



R F D

Results Framework Document

for

Ministry of Housing and Urban Poverty
Alleviation

(2009-2010)

Section 1: Vision, Mission, Objectives and Functions

Vision

An equitable and inclusive sustainable growth of towns and cities free from slums which provides dignity and a decent quality of life to all inhabitants in the urban areas.

Mission

The provision of affordable housing for all, shelter, livelihood and basic civic services to all slum dwellers and poor in the urban areas.

Objectives

- 1 Provide Basic Services to the Urban Poor and provide integrated housing and development of slums under BSUP and IHSDP under Jawaharlal Nehru National Urban Renewal Missions (JNNURM).
- 2 To facilitate "Affordable Housing in Partnership" under JNNURM for construction of 1 million houses for EWS/LIG/MIG with at least 25% for EWS category
- 3 To facilitate the construction 3 lakhs EWS/LIG houses in urban areas in the 11th Plan Period through interest subsidy.
- 4 To facilitate generation of employment to the urban poor.
- 5 Conversion of individual dry latrine into pour flush latrine in remaining four States/ UTs (UP, Bihar, Uttarakhand and J & K).
- 6 Improve Governance through Reforms
- 7 Publication of statistical compendium on slum/housing/urban poverty

Functions

- 1 Formulation of urban housing policy and programmes (except rural housing which is assigned to the Department of Rural Development), Urban Development including Slum redevelopment
- 2 Formulation of specific programmes for Affordable Housing, slum redevelopment, urban employment and urban poverty
- 3 Collection and dissemination of data on housing and slums,
- 4 Popularization of cost efficient building materials and techniques.
- 5 Supervision and guidance to the autonomous bodies.

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Column 1	Column 2	Column 3	Column 4		Column 5	Column 6				
Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	VeryGood	Good	Fair	Poor
						100%	90%	80%	70%	60%
1 Provide Basic Services to the Urban Poor and provide integrated housing and development of slums under BSUP and IHSDP under Jawaharlal Nehru National Urban Renewal Missions (JNNURM).	25.00	Approval of slum up-gradation projects.	Sanction of New Houses	No of Houses	11.000	20000	18000	16000	15000	14000
			New Start-Ups	No of houses	3.000	25000	23000	21000	20000	18000
			Completion of houses	No of houses	2.000	25000	22000	20000	18000	16000
		Monitoring of projects.	Comprehensive review of the impact and issue advisory to 10 States by	No of States	3.000	10	9	8	7	6
			Conduct Capacity Building Programmes.	Number	3.000	5	4	3	2	1
			Release social audit toolkit to the states	Date	3.000	28/02/2010	10/03/2010	20/03/2010	25/03/2010	31/03/2010
2 To facilitate "Affordable Housing in Partnership" under JNNURM for construction of 1 million houses for EWS/LIG/MIG with at least 25% for EWS category	5.00	Issue of guidelines for Affordable Housing in Partnership.	Issue of revised Guidelines if approved by Cabinet.	Date	5.000	15/03/2010	20/03/2010	25/03/2010	30/03/2010	31/03/2010
3 To facilitate the construction 3 lakhs EWS/LIG houses in urban areas in the 11th Plan Period through interest subsidy.	5.00	To cover EWS/LIG households under the scheme	Sanction of new dwelling units	Nos	3.000	15000	14000	13000	12000	10000
		To release Central Assistance to banks and HFC's	Completion of release of subsidy	Date	2.000	10/03/2010	15/03/2010	20/03/2010	25/03/2010	30/03/2010
4 To facilitate generation of employment to the urban poor.	25.00	To provide employment	No. of persons given gainful employment	Nos	10.000	10000	9000	8000	7000	6000
		To impart skill training	Imparting of Skill Training	nos	15.000	50000	40000	30000	20000	10000
5 Conversion of individual dry latrine into pour flush latrine in remaining four States/ UTs (UP, Bihar, Uttarakhand and J & K).	20.00	To implement Integrated Low Cost Sanitation Scheme (ILCS).	Issue of Sanction to remaining States for Conversion of all dry	No of states	20.000	4	3	2	--	--
6 Improve Governance through Reforms	10.00	Prepare Action Plan for Benchmarking the States	Action Plan for Monitoring and Benchmarking	Date	3.000	01/03/2010	07/03/2010	14/03/2010	21/03/2010	31/03/2010

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Column 1	Column 2	Column 3	Column 4		Column 5	Column 6				
Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	VeryGood	Good	Fair	Poor
						100%	90%	80%	70%	60%
			Review and issue of advisory to the States after reviewing the performance for the three quarters ending December 2009	Date	2.000	20/03/2010	23/03/2010	26/03/2010	29/03/2010	31/03/2010
		Conducting of capacity building training programmes/workshops on the issues related to urban governance and poverty alleviation in 2009-2010	Conducting of capacity building training programmes/workshops on the issues related to urban governance and poverty alleviation in 2009-10.* (* The targets are for balance period of FY 09-10)	No of trained persons	5.000	500	450	400	350	300
7 Publication of statistical compendium on slum/housing/urban poverty	5.00	Publication of Compendium on Slum/Housing/Urban Poverty (1)	Publication of Compendium on Slum/Housing/Urban Poverty (one).	Date	5.000	28/02/2010	15/03/2010	20/03/2010	25/03/2010	31/03/2010
* Efficient Functioning of the RFD System	5.00	Timely submission of Draft for Approval	Delay in On time submission (from the due date Nov. 29, 2009)	Number	2.000	0.00	1.00	2.00	3.00	4.00
		Timely submission of Results	Delay in On time submission (from the due date April 30 2010)	Number	2.000	0.00	1.00	2.00	3.00	4.00
		Finalize a Strategic Plan	Delay in Finalizing the Action Plan to make the Strategic Plan for next 5 years (from due date Feb.	Number	1.000	0.00	1.00	2.00	3.00	4.00

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 07/08	Actual Value for FY 08/09	Target Value for FY 09/10	Projected Value for FY 10/11	Projected Value for FY 11/12
1 Provide Basic Services to the Urban Poor and provide integrated housing and development of slums under BSUP and IHSDP under Jawaharlal Nehru National Urban Renewal Missions (JNNURM).	Approval of slum up-gradation projects.	Sanction of New Houses	No of Houses	411352	397669	18000	10000	10000
		New Start-Ups	No of houses	--	325000	23000	200000	--
		Completion of houses	No of houses	--	57987	22000	300000	--
	Monitoring of projects.	Comprehensive review of the impact and issue advisory to 10 States by 31.3.2010	No of States	--	--	9	25	30
		Conduct Capacity Building Programmes.	Number	54	25	4	20	20
		Release social audit toolkit to the states	Date	--	--	10/03/2010	--	--
2 To facilitate "Affordable Housing in Partnership" under JNNURM for construction of 1 million houses for EWS/LIG/MIG with at least 25% for EWS category	Issue of guidelines for Affordable Housing in	Issue of revised Guidelines if approved by Cabinet.	Date	--	--	20/03/2010	--	--
3 To facilitate the construction 3 lakhs EWS/LIG houses in urban areas in the 11th Plan Period through interest subsidy.	To cover EWS/LIG households under the scheme	Sanction of new dwelling units	Nos	0	0	14000	100000	200000
	To release Central Assistance to banks and HFC's	Completion of release of	Date	--	--	15/03/2010	10/03/2011	10/03/2012
4 To facilitate generation of employment to the urban poor.	To provide employment	No. of persons given gainful employment	Nos	181050	184736	9000	10000	10000
	To impart skill training	Imparting of Skill Training	nos	248264	303418	40000	150000	150000
5 Conversion of individual dry latrine into pour flush latrine in remaining four States/ UTs (UP, Bihar, Uttarakhand and J & K).	To implement Integrated Low Cost Sanitation Scheme	Issue of Sanction to remaining States for Conversion of all dry Latrines.	No of states	--	--	3	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 07/08	Actual Value for FY 08/09	Target Value for FY 09/10	Projected Value for FY 10/11	Projected Value for FY 11/12
6 Improve Governance through Reforms	Prepare Action Plan for Benchmarking the States	Action Plan for Monitoring and Benchmarking	Date	--	--	07/03/2010	31/03/2011	31/03/2012
		Review and issue of advisory to the States after reviewing the performance for the three quarters ending December	Date	--	--	23/03/2010	31/03/2011	31/03/2012
	Conducting of capacity building training programmes/workshops on the issues related to urban governance and poverty	Conducting of capacity building training programmes/workshops on the issues related to urban governance and poverty alleviation in 2009-10.* (* The targets are for balance period	No of trained persons	--	--	450	3000	3500
7 Publication of statistical compendium on slum/housing/urban poverty	Publication of Compendium on Slum/Housing/Urban	Publication of Compendium on Slum/Housing/Urban Poverty (one).	Date	--	--	15/03/2010	--	--

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

The various success indicators and the proposed measurement methodology are as detailed at section 2 and 3 above in the respective columns which is basically numerical numbers, amounts released and timely disposal.

- JNNURM was launched in December, 2005. The Mission period is 2005-12. A Mission target of 15 lac houses was fixed based on the funds allocation. No annual target was fixed. The focus in the year 2005-06 to 2008-09 was on sanction of as many houses as possible so that these may be completed by year 2012. Focus has shifted to monitoring the progress of already sanctioned project and release of subsequent installment for them. That is the reason why the number of new houses have gone down in current year.

- Similarly in the initial years, the focus was on building Capacity to prepare DPRs etc and a large number of Capacity building programmes were conducted.

- ISHUP is a demand driven scheme. Additional housing stock of 3.1 lakh houses for EWS/LIG segments over the next three years (2009-12) is likely to be created through this scheme.

- Under ILCS, funds are provided by this Ministry through the State Govts for the conversion of dry latrines into sanitary latrines. However, rehabilitation of manual scavengers is not the subject matter of this Ministry. Rehabilitation of manual scavengers is looked after by M/o Social Justice and Empowerment.

ABBREVIATIONS USED

JNNURM	:	Jawaharlal Nehru National Urban Renewal Mission
BSUP	:	Basic Services to the Urban Poor
IHSDP	:	Integrated Housing and Slum Development Programme
EWS	:	Economically Weaker Section
LIG	:	Low Income Group
MIG	:	Middle Income Group
ILCS	:	Integrated Low Cost Sanitation Scheme
SJSRY	:	Swarna Jayanty Shahary Rozgar Yojana
ISHUP	:	Interest Subsidy Scheme to the Urban Poor
NHB	:	National Housing Bank
HUDCO	:	Housing and Urban Development Corporation Limited.

Section 5:
Specific Performance Requirements from other Departments

Department	Relevant Success Indicator	What do you need?	Why do you need it?	How much you need?	What happens if you do not get it?
State/UTs	Achievement of the targets for BSUP and IHSDP	The State Governments need to prepare the projects conforming to JNNURM Guidelines and put up for the sanction of CSMC	The release of funds will depend on the sufficient number of second/ subsequent installment proposals from the State Governments, which should confirm to condition of financial utilization and implementation of reforms apart from ensuring minimum quality standards in the project.	Require their full support .	Shortfall in achievements of target
State Governments and the ULBs	Achievement of targets under BSUP and IHSDP schemes.	The implementation of reforms is depending on the commitment of the State Governments and the ULBs to meet time lines agreed upon in the MOAs.	Critical input for release of future instalments under BSUP and IHSDP schemes.	Require their full support .	- do -

State Governments and the ULBs	Achievement of targets under ILCS Scheme	The State Govts need to prepare projects for conversion of dry latrines under the scheme for sanction of Central Coordination Committee. States are to complete implementation of conversion by March, 2010.	The release of funds will depend on submission of new proposals and progress of approved proposals from the States.	Require their full support.	The funds will remain unutilized and target of elimination of manual scavenging by March, 2010 will not be achieved.
State Governments/ ULBs/ Banks/ HFCs	Achievement of targets under ISHUP scheme	The State Govts. need to certify the eligibility of beneficiaries and also provide suitable land to them. Banks and HFCs need to put up timely proposals for claim of subsidy and route through Central Nodal Agencies namely NHB and HUDCO.	Critical input for release of Interest Subsidy to Banks/ HFCs	Require their full support	Shortfall in achievements of target

Minister
Name of the Ministry

Secretary
Department/Ministry

Place: _____
Date _____