factors of rural-urban migration, urban-urban migration, reclassification and natural increase. Without the implementation of urban planning and local government reforms and capacity building for effective urban management, JNNURM would remain a mere infrastructure upgradation programme, and none of the policy changes for vibrant, productive, sustainable and inclusive cities that JNNURM hopes to drive would materialize.

25/94
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BRIEF SUMMARY OF SUPPLEMENTARY AGENDA FOR CONSIDERATION TO CENTRAL SANCTIONING & MONITORING COMMITTEE (CS&MC) UNDER BASIC SERVICES TO THE URBAN POOR (Sub-Mission-II)

(Rs. in Crores)

Sl. No	Mission City, State	Project Title	Total Cost	Central Share	State Share	3 rd /4 th Installment	Brief Summary	Page No.
A.	Ahmadabad (Phase-I), Gujarat	Request for release of 4 th Installment Houses for the Urban Poor (EWS) at locations-in peripheral areas of Ahmadabad (Phase-I), Gujarat				11.91	<ul style="list-style-type: none"> ➤ The project had been approved in 4th CSMC meeting held on 28.09.2006. ➤ The total project cost was Rs. 98.13 Cr. with the Central Share is Rs. 47.64 Cr. ➤ The amount of Rs. 35.73 Cr. in 3 equal Installments had been released and same amount was released for State + ULB Share. ➤ The amount sought and recommended for 4th installment is Rs. 11.9095 Cr. ➤ Project was sanctioned for 8000 DUs and 5632 amenities. ➤ Tenders floated, work order issued and work have been started for the same number of units. ➤ Agency has reported that 6582 DU's and 4848 units are completed. ➤ ULB has already made provision for fund to the tune of 10 % and AMC made provision of Rs. 563.90 crores for the year 2008-09. Reform for Basic service to the urban poor is commenced and progress as per schedule. ➤ As per Gujarat town Planning & Urban Development Act, 1976, AMC has framed about 101 T.P. scheme (i.e. 190 sq. km) with reserving 10% land for urban poor, which is more than required under reform. ➤ The beneficiaries are identified and yet to published on website. 	01 to 11

7th ES&MC meeting, dated : 18.12.2009 (Supplementary Agenda Brief)

26/12/09

Page 1 of 2

BRIEF SUMMARY OF SUPPLEMENTARY AGENDA FOR CONSIDERATION TO CENTRAL SANCTIONING & MONITORING COMMITTEE (CS&MC) UNDER BASIC SERVICES TO THE URBAN POOR (Sub-Mission-II)

		(Rs. in Crores)			
B.	Agartala, Tripura	Request for release of 3 rd Installment - Detailed Project Report for Mourza-Kunjaban slum improvement scheme at Agartala, Tripura.	3.49		<ul style="list-style-type: none"> ➤ The project had been approved in 12th meeting held on 27.04.2007. ➤ The total project cost was Rs. 16.73 Cr. with the Central Share is Rs. 13.96 Cr. ➤ The amount of Rs. 6.9796 Crores in two equal Installments had been released and amount of Rs. 2.07 Crs. was released for State + ULB Share. ➤ The amount sought is 6.98 and recommended for 3rd installment is Rs. 3.4898 Cr. ➤ Project was sanctioned for 256 DUs and amenities. ➤ Tenders floated, work order issued and work have been started for the same number of units. ➤ Agency has reported that 256 DU's and 256 amenities is more than 50% completed. ➤ First reform for internal earmarking of funds for urban poor and constitution of fund is achieved ➤ Basic Services to Urban Poor and earmarking of at least 20-25% of developed land in housing is in progress (Target year 2009-10). ➤ The conditions put up by CSMC at the time 2nd installment recommendation are complied with. ➤ The beneficiaries are identified and same is published on website. ➤ Establishment of PMU/PIU's are in progress and no TPIMA instituted. ➤ No quality report with SLNA Comments received.
	TOTAL		15.4		12 to 14

74th CS&MC meeting, dated : 18.12.2009 (Supplementary Agenda Brief)


 Date 20/12

City/State: Ahmedabad/Gujarat.

Project Title: Houses for the urban poor (EWS) at locations in peripheral areas of Ahmedabad, phase I, Gujarat

Financial Assessment:

(Rs. Lakhs)

1	CSMC Approval	4 th CSMC/28.09.06 2 nd Installment :- 28 th CSMC/09.01.08 3 rd Installment :- 31 st CSMC/13.02.08				
2	Total Project Cost	9813.40	Other Expenses (A&OE Charges):		285.80	
3	Central Share	4763.80				
4	State/ULB Share (Excluding Other Expenses)	4763.80				
		Due	Released	Utilized	%age Utilized vis-a-vis	
					Due Released	
5	Central Share (1 st + 2 nd + 3 rd Instl.)	3572.85	3572.85	3572.85	100%	100%
6	State+ULB Share (1 st + 2 nd + 3 rd Instl.)	3572.85	4428.04	4385.76	123%	99%
7	TOTAL-	7145.70	8000.89	7958.61	111%	99%
8	Amount Sought (4 th Installment)	1190.95 Lakhs				
9	Recommended release as 4 th Installment	1190.95 Lakhs				

Physical Progress:

Sl.	Progress Parameter	Housing	Amenities
1	Sanctioned	8000 Unit	5632 Unit
2	Tender Floated	8000 Unit	5632 Unit
3	Work Order Issued	8000 Unit	5056 Unit
4	Work Started	8000 Unit	5056 Unit
5	Upto 25% Completed	178 Unit	0
6	25-50% Completed	0	0
7	More than 50% completed	0	0
8	Fully Completed	6582 Unit	4848 Unit
9	Occupied	---	---

Reform Status:

1	(a) Internal Earmarking of Funds for Urban Poor	AMC makes budgetary provision for poor to the tune of 10% of its expected revenue income for the year as per the BPMC Act 1949. As per this clause AMC has made provision of 563.90 crores for the year 2008-09
	(b) Constitution of Basic Services to Urban Poor Fund	
2	Basic Services to Urban Poor	Reforms commenced, and progress as per schedule
3	Earmarking of at least 20-25% of developed land in housing projects	As per Gujarat Urban Development & Town Planning Act 1976 SEC. 107, it is required to keep such % of development land in housing programme.

** Detailed reforms status as reported by state is at Annexure-1

CSMC Conditions:

No Conditions		
Other Aspects:		
i.	Whether Beneficiaries Identified:-	Yes
ii.	Whether list of beneficiaries published on the state website :-	No
iii.	Whether Biometric Identification of beneficiaries completed :-	It is in progress
iv.	Whether TPIMA Instituted :-	Yes
v.	Quality:-	Comments of SLNA on quality "The TPIM agency has submitted TPI reports of 1 site out of 4 sites. It shows overall quality of project/works carried out satisfactorily"
vi.	Whether PMU/PIUs established & functional :-	Yes

** Site Visit Report by SLNA (Gujarat) is at Annexure-2

** Executive summary is at Annexure-3

I. 28/94
C

OBSERVATIONS - "HOUSES FOR URBAN POOR (EWS) AT LOCATIONS IN PERIPHERAL AREAS OF AHMEDABAD, PHASE-I GUJARAT" (4TH INSTALMENT)

1. Report by TPIM Agency mentions


- 600 units shifted to another location due to land problem
- Overall Physical Progress is 87% for the Whole project
- Financial Progress - (a) Approved Project Cost as per DPR Rs. 9,813.40 lacs (b) Total tender cost of project Rs. 10,171.26 Lacs. Over all cost escalation is Rs. 357.86 lacs.
(ADDITIONAL PAYMENT WILL BE BORNE BY AUDA).
- Quality - Testing of material consumed in the construction work was done as per the standard codal provision and the results are found satisfactory. QUATILY OF WORK DONE IS SATISFACTORY.
- Due to late handing over of plot work got delayed and also due to shifting of tenements work was delayed.
- Beneficiaries list is finalised and already submitted to the concern authority
- Infrastructure of Sewer line, Storm Water Drainage, Water Line and road pavement - Work done is 75%. All tenders awarded. The work for infrastructure has been completed for 6744 units and the balance work is in progress and will be completed in 2 months.

2. SLNA's Comments

- Report in Annexure-2. Nothing adverse has been reported with regard to physical, financial and quality aspect of the project.

3. There is Gap in reform implementation in Housing and Primary Education. The State has given an assurance that the same will be achieved by March 2010. For the Social Security (Insurance) Reform the State is silent about its achievement.

CSMC MAY TAKE APPROPRIATE DECISION.

29/9/10
2. 

Revised Details

STATUS OF 3 PRO-POOR REFORMS

Name of the State :- GUJARAT

Name of the Mission City / Town :- AHMEDABAD

Sl	Pro-poor reforms	Target Year of Completion (as per MoA)	STATUS					
1	(a) Internal Earmarking of Funds for Urban Poor	2006-07	Financial Year	Total ULB Revenue (Rs. Lacs)	Amount Earmarked (Rs. Lacs)	% earmarked	Amount Utilized (Rs. Lacs)	% utilized
			2005-06	87528.28	17639.51	20.15%	11509.61	65.25%
			2006-07	103660.89	28956.18	27.93%	15753.78	54.41%
			2007-08	137873.50	50292.84	36.48%	22609.03	44.95%
			2008-09	173494.99	56390.46	32.50%	35261.45	62.53%
			2009-10	205330.53	74909.96	36.48%		
	(b) Constitution of Basic Services to Urban Poor Fund		Nil					
2	Basic Services to Urban Poor	2011-12	Name of the Service	Milestones / Benchmark to be achieved by March 2009		Status (Achievement)	Gap	
			Housing	54%		35%	*19%	
			Water Supply	54%		85%	--	
			Sanitation	54%		65%	--	
			Solid Waste Management	54%		95%	--	
			Primary Education	100%		95%	*5%	
			Health Care	100%		100%	--	
			Social Security (Insurance)	65%		--	--	
3	Earmarking of at least 20-25% of developed land in housing projects		AMC has framed about 101 T.P. schemes in the city area covering more than 190 sq.Kms of the city area where in 10% reservation of land for Urban Poor has been made which is compulsory as per Gujarat Town Planning & Urban Development Act 1976. This area is more than 25% of land area required for housing.					

* Gap shown in Housing and Primary Education will be achieved by March-2010
 * Details of Achievement on Social Security (Insurance) will be separately send to you by 16th December

30/94

RR Van...
 Director
 (JnNURM)

Revised Details

STATUS OF 3 PRO-POOR REFORMS

Name of the State :- GUJARAT

Name of the Mission City / Town :- AHMEDABAD

Sl	Pro-poor reforms	Target Year of Completion (as per MoA)	STATUS					
1	(a) Internal Earmarking of Funds for Urban Poor	2006-07	Financial Year	Total ULB Revenue (Rs. Lacs)	Amount Earmarked (Rs. Lacs)	% earmarked	Amount Utilized (Rs. Lacs)	% utilized
			2005-06	87528.28	17639.51	20.15%	11509.61	65.25%
			2006-07	103660.89	28956.18	27.93%	15753.78	54.41%
			2007-08	137873.50	50292.84	36.48%	22609.03	44.95%
			2008-09	173494.99	56390.46	32.50%	35261.45	62.53%
	2009-10	205330.53	74909.96	36.48%				
	(b) Constitution of Basic Services to Urban Poor Fund		Nil					
2	Basic Services to Urban Poor	2011-12	Name of the Service	Milestones / Benchmark to be achieved by March 2009		Status (Achievement)	Gap	
			Housing	54%	35%	*19%		
			Water Supply	54%	85%	--		
			Sanitation	54%	65%	--		
			Solid Waste Management	54%	95%	--		
			Primary Education	100%	95%	*5%		
			Health Care	100%	100%	--		
			Social Security (Insurance)	65%	--	--		
3	Earmarking of at least 20-25% of developed land in housing projects		AMC has framed about 101 T.P. schemes in the city area covering more than 190 sq.Kms of the city area where in 10% reservation of land for Urban Poor has been made which is compulsory as per Gujarat Town Planning & Urban Development Act 1976. This area is more than 25% of land area required for housing.					

* Gap shown in Housing and Primary Education will be achieved by March-2010
 * Details of Achievement on Social Security (Insurance) will be separately send to you by 16th December.

31/94
PR.R. Van...
Director
(JnNURM)

	Sr. No.	Reform Committed	Target Date (As per MoA)	Present Status
ULB LEVEL REFORMS	1.	E-Governance Set Up	2006-07	Completed
	2.	Shift to Accrual based Double Entry Accounting	2007-08	Completed
	3.	Property Tax (85% Coverage)	2011-12	Completed
		Property Tax (90% Collection Efficiency)	2011-12	66% Achieved
	4.	100% Cost Recovery (Water Supply)	2011-12	65% Achieved
		100% Cost Recovery (Solid Waste)	2011-12	65% Achieved
	5.	Internal Earmarking of Funds for Services to Urban Poor	2006-07	Completed
STATE LEVEL REFORMS	6.	Provision of Basic Services to Urban Poor	2011-12	Completed
	7.	74th CAA (Transfer 12 sch. Functions)	2006	Completed before JnNURM
		74th CAA (Constitution of DPC)		
		74th CAA (Constitution of MPC)		
	8.	Transfer-City Planning Function	2006	Completed before JnNURM
		Transfer-Water Supply & Sanitation		Completed before JnNURM
		Transfer-Public Transport		Completed before JnNURM
	9.	Reform in Rent Control NA Achieved	2008	During 2007-08
	10.	Stamp Duty Rationazation to 5%	2009	During 2007-08
	11.	Repeal of ULCRA	2008	Completed before JnNURM
	12.	Enactment of Community Participatiuon Law	2008-09	Achieved
	13.	Enactment of Public Disclosure Law	2008-09	Achieved
	OPTIONAL REFORMS	14.	Introduction of Property Title Certification System in ULBs.	N-A
15.		Revision of Building Bye Laws - Sreamlining the Approval Process		Completed
16.		Revision of Bulding Bye Laws - To make rain water harvesting mandatory		Completed
17.		Earmarking 25% developed land in all housing projects for EWS / LIG		Completed.
18.		Simplification of Legal and Procedural framework for conversion of agricultural land for non-agricultural purposes	N-A	
19.		Introduction of computerized process of Registratiion of land and Property	N-A	
20.		Byelaws on Reuse of Recycled Water	N-A	
21.		Administrative Reforms		Completed
22.		Structural Reforms 2008-09		Completed
23.		Encouraging Public Private Participation		Implemented

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DR Varo
Director
(JnNURM)

Site Visit Report
by
State Level Nodal Agency
(Gujarat)

Mission II :
Basic Services to Urban Poor (DPR I)

Name of the Project:
Construction of housing for the Urban
Poor (Economically Weaker Section) at
various locations in Peripheral areas of
Ahmedabad (Phase I)

Cost of the Project: 98.13 crores

No. of Dwelling Units: 8000

Date of Approval: 28.9.2006

Gujarat Urban Development Mission, Gandhinagar

33/94
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GOVERNMENT OF GUJARAT
GUJARAT URBAN DEVELOPMENT MISSION
(State Level Nodal Agency)

Date: 11.11.2009

Sub: Approval of ULB's Request for 4th Installment of Project under JaNURM

Name of Project Construction of housing for the Urban Poor
(Economically Weaker Section) at various locations in
Peripheral areas of Ahmedabad (Phase I)

Implement Agency Ahmedabad Urban Development Authority (AUDA)

Date of DPR approved 28/09/2006

prepared by CSMC

Date of Completion of Project 27.09.2008

as per DPR

Approved cost of the DPR Rs 9813.40 Lakhs

Project Components (as per
sanctioned DPR)

A) EWS-I Housing 8940.0 lakhs
B) Infrastructure cost 587.60 lakhs
C) Admin & Operation 285.80 lakhs
Total 9813.4 lakhs

No. of Dwelling Units 8000

Project description Brief

EWS-I- Housing: The housing design for E.W.S. Category is Ground + Three Storied RCC structure. On each floor, there are eight dwelling units. The total Built-up area of single D.U. is 21 Sq.m. (i.e. 225.96 Sq. Ft.) single living room, loft, kitchen, W.C., and bath. Basic infrastructure like water supply, drainage, sewerage, Internal roads, SWD street lights are to be provided by the implementing agency.

Condition for sanctioning DPR

It is also proposed to provide all physical infrastructure at all sites
No Conditional sanction

Financial Pattern

Gol : 50.00 %
(Rs. 4763.8 lakhs)
GoG : 20.00 %
(Rs. 1905.52 lakhs)
AUDA / Beneficiaries : 30.00 %
(Rs. 3144.08 lakhs)

34/94

Remarks

1. Out of 8000 sanctioned dwelling units work for 1070 DUs are in progress.

6930 DUs are completed, out of which 4320 are allotted.

• More 150 units are an advance stage of completion and will be completed by Dec. 09. In brief,

Description	No. of units
Total Sanctioned	8000
Completed	6930
Allotted	4320
Work in Progress	1070
Non started	NIL

2. As per the AUDA officials, the project is delayed by a year due to relocation of site, and change of Final Plot. Now all issues are settled and work has begun.

3. AUDA has appointed Mars Engineering services Pvt. Ltd. as Third Party and Inspection and Monitoring Agency.

4. Beside, GOI & GUDM has appointed M/s Shrikhande Consultants Pvt. Ltd as TPIMA. The TPIM agency has submitted TPI reports of 1 site out of 4 sites. It shows overall quality of project/ works carried out satisfactorily.

5. Till date AUDA has collected about Rs.13.64 crores as beneficiaries' contribution in installment from allottee.

6. Total released fund : Rs. 50.01 crores
Total Expenditure till date: RS. 84.30 crores
% of utilization : 168.56 %

7. All test reports, TPI reports, resolutions of AUDA official, approval / NOC of line agencies are available with AUDA.

Considering all above details and based on site inspection, work progress and TPIM report which is in lines of GOI guidelines as per sanctioned project, and evaluating the physical - financial progress of the work GUDM requests to approve 4th installment application of AUDA as per GOI norms.

ANSHU
4.11.09
Housing Specialist
PMU, GUDM

mmk
11/11
Team Leader
PMU, GUDM

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P

**Third Party Inspection and Monitoring Agency
Projects funded by JNNURM
(EWS HOUSING PROJECT BSUP-1. IN Auda AREA)
EXECUTIVE SUMMARY**

1. **Project Name** : Construction of 8000 Units for Urban Poor at different Locations in AUDA Area.(BSUP-1)
2. **Financial Details** : Approved project cost – Rs 9813.40 Lacs.
1. GOI Share – Rs 4763.80 Lacs
2. State share – Rs 1905.52 Lacs
3. ULB & Beneficiaries share – Rs 3144.08 Lacs
3. **Location & No. of Tenements** : In the limit of Auda Area. (Total 4 Packages) Relocation in some part.

Sr. No.	Location	Number of Tenements as per DPR	*No. of Tenements being Constructed	Completed Tenements for Occupation	Balance Tenement
1.	IN LIMIT OF AUDA AREA				
	PACKAGE- 1	2976 Units	2240	2240	
	PACKAGE- 2	2080 Units	2080	2080	
	PACKAGE- 3	576 Units	528	528	
	PACKAGE- 4	2368 Units	2552	1896	656
	TOTAL	8000 Units	7400 * Units	6744 Units	656 Units

* Note: 600 Units shifted to another location due to Land Problem.

4. **Deviation as against DPR** : No Major Change except as above
5. **Progress** : Overall Physical Progress = 87% for Whole Project

Sr. No.	Location	Schedule Date of Commencement as per CSMC	Actual Date of Commencement	Schedule Date of Completion as per CSMC	Expected Date of Completion	Percentage Completion	Remarks
1	In AUDA Area BSUP- 1	28-09-06	20-03-07	30-09-08 Revised 30-09-09	31-03-2010	87%	For Whole Project of BSUP-1

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Financial Progress

- a) Approved Project Cost as per DPR:
Rs. 9,813.40 LACS
- a) Total Tender Cost : Rs.10,171.26 LACS
- b) Approved Tender Project Cost (except Infra.) :
Rs.8,359.00 LACS
- c) Approved Tender Cost for Infrastructure :
Rs.1,812.26 LACS for BSUP-I
(Additional payment will be borne by AUDA)

Funds Status:

Fund Received (GOI+State Grant+ULB+Beneficiary)	% Fund Received against approved project cost	Fund Utilised till Date	% Fund Utilised
RS 3979.31 LACS (GOI) RS 1591.72 LACS (GOG) RS 2645.68 LACS (ULB) Total 8216.71 LACS	83% For whole project	RS 8216.71 Lacs for Whole Project.	83%

Note: Percentage Fund received against actual project cost (Tender Cost) 80.79%

- 8. Quality of Work Done :
 - Testing of material consumed in the construction work was done as per standard codal provision and results are found satisfactory.
 - Quality of work done is satisfactory.
 - No Major Observation found.
- 9. Bottleneck : Due to late handing over of plot, work got Delayed and also due to shifting of tenements work was delayed.
- 10. Status of Beneficiary : The list of beneficiaries is finalized & Already Submitted to concern Authority.

37/94
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Sr. No.	Activity	% of Work Done	% of Balance work	Remarks
1	Sewer line	75%	25%	All tenders awarded. The work for infrastructure completed for 6744 units and balance work is in progress & will be completed in 2 months time.
2	Storm Water Drainage	75%	25%	
3	Water Line	75%	25%	
4	Road / Pavement	75%	25%	

Conclusion: Work Delayed.

For SHRIKHANDE CONSULTANTS PVT. LTD.

(HITESH N. SARDESAI)
GENERAL MANAGER (PROJECTS)

38/94/58
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Project Title : Detailed Project Report for Mouza Kunjaban slum improvement Scheme at Agartala.

Financial Assessment:

(Rs. Lakhs)

1	CSMC Approval	12 th CSMC/27.04.2007 2 nd - 41 st CSMC/30.09.2008			
2	Total Project Cost	1672.841	Other Expenses (Contingency and A&OE)		122.277
3	Central Share	1395.913			
4	State/ULB Share (Excluding Other Expenses)	154.651			
		Due	Released	Utilized	%age Utilized vis-a-vis Due Released
5	Central Share (1 st + 2 nd Instalment)	697.96	697.98	697.98	100% 100%
6	State+ULB Share (1 st + 2 nd Instalment)	77.33	207.00	207.00	268% 100%
7	TOTAL:-	775.28	904.98	904.98	117% 100%
8	Amount Sought as 3 rd & 4 th instalment (Rs.)	697.98 Lakhs			
9	Recommended release as 3 rd Instalment (Rs.)	348.98 Lakhs			

Physical Progress:

Sl.	Progress Parameter	Housing (Dus)	Amenities
1	Sanctioned	256	256
2	Tender Floated	256	256
3	Work Order Issued	256	256
4	Work Started	256	256
5	Upto 25% Completed	256	256
6	25-50% Completed	256	256
7	More than 50% completed	256	256
8	Fully Completed	---	---
9	Occupied	---	---

Reform Status:

1	(a) Internal Earmarking of Funds for Urban Poor (b) Constitution of Basic Services to Urban Poor Fund	Achieved
2	Basic Services to Urban Poor	In Progress (Target year 2009-10)
3	Earmarking of at least 20-25% of developed land in housing projects	In Progress (Target year 2009-10)

** Summary of all reforms status & detailed reforms status are at Annexure-I

Conditions by CSMC at the time of sanctioning 2nd instalment:

Sl.	Conditions	Compliance
1	Fund (2nd instalment) would be released on receipt of report from the State Government that slum survey for the project has been completed and all the conditions imposed by the CSMC at the time of approval of the project have been complied with.	Complied with

Other Aspects (As reported by states):

i.	Whether Beneficiaries identified:-	Yes
ii.	Whether list of beneficiaries published on the state website :-	Yes
iii.	Whether Biometric identification of beneficiaries completed :-	Yes
iv.	Whether TPIMA instituted :-	Yes
v.	Quality:-	No Quality report with SLNA comments
vi.	Whether PMU/PIUs established & functional :-	In Progress

Observation

1. No TPIMA agency.
2. No Quality Report. However State has assured Initial Quality Report with SLNA comments.

39/94
12. (P)

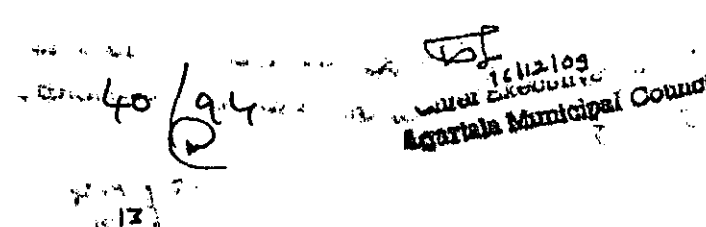
STATUS OF 3 PRO-POOR REFORMS

Name of the State : TRIPURA

Name of the Mission City/Town : AGARTALA

Sl. No	Pro-poor reforms	Target Year of Completion (as per MoA)	STATUS					
			Financial year	Total ULB Budget (Rs. Lacs)	Amount Earmarked (Rs. Lacs)	% earmarked	Amount Utilized (Rs. Lacs)	% utilized
01	(a) Internal Earmarking of Funds for Urban Poor		2005-06	NA	NA			
			2006-07	2509	NA			
			2007-08	2525	320	12%	280	87%
			2008-09	2982	460	15%	370	80%
			2009-10	7938	1405.65	17%	541.50	38%
	(b) Constitution of Basic Services to Urban Poor Fund		Separate fund is created in outcome budget 2009-10 and is functional from 01-07-09.					
02	Basic Services to Urban Poor		Name of the Services	Milestones/ Benchmark to be achieved by March 2009	Status (Achievement)	Gap		
			Housing	Permanent house to all urban poor	256 dwelling units constructed for urban poor. New projects for construction of 2000 houses under consideration			
			Water Supply	8 hours water supply to 100% households Public taps / stand posts within 100 mtr. for 10% houses	Achieved 3.5 hours against target 8 hours Hydrant points are within 100 mtr. available.			
			Sanitation	Pucca toilets to all households Availability of community toilets per 1000 population within 100 mtr.	Survey of sanitation facilities is being done under ILCS project Community toilets are constructed in all slum areas, public places to achieve the benchmark quality.			
			Solid Waste Management	Daily sweeping Daily waste collection	Being done on daily basis. More than 200 community bins and 600 ltr. Bin deployed by AMC which are collected on daily basis			
			Primary Education	100% enrolment ratio primary education facilities within 500m	100% enrolment ratio achieved and primary education facilities exists within 500 m from Hhs.			
			Health Care	Weekly visit by health workers Assured health service availability	Weekly visits by ASHA workers ensured through the health officer in coordination with CMO, West Tripura. 20 Health Centers are under construction by AMC.			
			Social Security (Insurance) (ASSP)	To cover all daily labourers under ASSP scheme	3169 daily labourers covered under ASSP on deposit of Rs. 25 per month & equal contribution by Govt.			
03	Earmarking of at least 20-25% of developed land in housing projects		AMC has been passed resolution for earmarking of land and the action has been processed by the State Government for the same earmarking.					

* copy of outcome budget 2009-10 showing separate fund for basic service to Urban Poor is enclosed.

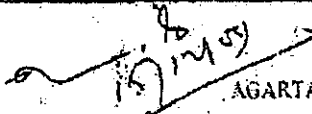


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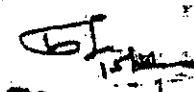


SUMMARY OF JNNURM REFORMS

AGARTALA					
	Sl. No.	Reform Committed	Commitment Year	Present Status	Revised Commitment
ULB LEVEL REFORMS	L1	E- Governance setup	2007-08	In Progress	2009-10
	L2	Shift to Accrual based Double entry accounting	2008-09	Achieved	
	L3	Property Tax (85% coverage)	2010-11	In Progress	2010-11
		Property Tax (90% collection efficiency)	Not Mentioned	In Progress	2010-11
	L4	100% cost recovery (Water supply)	2010-11	In Progress	2010-11
		100% cost recovery (Solid waste)	2010-11	In Progress	2010-11
L5	Internal Ear-Marking of Funds for services to Urban poor	2007-08	Achieved		
L6	Provision of Basic Services to Urban Poor	2009-10	In Progress	2009-10	
STATE LEVEL REFORMS	S1	74 th CAA (Transfer 12 sch. Functions)	2009-10	Achieved	
		74 th CAA (Constitution of DPC)	2008-09	Achieved	
		74 th CAA (Constitution of MPC)	NA	NA	NA
	S2	Transfer - City Planning functions	2007-08	Achieved	
		Transfer Water Supply & Sanitation	2008-09	Achieved	
		Transfer Public Transport	Not mentioned	Achieved	
	S3	Reform in Rent Control	2008-09	In Progress	2009-10
S4	Stamp duty rationalization to 5%		Achieved		
S5	Repeal of ULCRA		Achieved		
S6	Enactment of Community Participation Law	2007-08	Achieved		
S7	Enactment of Public Disclosure Law	2007-08	Achieved		
OPTIONAL REFORMS	O1	Introduction of Property Title Certification System in ULBs	2008-09	In Progress	2009-10
	O2	Revision of Building by Laws - streamlining the approval process	2009-10	Achieved	
	O3	Revision of Building by Laws - to make rain water harvesting mandatory	2009-10	Achieved	
	O4	Earmarking 25% Development in all housing projects for EWS/ LIG	2009-10	In Progress	2009-10
	O5	Simplification of legal procedural frame work for conversion of agricultural land for non-agricultural purposes	2009-10	Achieved	
	O6	Introduction of computerized process of registration of land and property	2009-10	Achieved	
	O7	Byelaws on reuse of recycled water	2009-10	Achieved	
	O8	Administrative reforms	2008-09	In progress	2010-11
	O9	Structural reforms	To be indicated	In progress	2010-11
	O10	Encouraging Public/Private Participation	2008-09	Achieved	


 AGARTALA MUNICIPAL COUNCIL

AGARTALA MUNICIPAL COUNCIL


 Executive Officer
 Agartala Municipal Council

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BRIEF SUMMARY OF SUPPLEMENTARY AGENDA-II FOR CONSIDERATION TO CENTRAL SANCTIONING & MONITORING COMMITTEE (CS&MC) UNDER BASIC SERVICES TO THE URBAN POOR (Sub-Mission-II)

(Rs. in Crores)

Sl. No	Mission City, State	Project Title	Total Cost	Central Share	State Share	2nd Installment	Brief Summary	Page No.
A.	Kolkata, West Bengal	Request for Sanction of 2 nd Installment for BSUP Scheme for the town of Titagarh (Phase-I), 24-Parganas (North), Kolkata MA, West Bengal				2.09	<ul style="list-style-type: none"> ➤ The project had been approved in 57th meeting held on 13.02.2009. ➤ The total project cost is Rs 16.74Cr. with the Central Share is Rs 8.37 Cr. ➤ Total amount for 1st Installments of Rs.2.09 has already been released. ➤ The Second Installment recommended for release is Rs.2.09Cr. ➤ The Utilisation of Centre Share & State Share is 60%. ➤ Houses sanctioned for construction 562 and tender floated & work order issued to all the sanctioned houses, while work started in 340 houses. ➤ Only 1 house is completed and occupied 57 houses are completed more than 50% and 99 houses completed 25-50%. ➤ 216 houses completed Up to 25%. ➤ Internal Earmarking of Fund for Urban Poor is achieved. ➤ Beneficiaries have been identified. ➤ No Quality reports has been submitted. 	01

74th CS&MC meeting, dated : 18.12.2009 (Suppl.Agenda 33 Brief)

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BRIEF SUMMARY OF SUPPLEMENTARY AGENDA-II FOR CONSIDERATION TO CENTRAL SANCTIONING & MONITORING COMMITTEE (CS&MC) UNDER BASIC SERVICES TO THE URBAN POOR (Sub-Mission-II)

(Rs. in Crores)

B	Kolkata/ West Bengal	Request for Sanction of 2 nd Installment for BSUP Scheme for the town of Madhyamgram-Phase-II) Kolkata/West Bengal.	4.97	<ul style="list-style-type: none"> ➤ TPIMA not constituted. ➤ There is a shortfall of Rs.31.69 Lakh in State+ULB+KMDA release. ➤ The project had been approved in 28th meeting held on 09.01.2008. ➤ The total project cost is Rs 39.73Cr. with the Central Share is Rs.19.87 Cr. ➤ Total amount for 1st Installments of Rs.4.97 have already been released. ➤ The Second Installment recommended for release is Rs.4.97 Cr. ➤ The Utilisation of Centre& State Share is 79%. ➤ 1435 units have been sanctioned. ➤ Work order have been issued for 1306 units and work started in 806 units. But no tender floated. ➤ 10 houses are fully completed. 38 houses completed more than 50% while 160 houses completed 25-50% and 606 up to 25%. ➤ Internal Earmarking of Fund for Urban Poor is achieved ➤ Bio-metric details of the beneficiaries should be put on web site within 2 months. ➤ The project be completed within 15 months. 	02
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74th CS&MC meeting, dated: 18.12.2009 (Suppl.A agenda J Brief)

BRIEF SUMMARY OF SUPPLEMENTARY AGENDA-II FOR CONSIDERATION TO CENTRAL SANCTIONING & MONITORING COMMITTEE (CS&MC) UNDER BASIC SERVICES TO THE URBAN POOR (Sub-Mission-III)

(Rs. In Crores)

C	Kolkata/ West Bengal	Request for Sanction of 2 nd Installment for BSUP Scheme for the town of Barnagar, Phase-I) Kolkata/West Bengal.	0.54	<ul style="list-style-type: none"> ➤ The project had been approved in 28th meeting held on 09.01.2008. ➤ The total project cost is Rs 4.31 Cr. with the Central Share is Rs 2.16 Cr. ➤ Total amount of central share for 1st Installment of Rs.0.54 have already been released. ➤ The Second Installment recommended for release is Rs.0.54 Cr. ➤ The Utilisation of Central share & i State Share is 94%. ➤ Housing Units sanctioned for construction are 202, tender floated, work order issued for all the sanctioned housing units. ➤ Work started in 40 housing Units. ➤ 26 housing units are fully completed and occupied. ➤ 2 housing units completed more than 50% and 2 housing units completed 25-50% while 10 housing units completed Up to 25%. ➤ Internal Earmarking of funds for Urban Poor- Achieved. ➤ Beneficiaries have been Partly identified. ➤ TPIMA has not been constituted. ➤ There is a shortfall of Rs 5.03 lakh in State+ULB+KMDA release. 	03
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74th CS&MC meeting, dated : 18.12.2009 (Suppl.Agenda 33 Brief)

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BRIEF SUMMARY OF SUPPLEMENTARY AGENDA-II FOR CONSIDERATION TO CENTRAL SANCTIONING & MONITORING COMMITTEE (CS&MC) UNDER BASIC SERVICES TO THE URBAN POOL (Sub-Mission-II)

(Rs. In Crores)

		31.56	28.56	3.00	14.28		
D.	Gujarat					<ul style="list-style-type: none"> ➤ The resource centres proposed for conducting training programmes are not existing but will be part of proposed GUDI. ➤ Max limit for non residential training programme of Rs. 4000/person/day for senior policy makers including GO/State Govt, Resource Centre/Mayors, Chairpersons, City managers as per toolkit, however Rs 5000 has been taken in the proposal. ➤ No details wrt study visit in the country like no. of official/travel cost as per State Govt etc has been mentioned. ➤ Details of activities(action plan) under proposed key components have not been provided. ➤ Details of the programmes for which resource person will be engaged has not been mentioned. ➤ Details for studies under Research Studies are not provided. 	04 to 09
E	Madhya Pradesh						10 to 18
		31.56	28.56	3.00	21.88		

74th CS&MC meeting, dated: 18.12.2009 (Suppl.Agreenda 33 Brief)

[Handwritten signature]

Project Title: BSUP Scheme for the town of Titagarh (Phase-I), 24-Parganas (North), Kolkata MA, West Bengal

Financial Assessment:

(Rs. Lakhs)

1	CSMC Approval	57 th CSMC/13.02.2009				
2	Total Project Cost	1673.50				
3	Central Share	836.75				
4	State, ULB & KMDA share (Excluding Beneficiary & Other Expenses)	671.19				
5	Beneficiary Contribution	165.56				
		Due	Released	Utilized	%age Utilized vis-a-vis	
					Due	Released
6	Central Share	209.19	209.19	126.08	60%	60%
7	State+ULB & KMDA Share	167.80	168.23	82.03	49%	60%
8	Beneficiary Contribution		168.23	101.38		60%
9	TOTAL:-	376.99	513.53	309.49	82%	60%
10	Amount Sought (Rs.)	209.19 Lakhs				
11	Recommended release as 2 nd Installment (Rs.)	209.19 Lakhs				

There is a shortfall of Rs. 31.69 Lakh in State+ULB+KMDA release (No KMDA release)

As per Sanctioned details total beneficiary share is Rs. 165.56 but state has collected Rs. 168.23 upto date 31.10.09

Physical Progress:

Sl.	Progress Parameter	Housing (Nos.)	Sewerage	Concrete Road	Street Light	Community Centre	Plantation
1	Sanctioned	562	16824	14076	12 Nos.	6 Nos.	LUMP SUM
2	Tender Floated	562	16824	14076	12 Nos.	6 Nos.	FULL
3	Work Order Issued	562	16824	14076	12 Nos.	6 Nos.	FULL
4	Work Started	340	----	NIL	NIL	NIL	NIL
5	Upto 25% Completed	216	----	NIL	NIL	NIL	NIL
6	25-50% Completed	99	----	NIL	NIL	NIL	NIL
7	More than 50% completed	57	----	NIL	NIL	NIL	NIL
8	Fully Completed	1	3883	NIL	NIL	NIL	NIL
9	Occupied	1	----	----	----	----	----

Reform Status:

1.	(a) Internal Earmarking of Funds for Urban Poor	Achieved (25% s earmarked)
	(b) Constitution of Basic Services to Urban Poor	Constituted
2.	Basic Services to Urban Poor	Achieved (Basic services to poor being augmented under KUSP program running together with social security being provided through projects like NOAPS, NFBS, JSY - Rs. 167.74 Lacs provision during 2008-09)
3.	Earmarking of at least 20-25% of developed land in housing projects	-----

Conditions by CSMC:

	No Condition
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Other aspects:

i.	Whether Beneficiaries identified:-	Yes
ii.	Whether list of beneficiaries published on the state website :-	No
iii.	Whether Biometric Identification of beneficiaries completed :-	No
iv.	Whether TPIMA instituted :-	No
v.	Quality:-	No Quality reports has been submitted with SLMA's comments
vi.	Whether PMU/PIUs established & functional :-	Yes

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City/State : Kolkata (Madhyamgram)/West Bengal

BSUP 2nd Installment

Project Title: BSUP Scheme for the town of Madhyamgram Ph-II, West Bengal

Financial Assessment:

(Rs. Lakhs)

1	CSMC Approval	28 th CSMC/09.01.2008				
2	Total Project Cost	3973.28				
3	Central Share	1986.64				
4	State, ULB & KMDA share (Excluding Beneficiary & Other Expenses)	1563.97				
5	Beneficiary Contribution-	422.67				
		Due	Released	Utilized	%age Utilized vis-à-vis	
					Due	Released
6	Central Share	496.66	496.66	391.22	79%	79%
7	State+ULB & KMDA Share	390.99	253.86	253.86	65%	79%
8	Beneficiary Contribution-		293.95	231.52		79%
9	TOTAL-	887.65	1112.93	876.60	99%	79%
10	Amount Sought (Rs.)	496.66 Lakhs				
11	Recommended release as 2 nd Installment (Rs.)	496.66 Lakhs				

There is a shortfall of Rs. 68.68 Lakh in State+ULB+KMDA release (No KMDA release)

Physical Progress:

Sl.	Progress Parameter	Housing	Water Supply (Pipe line)	Drainage	Concrete Road	Street Light	Community Hall
1	Sanctioned	1435 Units	37626 mtrs	42884 mtrs / 35 pkg	94032 Sq mtrs/ 26 pkg	735 Nos	51 Nos
2	Tender Floated	Nil	21960 mtrs	38499	79872	331	
3	Work Order issued	1306	Do	38499	79872	331	
4	Work Started	806	Do	38499	79872	140	
5	Upto 25% Completed	606		12500	5822	140	
6	25-50% Completed	160					
7	More than 50% completed	38					
8	Fully Completed	10					
9	Occupied						

Reform Status:

1	(a) Internal Earmarking of Funds for Urban Poor	Achieved (25%)
	(b) Constitution of Basic Services to Urban Poor	Constituted
2	Basic Services to Urban Poor	Achieved (Basic services to poor being augmented under KUSP program running together with social security being provided through projects like NOAPS, NFBS, JSY - Rs. 93.00 Lacs)
3	Earmarking of at least 20-25% of developed land in housing projects	

Conditions by CSMC:

Sl.	Conditions	Compliance
1	As an interim measure, till such regular system is put in place, where required, tube-wells, storage	
2	Bio-metric details of the beneficiaries should be put on the web-site within 1 month; and	Within 2 months
3	The project be completed within period of 12-12 months:	Within 15 months

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Project Title: BSUP Scheme for the town of Baranagar Ph.-I, West Bengal

Financial Assessment:

(Rs. Lakhs)

1	CSMC Approval	28 th CSMC/09.01.2008				
2	Total Project Cost	431.37				
3	Central Share	215.68				
4	State, ULB & KMDA share (Excluding Beneficiary & Other Expenses)	156.18				
5	Beneficiary Contribution	59.51				
		Due	Released	Utilized	%age Utilized vis-a-vis	
					Due	Released
6	Central Share	53.92	53.92	50.72	94%	94%
7	State+ULB & KMDA Share	39.05	34.02	32.00	82%	94%
8	Beneficiary Contribution		10.00	9.41		94%
9	TOTAL:-	92.97	97.94	92.13	99%	94%
10	Amount Sought (Rs.)	53.92 Lakhs				
11	Recommended release as 2 nd Installment (Rs.)	53.92 Lakhs				

There is a shortfall of Rs. 5.03 Lakh in State+ULB+KMDA release (No KMDA release)

Physical Progress:

Sl.	Progress Parameter	Housing (Nos.)	Drainage (M)	Road & Pavements (M)	Sewerage (Nos)	Protection Work (Mt.)	Other Amenities
1	Sanctioned	202	2912	1300	202	821	Work order issued but work not started yet for (Water Supply, Street Light, Community Centre, Hedge Boundary, & Cinder Track)
2	Tender Floated	202	2912	1300	202	821	
3	Work Order Issued	202	2912	1300	202	821	
4	Work Started	40	---	---	40	---	
5	Upto 25% Completed	10	---	---	10	---	
6	25-50% Completed	2	---	---	2	---	
7	More than 50% completed	2	---	---	2	---	
8	Fully Completed	26	70	280	26	Yes	
9	Occupied	26	---	---	---	---	

Reform Status:

1	(a) Internal Earmarking of Funds for Urban Poor	Achieved (25%)
	(b) Constitution of Basic Services to Urban Poor	Constituted
2	Basic Services to Urban Poor	Achieved (Basic services to poor being augmented under KUSP program running together with social security being provided through projects like NOAPS, NFBS, JSY - Rs. 450 Lacs provision during 2008-09)
3	Earmarking of at least 20-25% of developed land in housing projects	30%

Conditions by CSMC:

Sl.	Conditions	Compliance
1	As an interim measure, till such regular system is put in place, where required, tube-wells, storage tanks, digesters may be provided.	No
2	Bio-metric details of the beneficiaries should be put on the web-site within 1 month; and	Under process
3	The project be completed within period of 12-12 months	Within 15 months

Other aspects:

i.	Whether Beneficiaries Identified:-	Partly Identified
ii.	Whether list of beneficiaries published on the state website :-	will be completed on 30th Nov. then put on website
iii.	Whether Biometric Identification of beneficiaries completed :-	Under process
iv.	Whether TPIMA instituted :-	No
v.	Quality:-	No Quality reports has been submitted with SLNA's comments
vi.	Whether PMU/PIUs established & functional :-	Yes

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Appraisal Report

Comprehensive Capacity Building for Improved Urban Governance & Poverty Alleviation

1	Name of State	Gujarat
2	Is state North Eastern/Special Category	No
3.	Background	GoG proposes to establish a dedicated institute GUDI to meet the capacity building requirements at the ULB & State level. GUDI will be a not for profit company registered under company act.
4	Key components proposed	<ul style="list-style-type: none"> • Development support • Capacity Building • State Urban Information Resource centre • Applied Research and Development • Knowledge Management
-I)	Capacity Building/Training	Yes
a	Development of Training Module	
i	Training module for- (134 nos)	Capacity building and Knowledge management
	Total cost (Rs. Lacs)	67.00
ii	Name of resource institution /expert / resource person undertaken job	CEPT, ASCI, NISG, CAP foundation, NIFPP, BISAG
iii	Name of resource centre proposed to undertake training programme	CEPT, ASCI, NISG, CAP foundation, NIFPP, BISAG
iv	Well formulated terms of reference observed while engaging resource centre/expert/resource person.	No
v	Cost for Development of Training Module (Rs. Lacs)	50000 per module
vi	Eligible fund	50000 per module
B	Training Programme	
	Residential	
i	Name of resource centre	CEPT, ASCI, NISG, CAP foundation, NIFPP, BISAG
ii	Training programme for	Municipal and Senior Level
iii	No. of trainees under each programme	175
iv	Cost of training proposed (Rs. Lacs) for 3 years	Rs.152.1 lacs
v	Eligible fund	Rs.3500 /person/ day at municipal level Rs.4000 /person/ day for senior

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		policy makers including GoI, State Govt., resource centre
	Non Residential	
i	Name of resource centre	CEPT, ASCI, NISG, CAP foundation, NIFPP, BISAG
ii	Training Programme for	Various Subjects at various level
iii	No. of trainees under each programme	80
iv	Cost of training proposed (Rs. Lacs)	24.00
v	Eligible fund	Rs.2500 /person/ day at municipal level Rs.4000 /person/ day for senior policy makers including GoI, State Govt., resource centre, Mayors/chairpersons, City managers
II)	State/National Urban Resource Centre/e-Governance Centre	GUDI
i	Resource centre proposed	New
ii	Proposed cost (Rs. Lacs)	10043.12
a	Land, building, Interiors, building & computers for data center etc.,	6240.17
b	Data center - Urban poverty portal including connectivity & network	3446.55
c	Development Support	356.40
iv	Equivalent fund will be provided by/Amount	No State share proposed
iii	Undertaking to provide equivalent fund submitted	No
iv	Eligible fund (Rs. Lacs)	200.00
III)	City/Community Urban Resource Centre	Not proposed
i	Resource centre proposed at	NA
ii	Total estimated cost	NA
iii	Fund from Jnnurm	NA
iv	Matching fund to be provided by/ Amount	NA
v	Eligible fund (Rs. Lacs)	NA
IV)	Workshop/Seminar/Consultations	
i	National workshop (Rs. in Lakh)	165 (33 nos)
ii	Regional workshop (Rs. in Lakh)	15 (5 nos)
iii	State level workshop (Rs. in Lakh)	18 (9 nos)
iv	Eligible fund	
	National workshop	5 lakh/event
	Regional workshop	3 lakh/event
	State level workshop	2lakh/event
V)	External Resource Persons/Experts	

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i	No of resource persons proposed	30
ii	Programme for which proposed	Meetings of resource centre/ meetings to finalize training modules/ any other specify
ii	Fund proposed (Rs. Lacs)	237.00
iv	Eligible fund (Rs. Lacs)	Rs.50,000 / training programme/ workshop
VI) Research studies (Small)		
i	Details of studies (29 nos)	Details not provided
ii	Broad terms of reference annexed to proposal	No
iii	Cost of research study (Rs. Lacs)	195
iv	If, study cost is more than Rs.5.00 lacs method of inviting proposal and undertaking evaluation based on technical and financial bid undertaken.	NA
v	Eligible fund (Rs. Lacs)	Not to exceed Rs.5 lakh per study
VII) Study Visits (in country)		
i	No. of Officials	24
ii	Proposed cost per visit (Rs. lacs)	3.00
iii	Total fund requested for (Rs. Lacs)	72.00
iv	Eligible fund (Rs. Lacs)	Travel cost as per State Govt. TA/DA rules and Lump sum allowance as decided by programme oversight Committee.
VIII) Networking		
i	Programme Approved	No
ii	Proposed cost (in lacs)	120.00
iii	Eligible fund (Rs. Lacs)	As per approved program. No limit mentioned in toolkit.
IX) Case Studies, Best Practices, Evaluation Studies and other documentation		
i	Details of studies	54 nos
ii	Total proposed cost (Rs. lacs)	270.00
iii	Broad terms of reference enclosed with the proposal	No
iv	Eligible fund	not exceeding 5 lac/study
X) e-Tool for Poverty Monitoring		
Not proposed		
i	Components covered (Tick options)	NA
ii	Cost of Proposal	NA
iii	Eligible fund	NA
XI) Dedicated Cell in Existing Resource Centre(s) for Core Programmes		
i	Name of resource centers	CEPT, ASCI, NISG, CAP foundation, NIFPP, BISAG
ii	No of experts/resource persons	2
iii	No of Researchers	2

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iv	Researchers on contract/Deputation basis (specify)	Not mentioned			
v	Other support (Travel, Printing, Stationary, Documentation, System Support) Rs. Lacs	Rs.4.5 lacs			
vi	Action plan for activities to be carried out by experts/ Researchers submitted	No			
vii	Specific output/deliverables during the period of support submitted	No			
viii	Annual support proposed (Rs. Lacs)	Rs.64.5 lacs (Rs.193.5 lacs for 3 years which is exceeding the max limit of Rs.1.08 crores)			
ix	Eligible Annual support (Rs. Lacs)	Rs.36 lacs			
x	Support for	3 Years			
xi	Total eligible support (Rs. Lacs)	Rs.1.08 crores			
5 Fund Flow Statement as proposed by State Govt.					
	S. No	Component	Total Cost	GOI Share	Other Sources (GoG)
	1.	Land, building, Interiors, building & computers for data center etc.,	6240.17		
	2.	Data center - Urban poverty portal including connectivity & network	3446.55		
	3.	Capacity building			
	3a.	Development Support	356.40		
	3b.	Capacity building	2194.00		
	Total	Grand Total	12237.12	10820.12	1414.00

Components With Financial Assistance Proposed By GOI

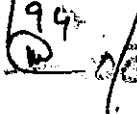
S.No.	Component	Proposed GOI Share	Proposed State Share	Total
1.	Regional Urban Resource Centre (RURC) Capital cost	20.00 crore	3.00 crore (5 acre land to be provided by State)	23.00 crore
2.	Establishment of data centre including hardware, software and other related	5.00 crore	Nil	5.00 crore

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	infrastructure to be established in proposed RURC.			
3.	Support for conducting programmes	3.56 crore	Nil	3.56 crore
	Total:	28.56 crore	3.00 crore	31.56 crore

6 Points for consideration

- The **resource centres** proposed for conducting training programmes are not existing but will be part of proposed GUDI.
- **Max limit for non residential training program** of Rs.4000/ person/ day for senior policy makers including GoI, State Govt., resource centre, Mayors/chairpersons, city managers as per toolkit, however Rs.5000 has been taken in the proposal.
- **Study visits (in country):** As per proposal Rs.3.00 lakhs per visit has been taken and number of officials for visits are not mentioned. Rs.3.00 lakhs per visit seems to be on higher side.
- **No details w.r.t study visit (in country)** like no. of Officials, travel cost as per State Govt. TA/DA rules, Lump sum allowance have been mentioned.
- **Details of activities (action plan) under proposed key components have not been provided.**
- **State/National Urban Resource Centre/e-Governance Centre –** No details w.r.t undertaking to provide equivalent fund submitted has been provided.
- **External Resource Persons/Experts: Details of the Programmes** for which resource person will be engaged has not been mentioned.
- Details of studies under **Research studies** are not provided.
- Detailed estimates for data centre not given.
- Preliminary estimates for State urban resource centre has been provided. Cost of construction has been taken as Rs.20,000 per sq mt which seems to be on higher side. Detailed estimates for the various key components under the proposal have not been provided.
- Cost break up for the proposed action and support has not been provided.
- Since the capacity building programmes have been proposed to be conducted in the Regional Urban Research Centre (GUDI), financial support for these programmes may be considered in next phase after establishment of Regional Urban Research Centre and e-data Centre and progress of programmes to be conducted.
- It is understood that 4% funds are available under JNNURM for establishment of PMU/ PIU, TPIM, Capacity Building, DPR preparation and reform mobilizing. The proposal to be submitted by the State need to comply with the availability and utilization of funds under different heads.

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	<ul style="list-style-type: none"> • Financial support for other components may be given after reviewing progress of activities undertaken by the proposed resource centres. However, in principle approval may be given for other components at this stage.
7	Recommendations
	In view of the observations highlighted above. Competent Authority may consider the proposal for financial assistance.

The Committee
20th Dec 2003

Recommendations:

The Committee has reviewed the proposal for the establishment of a Resource Centre for the study and research in the field of environmental health and safety. The Committee notes that the proposal is in line with the objectives of the Environmental Health and Safety Programme and that it is a well-structured and well-planned project.

The Committee recommends that the Government should provide financial support for the establishment and operation of the Resource Centre. The Committee also recommends that the Government should provide the necessary infrastructure and equipment for the Centre.

The Committee further recommends that the Government should provide the necessary financial support for the operations of the Centre for the first three years. This support should be provided in the form of a grant, which should be reviewed annually.

The Committee also recommends that the Government should provide the necessary financial support for the operations of the Centre for the first three years. This support should be provided in the form of a grant, which should be reviewed annually.

The Committee further recommends that the Government should provide the necessary financial support for the operations of the Centre for the first three years. This support should be provided in the form of a grant, which should be reviewed annually.

20th Dec 2003
(2)

SPECIAL AGENDA

for

Central Sanctioning & Monitoring Committee/
Central Sanctioning Committee meeting
on

18.12.2009

Proposals for Establishment of

**1 PMU & 7 PIUs
for Madhya Pradesh**

(4 PIUs for Mission Cities,
3 PIUs for covering all IHSDP towns)

55/94
②



JNNURM Mission Directorate
Ministry of Housing & Urban Poverty Alleviation
Government of India

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②

A**Proposal for establishment of PMU in MADHYA PRADESH****Proposed Financial Support:**

No.	Position	No. of Months	Remuneration (Rs)	Total (Rs)
1	Project specialist (Housing and slum Development) – 1 No.	12	100,000	1200000
2	Specialist (Social Development) – 1 No.	12	75,000	900000
3	Specialist (Community Mobilization and MIS) -1 No.	12	50,000	600000
4	Specialist (Poverty Management) – 1 No.	12	50,000	600000
5	Research & Training Coordinator – 1 No.	12	40,000	480000
	Sub Total 1			3780000
6	Travel Expenses (20% of the total annual support)			1260000
7	Operational Expenditure (10% of total annual support)			630000
8	Contingencies Expenses (10% of total annual support)			630000
	GRAND TOTAL			6300000

Observations:

- a The total funds to be sanctioned to the state may be restricted to Rs 40 Lakhs only per annum keeping in view the ceiling limit as per the toolkit.
- b The Educational Qualification and scope of work of the key professionals is in accordance with the guidelines/toolkit of the PMU.
- d The approval is subject to the ratification of the proposal by the State Level Steering Committee (SLSC).
- e The financial support, formulation and scope of work of PMU will be strictly in accordance with the terms and conditions laid down in the guidelines/toolkit for establishment of PMU/PIU.

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Appraised Financial Support for PMU in Madhya Pradesh

No.	Position	No. of Months	Remuneration per month (Rs)	Amount (Rs)
1	Project specialist (Housing and slum Development) – 1 No.	12	50,000	600000
2	Specialist (Social Development) – 1 No.	12	50,000	600000
3	Specialist (Community Mobilization and MIS) -1 No.	12	50,000	600000
4	Specialist (Poverty Management) – 1 No.	12	50,000	600000
5	Research & Training Coordinator – 1 No.	12	40,000	480000
Sub Total 1				2880000
6	Travel Expenses (20% of the total annual support)			960000
7	Operational Expenditure (10% of total annual support)			480000
8	Contingencies Expenses (10% of total annual support)			480000
Sub Total 2				1920000
GRAND TOTAL				4800000

Financial support for PMU in MADHYA PRADESH		
Total Annual Support for 1st year	Rs	40.00 Lacs
Total Annual Support for 2nd year	Rs	40.00 Lacs
Total Annual Support for 3rd year	Rs	40.00 Lacs
TOTAL SUPPORT	Rs	120.00 Lacs

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Proposal for establishment of three PIUs in Madhya Pradesh:---
BHOPAL, INDORE & JABALPUR

Proposed Financial Support, for each PIU:

S. No	Position	No. of Months	Remuneration per month (Rs)	Amount (Rs)
1	Project Coordinator (Housing and slum Development) - 1	12	50,000	600000
2	Social Development Officer - 1	12	50,000	600000
3	Livelihoods Development Specialist - 1	12	50,000	600000
4	Research Officer ¹ - 1	12	30,000	360000
5	Research and Training Coordinator - 1	12	20,000	240000
	Sub Total 1			2400000
6	Travel Expenses (20% of the total annual support)			800000
7	Operational Expenditure (10% of total annual support)			400000
8	Contingencies Expenses (10% of total annual support)			400000
	GRAND TOTAL			4000000

Observations:

- a The Educational Qualification and scope of work of the key professionals is in accordance with the guidelines/toolkit of the PMU.
- b The proposal for financial support is appraised as per the population of the mission city.
- c The approval is subject to the ratification of the proposal by the State Level Steering Committee (SLSC).
- d The financial support, formulation and scope of work of PMU will be strictly in accordance with the terms and conditions laid down in the guidelines/toolkit for establishment of PMU/PIU.

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Appraised Financial Support for each PIU of BHOPAL, INDORE & JABALPUR

S. No.	Position	No. of Months	Remuneration per month (Rs)	Amount (Rs)
1	Project Coordinator (Housing and slum Development) - 1 No.	12	50,000	600000
2	Social Development Officer - 1 No.	12	50,000	600000
3	Livelihoods Development Specialist - 1 No.	12	50,000	600000
4	Research Officer - 1 No.	12	30,000	360000
5	Research and Training Coordinator - 1 No.	12	20,000	240000
	Sub Total 1			2400000
6	Travel Expenses (20% of total annual support)			800000
7	Operational Expenditure (10% of total annual support)			400000
8	Contingencies Expenses (10% of total annual support)			400000
	Sub Total 2			1600000
	GRAND TOTAL			4000000

Financial Support for each PIU of BHOPAL, INDORE & JABALPUR		
Total Annual Support for 1st year (100%)	Rs	40.00 Lacs
Total Annual Support for 2nd year (75%)	Rs	30.00 Lacs
Total Annual Support for 3rd year (50%)	Rs	20.00 Lacs
TOTAL SUPPORT	Rs	90.00 Lacs

60/94
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Proposal for establishment of one PIU in Madhya Pradesh: UJJAIN

Proposed Financial Support for PIU in Ujjain

S No	Position	No. of Months	Remuneration per month (Rs)	Amount (Rs)
1	Project Coordinator (Housing and slum Development) - 1	12	50,000	600000
2	Social Development Officer - 1	12	50,000	600000
3	Livelihoods Development Specialist - 1	12	50,000	600000
4	Research Officer - 1	12	30,000	360000
5	Research and Training Coordinator - 1	12	20,000	240000
Sub Total 1				2400000
6	Travel Expenses (20% of the total annual support)			800000
7	Operational Expenditure (10% of total annual support)			400000
8	Contingencies Expenses (10% of total annual support)			400000
GRAND TOTAL				4000000

Observations:

- The Educational Qualification and scope of work of the key professionals is in accordance with the guidelines/toolkit of the PMU.
- The proposal for financial support is appraised as per the population of the mission city (less than 5 Lac).
- The approval is subject to the ratification of the proposal by the State Level Steering Committee (SLSC).
- The financial support, formulation and scope of work of PMU will be strictly in accordance with the terms and conditions laid down in the guidelines/toolkit for establishment of PMU/PIU.

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Appraised Financial Support for PIU in UJJAIN:

S. No.	Position	No. of Months	Remuneration per month (Rs)	Amount (Rs)
1	Project Coordinator (Housing and slum Development) - 1	12	30,000	360000
2	Social Development Officer - 1 No.	12	30,000	360000
3	Livelihoods Development Specialist - 1	12	30,000	360000
4	Research Officer - 1 No.	12	30,000	360000
5	Research and Training Coordinator - 1	12	20,000	240000
	Sub Total 1			1680000
6	Travel Expenses (20% of total annual support)			560000
7	Operational Expenditure (10% of total annual support)			280000
8	Contingencies Expenses (10% of total annual support)			280000
	Sub Total 2			1120000
	GRAND TOTAL			2800000

Financial Support for PIU in UJJAIN		
Total Annual Support for 1st year (100%)	Rs	28.00 Lacs
Total Annual Support for 2nd year (75%)	Rs	21.00 Lacs
Total Annual Support for 3rd year (50%)	Rs	14.00 Lacs
TOTAL SUPPORT	Rs	63.00 Lacs

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- 18 -

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Proposal for establishment of **THREE PIUs in Madhya Pradesh** for covering all IHSDP towns

Proposed Financial Support for each IHSDP PIU

S. No.	Position	No. of Months	Remuneration per month (Rs)	Amount (Rs)
1	Project Coordinator (Housing and slum Development) - 1	12	30,000	360000
2	Social Development Officer - 1	12	30,000	360000
3	Livelihoods Development Specialist - 1	12	30,000	360000
4	Research Officer - 1	12	30,000	360000
5	Research and Training Coordinator - 1	12	20,000	240000
	Sub Total 1			1680000
6	Travel Expenses (20% of the total annual support)			560000
7	Operational Expenditure (10% of total annual support)			280000
8	Contingencies Expenses (10% of total annual support)			280000
-	GRAND TOTAL			2800000

Observations:

- a The Educational Qualification and scope of work of the key professionals is in accordance with the guidelines/toolkit of the PMU.
- b The proposal for financial support is appraised as per the population of the mission city.
- c The approval is subject to the ratification of the proposal by the State Level Steering Committee (SLSC).
- d The financial support, formulation and scope of work of PMU will be strictly in accordance with the terms and conditions laid down in the guidelines/toolkit for establishment of PMU/PIU.

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Appraised Financial Support:

No.	Position	No. of Months	Remuneration per month (Rs)	Amount (Rs)
1	Project Coordinator (Housing and slum Development) - 1	12	30,000	360000
2	Social Development Officer - 1 No.	12	30,000	360000
3	Livelihoods Development Specialist - 1	12	30,000	360000
4	Research Officer - 1 No.	12	30,000	360000
5	Research and Training Coordinator - 1	12	20,000	240000
Sub Total 1				1680000
6	Travel Expenses (20% of total annual support)			560000
7	Operational Expenditure (10% of total annual support)			280000
8	Contingencies Expenses (10% of total annual support)			280000
Sub Total 2				1120000
GRAND TOTAL				2800000

Financial Support for each of the three IHSDP Phases of Madhya Pradesh		
Total Annual Support for 1st year (100%)	Rs	28.00 Lacs
Total Annual Support for 2nd year (75%)	Rs	21.00 Lacs
Total Annual Support for 3rd year (50%)	Rs	14.00 Lacs
TOTAL SUPPORT	Rs	63.00 Lacs

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BRIEF SUMMARY OF SUPPLEMENTARY AGENDA-III FOR CONSIDERATION TO CENTRAL SANCTIONING & MONITORING COMMITTEE (CS&MC) UNDER BASIC SERVICES TO THE URBAN POOR (Sub-Mission-II)

(Rs. in Crores)

Sl. No	Mission City, State	Project Title	Total Cost	Central Share	State Share	2nd Installment	Brief Summary	Page No.
A	Nanded/Maharashtra	Implementation of Integrated Housing Projects under BSUP at Nanded City, Dist Nanded, Maharashtra	217.01	135.08	81.93	33.77	<ul style="list-style-type: none"> ➤ Proposal has been recommended by SENA. ➤ CDP has been approved & MOA has been signed. ➤ Elected body is in existence. ➤ The housing & Infrastructure ratio is 70.48%: 29.52%. ➤ Agency has conducted social Economic surveys including livelihood surveys. ➤ No. of DUs to be constructed are 5136 and the carpet area of each house is 25.78 Sq. Mt per DU with G+2 structure. ➤ The beneficiary identification has been conducted and the issue of Biometric identification cards is set in progress. ➤ Total cost per DU is Rs.2,71,100/- out of which 80% is centre Share, 7% State Share, 11% beneficiary share and 2% of ULB. ➤ SOR adopted -DSR 20009-2010. ➤ The duration of project is 18 months 	01 to 16
	Total		217.01	135.08	81.93	33.77		

74th CS&MC meeting, dated: 18/12/2009 (Suppl. Agenda Brief-III)

**BRIEF SUMMARY OF AGENDA FOR CONSIDERATION TO CENTRAL SANCTIONING & MONITORING COMMITTEE
(CS&MC) UNDER BASIC SERVICES TO THE URBAN POOR (Sub-Mission-II)**

(Rs. in Crores)

Sl. No	Mission City, State	Project Title	Total Cost	Central Share	State Share	3 rd /4 th Installment	Brief Summary	Page No.
A.	Kanpur, Uttar Pradesh	Request for Sanction of 2 nd Installment for BSUP Project for 7 slums at Kanpur, Uttar Pradesh				4.25	<ul style="list-style-type: none"> ➤ The project had been approved in 53rd meeting held on 03.02.2009. ➤ The total project cost is Rs 35.51Cr. with the Central Share is Rs 16.99 Cr. ➤ Total amount for 1st Installments of Rs.4.25 have already been released. ➤ The Second Installment recommended for release is Rs.4.25 Cr. ➤ The Utilisation of Centre Share is 100% and state is 94%. ➤ Houses sanctioned for construction 639 and tender floated, work order issued and work started. ➤ 45 houses are fully completed and Occupied, 106 houses completed more than 50% ➤ Short release of Rs.22.07 Lakhs of State share. ➤ 3 pro-poor reforms not submitted. ➤ TPM report not available. No. quality report furnished. ➤ Beneficiary identification –no report received. ➤ Basic services to Urban poor is in progress. ➤ Farmarking of at least 20-25% of developed land in 	01

74th CS&MC meeting, dated : 18.12.2009 (Agenda Brief)

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**BRIEF SUMMARY OF AGENDA FOR CONSIDERATION TO CENTRAL SANCTIONING & MONITORING COMMITTEE
(CS&MC) UNDER BASIC SERVICES TO THE URBAN POOR (Sub-Mission-II)**

(Rs. in Crores)

B	Kannpur, Uttar Pradesh	Request for Sanction of 2 nd Installment for BSUP Project for 2 slums (Bargadiya Purwa & Bara Sirohi) at Kannpur, Uttar Pradesh	2.28	<p>housing projects is under progress.</p> <ul style="list-style-type: none"> ➤ The project had been approved in 53rd meeting held on 03.02.2009. ➤ The total project cost is Rs 19.71Cr. with the Central Share is Rs 9.13 Cr. ➤ Total amount for 1st Installments of Rs 2.28 have already been released. ➤ The Second Installment recommended for release is Rs 2.28 Cr. ➤ The Utilisation of Centre & State Share is 100% ➤ Houses sanctioned for construction 416 and tender floated, work order issued and work started. ➤ 11 houses are fully completed and Occupied, 19 houses completed more than 50% while 35 houses completed 25-50% and 53 up to 25%. ➤ 3 pro-poor reforms not submitted. ➤ TPM report not available. No quality report furnished. ➤ Beneficiary identification - no report received. ➤ Basic services to Urban poor is in progress. ➤ Earmarking of at least 20-25% of developed land in housing projects is under progress. 	02
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74th CS&MC meeting, dated : 18.12.2009 (Agenda Slief)

**BRIEF SUMMARY OF AGENDA FOR CONSIDERATION TO CENTRAL SANCTIONING & MONITORING COMMITTEE
(CS&MC) UNDER BASIC SERVICES TO THE URBAN POOR (Sub-Mission-II)**

(Rs. in Crores)

C	Ahmedabad, Gujarat	Request for Sanction of 3rd Installment for Construction of housing for urban poor(EWS)at various locations in Ahmedabad Municipal Corporation(Phase-D), Gujarat	41.11	<ul style="list-style-type: none"> ➤ The project had been approved in 9th meeting held on 02.02.2007. ➤ The total project cost is Rs 338.76 Cr. with the Central Share is Rs 164.45 Cr. ➤ Total amount of central share for 1st +2nd Installments of Rs.82.22 have already been released. ➤ The Third Installment recommended for release is Rs.41.11 Cr. ➤ The Utilisation of Central share is 100%& State Share is .58% . ➤ Housing Units sanctioned for construction are 18976 , tender floated ,work order issued for all the sanctioned houses. ➤ Work started in 12992 housing Units. ➤ 4032 houses are fully completed . 10240 houses completed Up to 25%. ➤ Internal Earmarking of funds for Urban Poor-AMC makes budgetary provision for poor to the tune of 10% of its expected revenue income for the year as per the BPMC Act 1949. ➤ Beneficiaries have been identified. ➤ TPIMA has been constituted. 	03 to 09
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74th CS&MC meeting , dated : 18.12.2009 (Agenda Brief)

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**BRIEF SUMMARY OF AGENDA FOR CONSIDERATION TO CENTRAL SANCTIONING & MONITORING COMMITTEE
(CS&MC) UNDER BASIC SERVICES TO THE URBAN POOR (Sub-Mission-II)**

(Rs. in Crores)

					<ul style="list-style-type: none"> ➤ Gap in housing & primary Education will be achieved by March 2010. ➤ Social security (Insurance) will be sent by 16th December. 	
<p>D. Ahmedabad Gujarat</p>	<p>Request for Sanction of 3rd Installment for Construction of housing for urban poor(EWS) at 8 locations of Vastral and Nikol near peripheral areas of Ahmedabad (phase-II), Gujarat</p>			<p align="center">10.57</p>	<ul style="list-style-type: none"> ➤ The project had been approved in 8th meeting held on 29.12.2006. ➤ The total project cost is Rs 87.06 Cr. with the Central Share is Rs 42.26 Cr. ➤ Total amount of central share for 1st + 2nd Installments of Rs.21.13 have already been released. ➤ The Third Installment recommended for release is Rs.10.57 Cr. ➤ The Utilisation of Central share is 100% & State Share is 62%. ➤ Housing Units sanctioned for construction are 5664, tender floated, work order issued and work started in all the sanctioned housing (unit). ➤ 2040 houses are completed more than 50% while 1690 houses have been completed 25-50%. ➤ Internal Earmarking of funds for Urban Poor-AMC makes budgetary provision for poor to the tune of 10% of its expected revenue income for the year as per the BPMC Act 1949. 	<p align="center">10 to 15</p>

74th CS&MC meeting, dated: 18.12.2009 (Agenda Sheet)

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3

**BRIEF SUMMARY OF AGENDA FOR CONSIDERATION TO CENTRAL SANCTIONING & MONITORING COMMITTEE
(CS&MC) UNDER BASIC SERVICES TO THE URBAN POOR (Sub-Mission-II)**

(Rs. In Crores)

						<ul style="list-style-type: none"> > Beneficiaries have been identified. > TPIMA has been instituted > Beneficiaries have been identified. > TPIMA has been constituted. > Gap in housing & primary Education will be achieved by March 2010. > Social Security(Insurance) will be sent by 16th December 	
Total					58.21		

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74th CS&MC meeting, dated: 18.12.2009 (Agenda Brief)

Financial Assessment:

(Rs. Lakhs)

1	CSMC Approval	53 rd CSMC/03.02.2009				
2	Total Project Cost	3550.97	Other Exp. (A&OE, IEC & DPR prep. Charges)			152.91
3	Central Share	1699.03				
4	State/ULB Share (Excluding Beneficiary share)	1588.01				
5	Beneficiary Share	111.02				
		Due	Released	Utilized	%age Utilized vis-a-vis	
					Due	Released
6	Central Share	424.76	424.76	424.76	100%	100%
7	State+ULB Share	397.00	374.93	374.89	94%	100%
8	TOTAL:-	821.76	799.69	799.65	97%	100%
9	Amount Sought (Rs.)	424.76 Lakhs				
10	Recommended release as 2 nd Installment (Rs.)	- 424.76 Lakhs				

Physical Progress:

Sl.	Progress Parameter	Housing	Amenities
1	Sanctioned	639	YES
2	Tender Floated	Yes	YES
3	Work Order Issued	Yes	YES
4	Work Started	Yes	YES
5	Upto 25% Completed	107	YES
6	25-50% Completed	14	NIL
7	More than 50% completed	106	NIL
8	Fully Completed	45	NIL
9	Occupied	45	NIL

Reform Status:

1	(a) Internal Earmarking of Funds for Urban Poor (b) Constitution of Basic Services to Urban Poor Fund	Earmarked
2	Basic Services to Urban Poor	In Progress
3	Earmarking of at least 20-25% of developed land in housing projects	In Progress

CSMC Conditions:

No Conditions

Other Aspects:

i.	Whether Beneficiaries Identified:-	Not reported
ii.	Whether list of beneficiaries published on the state website:-	Not reported
iii.	Whether Biometric Identification of beneficiaries completed:-	Not reported
iv.	Whether TPIMA Instituted:-	Yes
v.	Quality:-	No Quality report with SLNA comments furnished with UC
vi.	Whether PMU/PIUs established & functional:-	PMU established & establishment of PIU is in progress.

COMMENTS :-

- (A) Short Release of Rs. 22.07 Lakhs (State Share)
 (B) As seen detailed reform status on 3 pro-poor reforms not submitted.
 (C) TPIM report not available. No quality report furnished
 (D) Beneficiary identification - No report received
 [CSMC may take appropriate decision in this regard.]

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City/State : Kanpur/Uttar Pradesh

BSUP 2nd Installment

Project Title: BSUP Project at Kanpur for 2 slums (Bargadiya purwa & Bara Sirahi)

Financial Assessment:

(Rs. Lakhs)

1	CSMC Approval	53 rd CSMC/03.02.2009			
2	Total Project Cost	1971.42	Other Exp. (A&OE, IEC & DPR prep. Charges) :-		146.03
3	Central Share	912.70			
4	State/ULB Share (Excluding Beneficiary share)	766.67			
5	Beneficiary Share	146.03			
		Due	Released	Utilized	%age Utilized vis-a-vis Due Released
6	Central Share	228.18	228.17	228.17	100% 100%
7	State+ULB Share	191.67	206.98	206.98	108% 100%
8	TOTAL:-	419.84	435.15	435.15	104% 100%
9	Amount Sought (Rs.)	228.17 Lakhs			
10	Recommended release as 2 nd Installment (Rs.)	228.17 Lakhs			

Physical Progress:

Sl.	Progress Parameter	Housing	Amenities
1	Sanctioned	416	YES
2	Tender Floated	Yes	YES
3	Work Order Issued	Yes	YES
4	Work Started	Yes	YES
5	Upto 25% Completed	53	YES
6	25-50% Completed	35	NIL
7	More than 50% completed	19	NIL
8	Fully Completed	11	NIL
9	Occupied	11	NIL

Reform Status:

1	(a) Internal Earmarking of Funds for Urban Poor	Earmarked
	(b) Constitution of Basic Services to Urban Poor Fund	
2	Basic Services to Urban Poor	In Progress
3	Earmarking of at least 20-25% of developed land in housing projects	In Progress

CSMC Conditions:

No Conditions

Other Aspects:

i.	Whether Beneficiaries identified:-	Not reported
ii.	Whether list of beneficiaries published on the state website :-	Not reported
iii.	Whether Biometric Identification of beneficiaries completed :-	Not reported
iv.	Whether TPIMA Instituted :-	Yes
v.	Quality:-	No Quality report with SLNA comments furnished with UC
vi.	Whether PMU/PIUs established & functional :-	PMU established & establishment of PIU is in progress

COMMENTS :-

(A) As seen detailed reform status on 3 pro-poor reforms not submitted

(B) TPIM report not available. No quality report furnished

(C) Beneficiary identification - No report received

[CSMC may take appropriate decision in this regard.]

-02/72/94

Financial Assessment:

(Rs. Lakhs)

1	CSMC Approval	9 th CSMC/02.02.2007 2 nd Installment :- 50 th CSMC/21.01.2009			
2	Total Project Cost	33876.03	Other Expenses (Administrative Charges):		986.68
3	Central Share	16444.68			
4	State/ULB Share (Excluding Other Expenses)	16444.68			
		Due	Released	Utilized	%age Utilized vis-a-vis Due Released
5	Central Share (1 st + 2 nd Installment)	8222.34	8222.34	8222.34	100% 100%
6	State+ULB Share (1 st + 2 nd Installment)	8222.34	8370.34	4865.33	59% 58%
7	TOTAL:-	16444.68	16592.68	13087.67	80% 79%
8	Amount Sought (Rs.)	4111.17 Lakhs			
9	Recommended release as 3 rd Installment (Rs.)	4111.17 Lakhs			

Physical Progress:

Sl.	Progress Parameter	Housing (Unit)	Drainage, Compound wall, SWD	Internal Roads & Paver Block	Internal Water Supply	Internal Street Light
1	Sanctioned	18976	18976	18976	18976	18976
2	Tender Floated	18976	18976	18976	12512	9488
3	Work Order Issued	18976	18976	18976	12064	9488
4	Work Started	12992	11808	5088	12064	0
5	Upto 25% Completed	10240	4670	2656	0	0
6	25-50% Completed	0	1666	1152	4800	0
7	More than 50% completed	0	3040	1280	4800	0
8	Fully Completed	4032	2432	0	2432	0
9	Occupied					

** Work not started in 5984 Dwelling Units.

Reform Status:

1	(a) Internal Earmarking of Funds for Urban Poor	AMC makes budgetary provision for poor to the tune of 10% of its expected revenue income for the year as per the BPMC Act 1949. As per this clause AMC has made provision of 563.90 crores for the year 2008-09
	(b) Constitution of Basic Services to Urban Poor Fund	
2	Basic Services to Urban Poor	Reforms commenced, and progress as per schedule
3	Earmarking of at least 20-25% of developed land in housing projects	As per Gujarat Urban Development & Town Planning Act 1976 SEC. 107, it is required to keep such % of development land in housing programme.

** Detailed reforms status as reported by state is at Annexure-1

CSMC Conditions:

No. Conditions

Other Aspects:

i.	Whether Beneficiaries identified:-	Yes
ii.	Whether list of beneficiaries published on the state website :-	No
iii.	Whether Biometric Identification of beneficiaries completed :-	It is in progress
iv.	Whether TPIMA instituted :-	Yes
v.	Quality:-	Comments of SLNA on quality "The TPIM agency has submitted TPI reports of 1 site out of 5 sites. It shows overall quality of project/works carried out satisfactorily"
vi.	Whether PMU/PIUs established & functional :-	Yes

** Site Visit Report by SLNA (Gujarat) is at Annexure-2

OBSERVATION:

- 1 Gap in housing & Primary Education will be achieved by March 2010 (assurance by state)
- 2 Social Security (Insurance) will be sent

o/s - 73/94
D.

Revised Details

STATUS OF 3 PRO-POOR REFORMS

Name of the State :- GUJARAT

Name of the Mission City / Town :- AHMEDABAD

Sl	Pro-poor reforms	Target Year of Completion (as per MoA)	STATUS					
1	(a) Internal Earmarking of Funds for Urban Poor	2006-07	Financial Year	Total ULB Revenue (Rs. Lacs)	Amount Earmarked (Rs. Lacs)	% earmarked	Amount Utilized (Rs. Lacs)	% utilized
			2005-06	87528.28	17639.51	20.15%	11509.61	65.25%
			2006-07	103660.89	28956.18	27.93%	15753.78	54.41%
			2007-08	137873.50	50292.84	36.48%	22609.03	44.95%
			2008-09	173494.99	56390.46	32.50%	35261.45	62.53%
	2009-10	205330.53	74909.96	36.48%				
	(b) Constitution of Basic Services to Urban Poor Fund		Nil					
2	Basic Services to Urban Poor	2011-12	Name of the Service	Milestones / Benchmark to be achieved by March 2009		Status (Achievement)	Gap	
			Housing	54%		35%	*19%	
			Water Supply	54%		85%	--	
			Sanitation	54%		65%	--	
			Solid Waste Management	54%		95%	--	
			Primary Education	100%		95%	*5%	
			Health Care	100%		100%	--	
			Social Security (Insurance)	65%		--	--	
3	Earmarking of at least 20-25% of developed land in housing projects		AMC has framed about 101 T.P. schemes in the city area covering more than 190 sq.Kms of the city area where in 10% reservation of land for Urban Poor has been made which is compulsory as per Gujarat Town Planning & Urban Development Act 1976. This area is more than 25% of land area required for housing.					

* Gap shown in Housing and Primary Education will be achieved by March-2010
 * Details of Achievement on Social Security (Insurance) will be separately send to you by 16th December

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RR Van...
 Director
 (JnNURM)

Name of the State :- GUJARAT
Name of City / Town :- AHMEDABAD

Page : 2 of 2

	Sr. No.	Reform Committed	Target Date (As per MoA)	Present Status
ULB LEVEL REFORMS	1.	E-Governance Set Up	2006-07	Completed
	2.	Shift to Accrual based Double Entry Accounting	2007-08	Completed
	3.	Property Tax (85% Coverage)	2011-12	Completed
		Property Tax (90% Collection Efficiency)	2011-12	66% Achieved
	4.	100% Cost Recovery (Water Supply)	2011-12	85% Achieved
		100% Cost Recovery (Solid Waste)	2011-12	65% Achieved
STATE LEVEL REFORMS	5.	Internal Earmarking of Funds for Services to Urban Poor	2006-07	Completed
	6.	Provision of Basic Services to Urban Poor	2011-12	Completed
	7.	74th CAA (Transfer 12 sch. Functions)	2006	Completed before JnNURM
		74th CAA (Constitution of DPC)		
		74th CAA (Constitution of MPC)		
	8.	Transfer-City Planning Function	2006	Completed before JnNURM
		Transfer-Water Supply & Sanitation		Completed before JnNURM
		Transfer-Public Transport		Completed before JnNURM
	9.	Reform in Rent Control NA Achieved	2008	During 2007-08
	10.	Stamp Duty Rationization to 5%	2009	During 2007-08
	11.	Repeal of ULCRA	2008	Completed before JnNURM
OPTIONAL REFORMS	12.	Enactment of Community Participatiuon Law	2008-09	Achieved
	13.	Enactment of Public Disclosure Law	2008-09	Achieved
	14.	Introduction of Property Title Certification System in ULBs	N-A	
	15.	Revision of Building Bye Laws - Streamlining the Approval Process		Completed
	16.	Revision of Building Bye Laws - To make rain-water harvesting mandatory		Completed
	17.	Earmarking 25% developed land in all housing projects for EWS / LIG		Completed
	18.	Simplification of Legal and Procedural framework for conversion of agricultural land for non-agricultural purposes	N-A	
	19.	Introduction of computerized process of Registration of land and Property	N-A	
	20.	Byelaws on Reuse of Recycled Water	N-A	
	21.	Administrative Reforms		Completed
	22.	Structural Reforms 2008-09		Completed
	23.	Encouraging Public Private Participation		Implemented

AN Var
Director
(JnNURM)

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Site Visit Report
by
State Level Nodal Agency
(Gujarat)

Mission II :
Basic Services to Urban Poor (DPR III)

Name of the Project:
DPR for construction of housing project for
urban poor at various locations in
Ahmedabad Municipal Corporation

Cost of the Project: 338.76 crores

No. of Dwelling Units: 18976

Date of Approval: 2.2.2007

Gujarat Urban Development Mission, Gandhinagar

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-96-

GOVERNMENT OF GUJARAT
GUJARAT URBAN DEVELOPMENT MISSION
(State Level Nodal Agency)

Date: 3.12.2009

Sub: Approval of ULB's Request for 3rd Installment of Project under JnNURM

Name of Project: DPR for construction of housing project for urban poor at various locations in Ahmedabad Municipal Corporation

Implement Agency: Ahmedabad Municipal Corporation (AMC)

Date of DPR approved: 2/2/2007

prepared by CSMC

Date of Completion of Project as per DPR: Aug 2009.

Approved cost of the DPR: Rs 33876.03 Lakhs

Project Components (as per sanctioned DPR)	A) EWS-I Housing	29185.47 lakhs
	B) Infrastructure cost	3703.88 lakhs
	C) Admin Charges	986.68 lakhs
	Total	33876.03 lakhs

No. of Dwelling Units: 18976

Project description Brief

EWS-I Housing: The housing design for E.W.S. Category is Ground + Three Storied RCC structure. On each floor, there are eight dwelling units. The total Built-up area of single D.U. is 36.5 Sq.mt. (i.e. 392.74 Sft.) with 2 rooms, kitchen, W.C., bath and balcony. Basic infrastructure like water supply, drainage, pucca roads, plantation, compound wall, Storm Water Drainage, street lights are to be provided by the implementing agency.

Condition for sanctioning DPR,

It is also proposed to provide all physical infrastructure at all sites
No Conditional sanction

Financial Pattern

Govt	: 50.00 % (Rs. 16444.68 lakhs)
GoG	: 20.00 % (Rs. 6577.87 lakhs)
AMC/ Beneficiaries	: 30.00 % (Rs. 10853.45 lakhs)

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Remarks

1. Out of 18976 sanctioned dwelling units work for 7268 DUs are in progress.
 - 7032 DUs are already completed, out of which 500 are allotted.
 - More 300 units are at advance stage of completion and will be completed by Dec. 09.
 - Agencies for rest 4676 units already appointed and have just begun the work.

Description	No. of units
Total Sanctioned	18976
Completed	7032
Allotted	500
Work in Progress	11944
Non started	Nil

2. As per the AMC officials comments, the project is delayed by a year due to price hike in Steel - Cement rates during March - June 08, vacating land at isolated place, some departmental clearances and approval / clearance procedures from railway and archaeological department. Now all issues are settled and work has begun.
3. AMC has appointed Frishman Ltd. as Third Party and Inspection and Monitoring Agency
4. Beside, GOI & GUDM has appointed M/s Shrikhande Consultants Pvt. Ltd as TPIMA. The TPIM agency has submitted TPI reports of 1 site out of 5 sites. It shows overall quality of project works carried out satisfactorily.
5. Total released fund : 115.11 crores
Total Expenditure till date: Rs 137.09 crores
% of utilization : 119.13 %
6. All test reports, TPI reports, resolutions of AMC official, approval / NOC of line agencies are available with AMC.

Considering all above details and based on site inspection, work progress and TPIM report which is in lines of GOI guidelines as per sanctioned project, and evaluating the physical - financial progress of the work GUDM requests to approve 3rd Installment application of AUDA as per GOI norms.

[Signature]
3/7/11
Team Leader
PMU, GUDM

[Signature]
Housing Specialist
PMU, GUDM

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HP LaserJet P1505

Self-Test // Configuration

Product Information		Memory	
Product Name:	HP LaserJet P1505	Total Memory:	2 MBytes
Formatter Number:	CAS563X	Available Memory:	0 MBytes
Product Serial Number:	CNCJ304753		
Service ID:	18265		
Firmware Version:	20070910		
Max Print Resolution:	FastRes 1200		

Counters

Status Log

Code	Page	Count
10.1000	5771	5893
1.0001	4789	5
13.0002	4685	2
13.0001	3862	0
		0
		0
		0

Return Status

1	...
2	...
3	...
4	...

** Data for return status as reported by plate is at Annexure 1 & 2A

Visit Report by SIAA (Output) is at Annexure 3

...	...
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OBSERVATION:
 1. Copy of Report - A physical observation will be made.
 2. Social Security Insurance will be checked.

Handwritten notes and signatures:
 19/09/07
 19/09/07
 (A)

City/State : Ahmedabad/Gujarat

Project Title: DPR for construction of housing for the urban poor (EWS) at 8 locations of Vastral and Nikol near peripheral areas of Ahmedabad (Phase-II)

Financial Assessment:

(Rs. Lakhs)

1	CSMC Approval	8 th CSMC/29.12.2006 2 nd Installment :- 46 th CSMC/06.02.2009			
2	Total Project Cost	8705.73	Other Expenses (Administrative Charges):		253.56
3	Central Share	4226.08			
4	State/ULB Share (Excluding Other Expenses)	4226.08			
		Due	Released	Utilized	%age Utilized vis-a-vis Due Released
5	Central Share (1 st + 2 nd Instalment)	2113.04	2113.04	2113.04	100% 100%
6	State+ULB Share (1 st + 2 nd Instalment)	2113.04	2151.12	1344.36	64% 62%
7	TOTAL:-	4226.08	4264.16	3457.40	82% 81%
8	Amount Sought (Rs.)	1056.52 Lakhs			
9	Recommended release as 3 ^d Installment (Rs.)	1056.52 Lakhs			

Physical Progress:

Sl.	Progress Parameter	Housing (Unit)	Amenities (Unit)
1	Sanctioned	5664	0
2	Tender Floated	5664	0
3	Work Order Issued	5664	0
4	Work Started	5664	0
5	Upto 25% Completed	174	0
6	25-50% Completed	1690	0
7	More than 50% completed	2040	0
8	Fully Completed	0	0
9	Occupied		

Reform Status:

1	(a) Internal Earmarking of Funds for Urban Poor	AMC makes budgetary provision for poor to the tune of 10% of its expected revenue income for the year as per the BPMC Act 1949. As per this clause AMC has made provision of 563.90 crores for the year 2008-09
	(b) Constitution of Basic Services to Urban Poor Fund	
2	Basic Services to Urban Poor	Reforms commenced, and progress as per schedule
3	Earmarking of at least 20-25% of developed land in housing projects	As per Gujarat Urban Development & Town Planning Act 1976 SEC. 107, it is required to keep such % of development land in housing programme.

** Detailed reforms status as reported by state is at Annexure-1 & 1A

CSMC Conditions:

No Conditions

Other Aspects:

i.	Whether Beneficiaries Identified:-	Yes
ii.	Whether list of beneficiaries published on the state website :-	No
iii.	Whether Biometric Identification of beneficiaries completed :-	It is in progress
iv.	Whether TPIMA instituted :-	Yes
v.	Quality:-	Comments of SLNA on quality "The TPIM agency has submitted TPI reports of 1 site out of 5 sites. It shows overall quality of project/works carried out satisfactorily"
vi.	Whether PMU/PIUs established & functional :-	Yes

** Site Visit Report by SLNA (Gujarat) is at Annexure-2

OBSERVATION:

- 1 Gap in housing & Primary Education will be achieved by March 2010 (assurance by state)
- 2 Social Security (Insurance) will be sent

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Revised Details

STATUS OF 3 PRO-POOR REFORMS

Name of the State :- GUJARAT

Name of the Mission City / Town :- AHMEDABAD

Sl	Pro-poor reforms	Target Year of Completion (as per MoA)	STATUS					
1	(a) Internal Earmarking of Funds for Urban Poor	2006-07	Financial Year	Total ULB Revenue (Rs. Lacs)	Amount Earmarked (Rs. Lacs)	% earmarked	Amount Utilized (Rs. Lacs)	% utilized
			2005-06	87528.28	17639.51	20.15%	11509.61	65.25%
			2006-07	103660.89	28956.18	27.93%	15753.78	54.41%
			2007-08	137873.50	50292.84	36.48%	22609.03	44.95%
			2008-09	173494.99	56390.46	32.50%	35261.45	62.53%
			2009-10	205330.53	74909.96	36.48%		
	(b) Constitution of Basic Services to Urban Poor Fund		Nil					
2	Basic Services to Urban Poor	2011-12	Name of the Service	Milestones / Benchmark to be achieved by March 2009		Status (Achievement)	Gap	
			Housing	54%	35%	*19%		
			Water Supply	54%	85%	--		
			Sanitation	54%	65%	--		
			Solid Waste Management	54%	95%	--		
			Primary Education	100%	95%	*5%		
			Health Care	100%	100%	--		
			Social Security (Insurance)	65%	--	--		
3	Earmarking of at least 20-25% of developed land in housing projects		AMC has framed about 101 T.P. schemes in the city area covering more than 190 sq.Kms of the city area where in 10% reservation of land for Urban Poor has been made which is compulsory as per Gujarat Town Planning & Urban Development Act 1976. This area is more than 25% of land area required for housing.					

* Gap shown in Housing and Primary Education will be achieved by March-2010
 * Details of Achievement on Social Security (Insurance) will be separately send to you by 16th December

RR Van...
 Director
 (JnNURM)

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Name of the State :- GUJARAT

Name of City / Town :- AHMEDABAD

	Sr. No.	Reform Committed	Target Date (As per MoA)	Present Status
ULB LEVEL REFORMS	1.	E-Governance Set Up	2006-07	Completed
	2.	Shift to Accrual based Double Entry Accounting	2007-08	Completed
	3.	Property Tax (85% Coverage)	2011-12	Completed
		Property Tax (90% Collection Efficiency)	2011-12	66% Achieved
	4.	100% Cost Recovery (Water Supply)	2011-12	65% Achieved
		100% Cost Recovery (Solid Waste)	2011-12	65% Achieved
	5.	Internal Earmarking of Funds for Services to Urban Poor	2006-07	Completed
	6.	Provision of Basic Services to Urban Poor	2011-12	Completed
STATE LEVEL REFORMS	7.	74th CAA (Transfer 12 sch. Functions)	2006	Completed before JnNURM
		74th CAA (Constitution of DPC)		
		74th CAA (Constitution of MPC)		
	8.	Transfer-City Planning Function	2006	Completed before JnNURM
		Transfer-Water Supply & Sanitation		Completed before JnNURM
		Transfer-Public Transport		Completed before JnNURM
	9.	Reform in Rent Control NA Achieved	2008	During 2007-08
	10.	Stamp Duty Rationization to 5%	2009	During 2007-08
	11.	Repeal of ULCRA	2008	Completed before JnNURM
	12.	Enactment of Community Participatiuon Law	2008-09	Achieved
	13.	Enactment of Public Disclosure Law	2008-09	Achieved
OPTIONAL REFORMS	14.	Introduction of Property Title Certification System in ULBs	N-A	
	15.	Revision of Building Bye Laws - Streamlining the Approval Process		Completed
	16.	Revision of Building Bye Laws - To make rain water harvesting mandatory		Completed
	17.	Earmarking 25% developed land in all housing projects for EWS / LIG		Completed
	18.	Simplification of Legal and Procedural framework for conversion of agricultural land for non-agricultural purposes	N-A	
	19.	Introduction of computerized process of Registratiion of land and Property	N-A	
	20.	Byelaws on Reuse of Recycled Water	N-A	
	21.	Administrative Reforms		Completed
	22.	Structural Reforms 2008-09		Completed
	23.	Encouraging Public Private Participation		Implemented

Dr. V. K. ...
 Director
 (JnNURM)

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Site Visit Report
by
State Level Nodal Agency
(Gujarat)

Mission II :
Basic Services to Urban Poor (DPR II)

Name of the Project:
Construction of Housing for the Urban
Poor at eight locations of Vastral & Nikol
near Peripheral areas of Ahmedabad
(Phase II)

Cost of the Project: 87.06 crores

No. of Dwelling Units: 5664

Date of Approval: 29.12.2006

Gujarat Urban Development Mission, Gandhinagar

83/94

GOVERNMENT OF GUJARAT
GUJARAT URBAN DEVELOPMENT MISSION
(State Level Nodal Agency)

Date: 7.11.2009

Sub: Approval of ULB's Request for 3rd Installment of Project under JnNURM

Name of Project Construction of Housing for the Urban Poor at eight locations of Vastral & Nikol near Peripheral areas of Ahmedabad (Phase II)
Implement Agency Ahmedabad Urban Development Authority (AUDA)

Date of DPR approved prepared by CSMC 29/12/2006

Date of Completion of Project as per DPR 28/12/2008

Approved cost of the DPR Rs 8705.73 Lakhs

Project Components (as per sanctioned DPR)	A) EWS-I Housing	7684.24 lakhs
	B) Infrastructure cost	767.43 lakhs
	C) Admin Charges	253.56 lakhs
	Total	8705.73 lakhs

No. of Dwelling Units 5664
Project description Brief EWS-I Housing: The housing design for E.W.S. Category is Ground + Three Storiad RCC structure. On each floor, there are eight dwelling units. The total Built-up area of single D.U. is 33.5 Sq.m. (i.e. 360.46 Sq.ft.) with single living room, kitchen, W.C., and bath. Basic infrastructure like water supply, drainage, pucca roads, gardens, compound wall, street lights are to be provided by the Implementing agency.

It is also proposed to provide all physical infrastructure at all sites

Condition for sanctioning DPR

No Conditional sanction

Financial Pattern

Gol	: 50.00 %
	(Rs. 4226.08 lakhs)
GoG	: 20.00 %
	(Rs. 1690.43 lakhs)
AUDA / Beneficiaries	: 30.00 %
	(Rs. 2789.49 lakhs)

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Remarks

1. Out of 5664 sanctioned dwelling units work for 2608 DUs are in progress. Brief progress details is as under:

- 3056 DUs are completed, out of which 1093 are allotted
- 2608 DUs are at an advance stage of completion (200 will be completed by Dec 2009. Rest 2408 DUs will be completed by March 2010.) In brief:

Description	No. of units
Total Sanctioned	5664
Completed	3056
Allotted	1093
Work in Progress	2608
Non started	Nil

2. As per the AUDA officials, the project is delayed by 6 months due to heavy rainfall and relocation of site. Now all issues are settled and work has begun.
3. AUDA has appointed Mars Engineering Services Private Limited as Third Party and Inspection and Monitoring Agency.
4. Beside, GOI & GUDM has appointed M/s Shrikhande Consultants, Pvt. Ltd as TPIMA. The TPIM agency has submitted TPI reports of 2 sites out of 4 sites. It shows overall quality of project works carried out satisfactorily.
5. Total released fund : 29.58 crores
Total Expenditure till date: 47.12 crores
% of utilization : 159.29%
6. All test reports, TPI reports, resolutions of AUDA official, approval / NOC of line agencies are available with AUDA.

Considering all above details and based on site inspection, work progress and TPIM report which is in line of GOI guidelines as per sanctioned project, and evaluating the physical - financial progress of the work GUDM requests to approve 3rd Installment application of AUDA as per GOI norms.

M. M. M. M. M.
2/2/11
Team Leader
PMU, GUDM

Anish
Housing Specialist
PMU, GUDM

85/94
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to the Minutes of 74th CSMC on 18.12.2009

Sl. No.	Name of the State	Name of the city	BSUP Project Name / Components	(Rs in lakh)			
				Total Project Cost	Central Share	State Share	25 % of Central
1.	Maharashtra	Nanded	(4) Construction of 5136 Housing Units for Urban Poor at Nanded Textile Mill slum area of Nanded, Nanded district, Maharashtra	(5)	(6)	(7)	(9)
			A In-situ rehabilitation - Construction of 5136 new Dwelling units @ Rs.271,100/- per DU having carpet area 25 sqm, Ground Floor + 2 storied comprising of 1 Kitchen + 1 Living Room & Bed room with separate bathroom & W.C & Balcony. Ownership of project land with Nanded Textile Mill and Gurdwara committee. After construction, houses will be allotted to individual beneficiaries in the name of female family member or in joint name.	13923.70	11138.96	2784.74	2784.74
			B Sub Total (A)	13923.70	11138.96	2784.74	2784.74
1)	State grant	3,782.48	1. D.U Service area	2,465.28	308.16	2157.12	77.04
2)	ULB share	906.41	2. Road	1,121.61	631.27	490.34	157.82
3)	Beneficiaries share	1,531.60	3. Water Supply	227.83	138.13	89.70	34.53
4)	Other charges	1,972.86	4. Sewerage	132.00	73.44	58.56	18.36
	Total State Share	8,193.35	5. Storm Water Drain	351.23	195.41	155.82	48.85
	Per DU Finance (Rs.)		6. Street light	131.29	94.62	36.67	23.66
1)	Central share	216,880	7. Courtyard Paving	94.51	75.61	18.90	
2)	State grant	18,977	8. Compound wall	38.40	30.72	7.68	
3)	ULB share	29,821	9. Temporary Transit	1,027.20	821.76	205.44	7.68
4)	Beneficiaries share	5,422	10. Multipurpose community Building	117.90	0.00	117.90	0.00
	Total	271,100	11. Aanganwadi	45.00	0.00	45.00	0.00
			12. Informal Market	52.62	0.00	52.62	0.00
			Sub Total (B)	5804.87	2369.12	3435.75	592.28
			Total (A+B)	19728.57	13508.08	6220.49	3377.02
			C 1. A&OE Charges @ 5%	986.43	0.00	986.43	0.00
			2. Consultancy @ 5%	986.43	0.00	986.43	0.00
			Sub Total (C)	1972.86	0.00	1972.86	0.00
			Project Cost (A+B+C)	21701.42	13508.08	8193.35	3377.02

The expenditure on the external infrastructure will be borne by State and ULB.

Note: First instalment of ACA will be recommended for release on receipt of SLSC approval for the upward revised cost.

APR 2011

A		B		C		D		E		F		G		H		I		J		K	
Name of the State	Name of the City	Name of the Project	Total Project Cost	Total Central share Approved	Total State Share Approved (Excluding other expenses)	1 st Installment of Central Share Released	Amount of Central Share utilized	% of Utilisation	Amount of State released	Amount of State utilized	% of utilisation	Amount recommended for release as 2 nd installment of ACA	% of amount recommended to the total Central share approved	(Rs. In Lakhs)							
UTTAR PRADESH		Kanpur	1971.42	912.70	912.70	228.17	228.17	100%	206.98	206.98	100%	228.17	25%								
		BSUP Project at Kanpur for 2 slums (Bargadiya purwa & Bara Sirohi)																			
	Kanpur		3550.97	1699.03	1699.03	424.76	424.76	100%	374.93	374.89	100%	424.76	25%								
		BSUP Project at Kanpur 7 slums																			
TOTAL FOR UTTAR PRADESH (2 PROJECTS)				2611.73		652.93						652.93									
WEST BENGAL		Kolkata (Baranagar)	-431.37	215.68	215.68	53.92	50.72	94%	44.02	41.41	94%	53.92	25%								
		BSUP Scheme for the town of Baranagar Ph-I, West Bengal																			
	Kolkata (Madhyamgram)		3973.28	1986.64	1986.64	496.66	391.22	79%	616.27	485.38	79%	496.66	25%								
		BSUP Scheme for the town of Madhyamgram Ph-II, West Bengal																			
	Kolkata (Titagarh)		1673.50	836.75	836.75	209.19	126.08	60%	304.34	183.41	60%	209.19	25%								
		BSUP Scheme for the town of Titagarh (Phase-I), 24-Paraganas (North), Kolkata MA, West Bengal																			
TOTAL FOR WEST BENGAL (3 PROJECT)				3039.07		759.77						759.77									
GRAND TOTAL FOR UTTAR PRADESH & WEST BENGAL				5650.80		1412.70						1412.70									

38/10/09

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Name of the State	Name of the City	Name of the Project	Total Project Cost	Total Central share Approved	Total State Share Approved (Excluding other expenses)	Central Share released so far			Amount of Central Share utilized	% of Utilization	Amount of State Share released	Amount of State Share utilized	% of utilization	Amount recommended for release as 3rd installment of ACA	% of amount recommended to the total Central share approved			
						1st Installment	2nd Installment	Total										
GUJARAT	Ahmedabad	DPR for construction of housing for the urban poor (EWS) at various locations in Ahmedabad Municipal Corporation (Phase-I)	33876.03	16444.68	16444.68	4111.17	4111.17	8222.34	8222.34	100%	8370.34	4865.33	58%	4111.17	25%			
	Ahmedabad	DPR for construction of housing for the urban poor (EWS) at 8 locations of Vastral and Nilod near peripheral areas of Ahmedabad (Phase-II)	8705.73	4226.08	4226.08	1056.52	1056.52	2113.04	2113.04	100%	2151.12	1344.36	62%	1056.52	25%			
TOTAL FOR GUJARAT (2 PROJECTS)																	5167.69	

(Rs. In Lakh)

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Annexure-VII
to the minutes of 74th CSMC (BSUP) dated 18-12-2009

Name of the State	Name of the City	Name of the Project	Total Project Cost	Total Central share Approved	Total State Share Approved (Excluding other expenses)	Central Share released so far				Amount of Central Share utilized	% of Utilisation	Amount of State Share released	Amount of State share utilized	% of utilisation	Amount recom- ended for 4 th installment of ACA	% of amount recomen- ded to the total Central share approved
						1st Instal- ment	2nd Instal- ment	3rd Instal- ment	Total							
GUJARAT	Ahmedabad	Houses for the urban poor (EWS) at locations in peripheral areas of Ahmedabad, phase I, Gujarat	9813.40	4763.80	4763.80	1190.95	1190.95	1190.95	3572.85	3572.85	100%	4428.04	4385.76	99%	1190.95	25%
TOTAL FOR GUJARAT (1 PROJECT)						1190.95	1190.95	1190.95	3572.85						1190.95	

28/12/09
3/4

Name of the State	Name of the City	Name of the Project	Total Project Cost	Total Central share Approved	Total State Approved Share (Excluding other expenses)	Central Share released so far			Amount of Central Share utilized	% of Utilisation	Amount of State released	Amount of State utilized	% of utilisation	Amount recommended for release of ACA			% of amount recommended to the total Central share approved
						1st Instal-ment	2nd Instal-ment	Total						As 3rd Instal-ment	As 4th Instal-ment	Total	
TRIPURA	Agartala	Detailed Project Report for Mouza Kumbhan slum improvement Scheme at Agartala.	1672.84	1395.91	154.65	348.99	348.99	697.98	697.98	100%	207.00	207.00	100%	348.97	348.97	697.93	50%
TOTAL FOR TRIPURA (1 PROJECT)						348.99	348.99	697.98						348.97	348.97	697.93	

09/04

4/4

Financial support approved for establishment of Programme Management Unit (PMU) under JNNURM (BSUP & IHSDP) for the state of MADHYA PRADESH

S.No.	Position	No. of Months	Remuneration per month (Rs)	Amount (Rs)
A. Cost towards Professionals				
1	Project Specialist (Housing and Slum Development) – 1 No.	12	50,000	600000
2	Specialist (Social Development) – 1 No.	12	50,000	600000
3	Specialist (Community Mobilization and MIS) -1 No.	12	50,000	600000
4	Urban Poverty/ Management Specialist – 1 No.	12	50,000	600000
5	Research & Training Coordinator – 1 No.	12	40,000	480000
	Sub Total 1			2880000
B. Non Staff Component				
6	Travel @ 20% of total cost			960000
7	10% towards system support cost, stationary, documentation, printing etc			480000
8	10% towards overheads and other miscellaneous expenses			480000
	Sub Total 2			1920000
	GRAND TOTAL			4800000

1st Installment of Central Assistance for 1st year (50%) Rs 20.00 Lacs

Financial support approved for PMU in MADHYA PRADESH		
Total Annual Support for 1st year	Rs	40.00 Lacs
Total Annual Support for 2nd year	Rs	40.00 Lacs
Total Annual Support for 3rd year	Rs	40.00 Lacs
TOTAL SUPPORT	Rs	120.00 Lacs

9/1/94
M

Financial support approved for establishment of three Project Implementation Units (PIUs) under JNNURM (BSUP & IHS DP) for Madhya Pradesh: BHOPAL, INDORE & JABALPUR

Cost Breakup (for 1 PIU)

S. No.	Position	No. of Months	Remuneration per month (Rs)	Amount (Rs)
A. Cost towards Professionals				
1	Project Coordinator (Housing and slum Development) - 1 No	12	50,000	600000
2	Social Development Officer - 1 No.	12	50,000	600000
3	Livelihoods Development Specialist - 1 No.	12	50,000	600000
4	Research Officer - 1 No.	12	30,000	360000
5	Research and Training Coordinator - 1 No.	12	20,000	240000
	Sub Total 1			2400000
B. Non Staff Component				
6	Travel @ 20% of total cost			800000
7	10% towards system support cost, stationary, documentation, printing etc			400000
8	10% towards overheads and other miscellaneous expenses			400000
	Sub Total 2			1600000
	GRAND TOTAL			4000000

1st Installment of Central Assistance for 1st year (50%):

1	BHOPAL	Rs	20.00	Lacs
2	INDORE	Rs	20.00	Lacs
3	JABALPUR	Rs	20.00	Lacs
	TOTAL	Rs	60.00	Lacs

Financial Support approved for each PIU in Bhopal, Indore & Jabalpur			
Total Annual Support for 1st year (100%)	Rs	40.00	Lacs
Total Annual Support for 2nd year (75%)	Rs	30.00	Lacs
Total Annual Support for 3rd year (50%)	Rs	20.00	Lacs
TOTAL SUPPORT	Rs	90.00	Lacs

9/12/94
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Financial support approved for establishment of one Project Implementation Unit (PIU) under JNNURM (BSUP & IHSDP) for Madhya Pradesh: UJJAIN

S. No.	Position	No. of Month	Remuneration per month (Rs)	Amount (Rs)
A. Cost towards Professionals				
1	Project Coordinator (Housing and slum Development) - 1 No	12	30,000	360000
2	Social Development Officer - 1 No.	12	30,000	360000
3	Livelihoods Development Specialist - 1 No.	12	30,000	360000
4	Research Officer - 1 No.	12	30,000	360000
5	Research and Training Coordinator - 1 No.	12	20,000	240000
Sub Total 1				1680000
B: Non Staff Component				
6	Travel @ 20% of total cost			560000
7	10% towards system support cost, stationary, documentation, printing etc			280000
8	10% towards overheads and other miscellaneous expenses			280000
Sub Total 2				1120000
GRAND TOTAL				2800000

1st Installment of Central Assistance for 1st year (50%): Rs 14.00 Lacs

Financial Support approved for PIU in UJJAIN (Madhya Pradesh)		
Total Annual Support for 1st year (100%)	Rs	28.00 Lacs
Total Annual Support for 2nd year (75%)	Rs	21.00 Lacs
Total Annual Support for 3rd year (50%)	Rs	14.00 Lacs
TOTAL SUPPORT	Rs	63.00 Lacs

93/94
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Financial support approved for establishment of three Project Implementation Units (PIUs) under JNNURM for covering all IHSDP towns in Madhya Pradesh:

Cost Breakup (for 1 PIU)

S.No.	Position	No. of Months	Remuneration per month (Rs)	Amount (Rs)
A. Cost towards Professionals				
1	Project Coordinator (Housing and slum Development) - 1 No	12	30,000	360000
2	Social Development Officer - 1 No.	12	30,000	360000
3	Livelihoods Development Specialist - 1 No.	12	30,000	360000
4	Research Officer - 1 No.	12	30,000	360000
5	Research and Training Coordinator - 1 No.	12	20,000	240000
Sub Total 1				1680000
B. Non Staff Component				
6	Travel @ 20% of total cost			560000
7	10% towards system support cost, stationary, documentation, printing etc			280000
8	10% towards overheads and other miscellaneous expenses			280000
Sub Total 2				1120000
GRAND TOTAL				2800000

1st Installment of Central Assistance for 1st year (50%):

1	PIU-I	Rs	14.00	Lacs
2	PIU-II	Rs	14.00	Lacs
3	PIU-III	Rs	14.00	Lacs
	TOTAL	Rs	42.00	Lacs

Financial Support approved for each IHSDP PIU in Madhya Pradesh	
Total Annual Support for 1st year (100%)	Rs 28.00 Lacs
Total Annual Support for 2nd year (75%)	Rs 21.00 Lacs
Total Annual Support for 3rd year (50%)	Rs 14.00 Lacs
TOTAL SUPPORT	Rs 63.00 Lacs

94/94
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