

Ref: BMT/C-F/EBR-PMAY/2019-20/96

19th Mar.2020

To

The Secretary (Urban Development)
Government of Uttar Pradesh,
Shri Lal Bahadur Shastri Bhawan, Uttar Pradesh
Lucknow- 226001

Subject: Release of Central Assistance under Housing for All (Urban) Pradhan Mantri Awas Yojna from National Urban Housing Fund (NUHF) – reg.

Sir,

Please refer to the sanction letter no **N-11012/80/2019-HFA I-UD (FTS: 9073696)** dated 21.02.2020 on the subject mentioned above received from Ministry of Housing & Urban Affairs (copy enclosed). In this regard, it is informed that an amount of **Rs. 13,09,13,000/- (Rupees Thirteen Crore Nine lakh and Thirteen Thousand Only)** has been credited to the State Government of **Uttar Pradesh**, as mentioned in the sanction order as Central Assistance under Housing for All (Urban) Pradhan Mantri Awas Yojna. The amount was released through EAT module of PFMS in the account mentioned in the above order.

Thanking you,

Yours Sincerely,

(Dr. Shailesh Kr. Agrawal)
Executive Director

Encl.: As above

Copy to:

1. The Chief Controller of Accounts, Ministry of Housing & Urban Affairs, Nirman Bhawan, New Delhi-110011
2. Sh. S. C. Jana, Dy. Secretary (HFA-III), Mission Director, Ministry of Housing & Urban Affairs, Nirman Bhawan, New Delhi-110011
3. The Under Secretary, HFA-I Section, Ministry of Housing & Urban Affairs, Nirman Bhawan, New Delhi-110011

19/5/20
हम हिन्दी में किये गये पत्राचार का स्वागत करते हैं।

① AO-HFA, ② MDD, ③ MIS-HFA

20/5/20

**N-11012/80/2019-HFA I-UD (FTS: 9073696)**

Government of India
Ministry of Housing & Urban Affairs
HFA-1 Section

Nirman Bhawan, New Delhi
Dated: 21st February, 2020

To

The Executive Director,
Building Materials & Technology Promotion Council (BMTPC)
Core 5 A, 1st Floor, India Habitat Centre,
Lodhi Road, New Delhi – 110003

Subject: Release of Rs. 13,09,13,000/- (Rupees Thirteen Crore Nine Lakh and Thirteen Thousand Only) to the State of Uttar Pradesh as 2nd installment for 05 AHP projects (out of total 19 AHP projects) approved for the State of Uttar Pradesh, under Pradhan Mantri Awas Yojana (Urban) [PMAY (U)] in 29th CSMC meeting held on 27.12.2017, from National Urban Housing Fund (NUHF) raised through Extra Budgetary Resources (EBR) borrowed from National Small Saving Fund (NSSF) for the financial year 2019-20.

Sir

In pursuance of the constitution of National Urban Housing Fund (NUHF) in BMTPC for channelizing central assistance/subsidy under the PMAY(U), I am directed to convey the sanction of the competent authority and authorize the BMTPC for release of **Rs. 13,09,13,000/- (Rupees Thirteen Crore Nine Lakh and Thirteen Thousand Only) to the State of Uttar Pradesh** as 2nd installment for 05 AHP projects (out of total 19 AHP projects) approved for the State of Uttar Pradesh, under Pradhan Mantri Awas Yojana (Urban) [PMAY (U)] in 29th CSMC meeting held on 27.12.2017, from NUHF borrowed from NSSF for creation of Capital assets under PMAY(U) for the F.Y. 2019-20. Details of the projects along with category-wise MIS entry are attached as **Annexure**.

2. The fund being released is based on the data entered in MIS (as on 23.01.2020) out of the approved list of beneficiaries in 29th CSMC meeting. The category-wise summary of the above said release is as under:

CSMC	City	No. of EWS houses approved by CSMC	No of beneficiary as per Annexure			No. of beneficiaries attached in MIS as on 23.01.2020			1 st instalment of Central Assistance already released			(Rs in lakh)
			Other than SC/ST	SC	ST	Other than SC/ST	SC	ST	Under Other than SCC/STC Head	Under SCC Head	Under STC Head	Amount of 2nd instalment to be released in the projects
29 th	Lucknow	992	992	0	0	728	185	22	529.86	64.00	1.34	343.74
	Lucknow	960	960	0	0	748	187	15	512.77	61.94	1.29	384.83
	Lucknow	672	672	0	0	497	161	10	358.94	43.36	0.90	237.46
	Lucknow	2128	2128	0	0	1176	337	27	1136.64	137.30	2.86	274.56
	Moradabad	288	288	0	0	186	50	1	154.66	18.05	0.08	68.54
TOTAL		5040	5040	0	0	3335	920	75	2692.87	324.65	6.47	1309.13

Project –wise and Category-wise list is at Annexure.

hd

Contd....2/

3. BMTPC shall release the amount to **State Government of Uttar Pradesh** within two working days through EAT module of PFMS. BMTPC shall inform the Ministry about the transfer of funds to the State/UT immediately. BMTPC shall also maintain proper record of the release made under the NUHF as per General Financial Rules, 2017 and the extant accounting procedure.

4. Based on the decision of the said CSMC and on the recommendations made by MoHUA, the release of central assistance of **Rs. 13,09,13,000/- (Rupees Thirteen Crore Nine Lakh and Thirteen Thousand Only)** for the 5 AHP projects is further subject to the following terms and conditions in addition to the observations of the CSMC:

- I. The releases made by BMTPC to the State/UT Government shall be kept in a separate designated account for utilization towards the implementation of the scheme and shall not form part of their general financial operations.
- II. The funds shall be utilized for the purpose and for the selected categorized beneficiaries only, for which these are sanctioned. Otherwise, these funds will have to be refunded along with interest as per provisions for GFR-2017. No deviation from the framework for implementation of PMAY (U) is permissible.
- III. The expenditure on the implementation of the scheme/projects will be shared between the Central and the State/ULB/Implementing Agency (IA) as committed. The committed State share should also be released within 15 days from the date of receipt of Central Share in the SLNA account. In case of shortfall of State/ULB/IA share, corresponding amount of Central share will be deducted from the subsequent instalment (s).
- IV. The funds including Central and State share will thereafter be released by the State/UT Government to the implementing agencies without any delay failing which the amount would be recovered from the State/UT Government with interest, as applicable, for the period of default.
- V. Necessary sanctions/approvals/compliances required under the statutory or other regulatory regime as applicable would be obtained by the authority (ies) concerned with the project (s).
- VI. The State Government and implementing agencies shall put in place a monitoring system to ensure that the project (s) achieve scheduled milestone and envisaged outcomes including implementation of reforms and other conditions required under the scheme.
- VII. The State Government/implementing agencies shall utilize the grant in accordance with the approved guidelines of PMAY(U) for the implementation of the Scheme.
- VIII. The State Government shall furnish Utilization certificates of the Grant released in the prescribed format as per GFR – 2017 to the Ministry of Housing and Urban Affairs as provided in the scheme guidelines.
- IX. Where there is an element of cash transfer to individual beneficiaries, the same may be made through PFMS/DBT mode as applicable and necessary transaction details may be updated in MIS immediately after the disbursement.

hd

Contd..3/

- X. The State Government shall submit an authenticated certificate from the bank showing complete details of disbursement of funds to each beneficiaries before release of subsequent instalment.
- XI. The State Government shall ensure the geo-tagging of all the project approved under AHP component of PMAY (U) and subsequent release by the State Government should be made depending on the progress/stage of construction of the project.
- XII. State Government shall ensure that the specifications of the houses conform to NBC/IS Standards and that requisite infrastructure will be provided by the State Government / ULB.
- XIII. State Government shall submit the Action Taken Report (ATR) on the observations/recommendations of Third Party Quality Monitoring Agency (TPQMA) for quality monitoring purpose before release of subsequent instalment.
- XIV. BMTPC shall adhere to the conditions stipulated in the Letter No. 5/7/2019-NS (Pt.) dated 28.01.2020 and 04.02.2020 in respect of EBR raised through NSSF.
- XV. State Government should ensure that there is no violation of Model Code of Conduct while releasing funds to Implementing Agencies/ULBs/Beneficiaries.

5. The expenditure is to be booked in PFMS scheme code 1989-State & UT Grants under PMAY(Urban) - EBR for **Rs. 13,09,13,000/- (Rupees Thirteen Crore Nine Lakh and Thirteen Thousand Only)** for the financial year 2019-20 and will be transferred to **State Govt. of Uttar Pradesh** through e-payment as per the following details of bank account:

Name of the State/UT	Uttar Pradesh
Agency Name as per Bank Account	Pradhan Mantri Awas Yojana(Urban),SUDA, Lucknow
Account No	713401621000003
Bank Name	Vijaya Bank
Bank Address	A-1-33, Vijay Khand, Gomti Nagar ,Lucknow
IFSC code	VIJB0007134

6. UCs in respect of the above mentioned projects have been received from the State Government.

7. As per rule 236(1) of GFR, 2017, the relevant accounts of the Grantee Institution(s)/Organization(s) shall be open to inspection by the sanctioning authority and audit, both by the Comptroller and Auditor General of India under the provision of CAG (DPC) Act 1971 and internal audit by the Principal Accounts Office of the Ministry or Department, whenever the Institution or Organization is called upon to do so.

8. This issues with the concurrence of the Finance Division vide their **Note#89 dated 03.02.2020.**

9. This sanction has been registered at S.No. **96** in the EBR Sanction Register of HFA Mission Directorate of the Ministry of HUA for the year 2019-20.

Yours faithfully,



(Jagdish Prasad)

Under Secretary to the Government of India
Tele No.011-23063029

Contd..4/

Copy to:-

1. The Principal Secretary/Secretary, Urban Development/Housing Deptt, State Government of Uttar Pradesh.
2. Accountant General (A&E), Uttar Pradesh
3. NITI Aayog, SP Divn. / DR Divn. New Delhi
4. O/o CGA, Mahalekha Niyantak Bhavan, New Delhi.
5. CCA, MoHUA
6. DS, IFD, MoHUA
7. DS (HFA-3), MoHUA.
8. DS, Budget Division, MoHUA
9. PAO, MoHUA, Nirman Bhawan
10. AO (HFA), MoHUA
11. Dy. Chief (MIS/DRMC), HFA Directorate to place this sanction at appropriate place on the Website of the Ministry.
12. PMU (MIS), HFA Directorate
13. Sanction folder.
14. File copy.



(Jagdish Prasad)

Under Secretary to the Government of India
Tele No.011-23063029

Annexure referred to Sanction order No N-11012/80/2019-HFA+UD (FTS 9073696) dated 21.02.2020 for release of 2nd installment for 5 AHP projects (out of total 19 AHP projects)
approved in 28th CSMC meeting held on 27.12.2017 in the State of Uttar Pradesh.

State Name : Uttar Pradesh, Financial Year : 2019-20, Attachment ID : EATTACHA0920192712201700007, File No. : FTS 9073696, Budget Head : EBR_Head (All) as on 21.01.2020

S.No	City	Project Name	Project Cost (Rs. in lakhs)	Central Share (Rs. in lakhs)	No. of Beneficiary as per Annexure			No. of Beneficiaries attached in MIS as on 23.01.2020			Amount of 1st installment already released in the projects (Rs in lakh)			Installment No.	Release No	Amount of 2nd installment to be released in the projects (Rs in lakh)		
					Others	SC	ST	Others	SC	ST	Others	SC	ST				Under Other than SCC/STC Head	
1	Lucknow	AwadhViharYojna, Sector-7A (7B09800951000954)	28134.40	1488.000	992	0	0	992	728	185	22	935	529.860	64.000	1.340	2	1	343.74
2	Lucknow	AwadhViharYojna, Sector-3 Part-2 (7B09800951000956)	7872.00	1440.000	960	0	0	960	748	187	15	950	512.770	61.940	1.290	2	1	384.83
3	Lucknow	Awadh Vihar Yojna, Sector-3 Part-1 (7B09800951000955)	5510.40	1008.000	672	0	0	672	497	161	10	668	358.940	43.360	0.900	2	1	237.46
4	Lucknow	Awadh Vihar Yojna Sector-10B Part-3 (7B09800951000957)	17449.60	3192.000	2128	0	0	2128	1176	337	27	1540	1136.640	137.300	2.860	2	1	274.56
5	Moradabad	Manjhola Yojna Sector-16 (7B09800682000959)	2165.29	432.000	288	0	0	288	186	50	1	237	154.660	18.050	0.080	2	1	68.54
TOTAL			61131.69	7560.00	5040	0	0	5040	3335	920	75	4330	2692.87	324.65	6.47			1309.13

191



राज्य नगरीय विकास अभिकरण, उ०प्र०

7/23, सेक्टर-7, गोमतीनगर विस्तार (निकट ज्ञायल 100), लखनऊ-226027

Website-sudaup.org

पत्रांक : 7048 / 50 / 72 / एक / 2019-20

दिनांक: 22 दिसम्बर, 2019

02/01/2020

सेवा में,

अवर सचिव (एच०एफ०ए०)यू०
आवास एवं शहरी गरीबी उपशमन मंत्रालय, भारत सरकार
निर्माण भवन, नई दिल्ली ।

विषय :- प्रधानमंत्री आवास योजना (शहरी) के अन्तर्गत ए०एच०पी० मद में प्राप्त केन्द्रीय अनुदान की धनराशि के सापेक्ष उपयोगिता प्रमाण पत्र का प्रेषण।

महोदय,

कृपया निम्न विवरणानुसार भारत सरकार द्वारा वित्तीय वर्ष 2018-19 में प्रधानमंत्री आवास योजना (शहरी) के अन्तर्गत सूडा उ०प्र० को अवमुक्त की गई केन्द्रीय धनराशि के सापेक्ष उपयोगिता प्रमाण पत्र निर्धारित प्रारूप पर तैयार कर प्रेषित है :-

क्र० सं०	पत्रांक	दिनांक	प्राप्त केन्द्रीय अनुदान	पूर्व में प्रेषित उपयोगिता प्रमाण पत्र	वर्तमान में प्रेषित उपयोगिता प्रमाण पत्र	अवशेष उपयोगिता प्रमाण पत्र
1.	F.No.N-11021/7/2017-HFA-I-MHUPA (E.N.9019260) (EBR)	23.03.2018	11136.22	3522.000	3950.400	3663.820
2.	F.No.N-11011/23/2017-HFA-I-SECTION (3144039) (EBR)	27.03.2018	2731.20	0.000	1406.400	1324.800
3.	F.No.N-11021/7/2017-HFA-I-MHUPA(P)(FTS 9039184) (BR)	23.05.2018	1994.400	0.000	1994.400	0.000
4.	F.No.N-11021/7/2017-HFA-I-MHUPA(FTS 9019260) (BR)	13.06.2018	480.600	0.000	93.600	387.000
5.	F.No.N-11012/62/2018/HFA-I-UD (9048570)	15.03.2019	1941.599	0.000	1353.599	588.000
6.	F.No.N-11011/31/2019/HFA-I-UD (FTS-9062088)	27.03.2019	2016.000	0.000	288.000	1728.000
7.	F.No.N-11021/7/2017-HFA-I-(9019260) (EBR)	28.03.2019	316.000	0.000	316.000	0.000

संलग्नक-यथोपरि।

भवदीय

(उमेश प्रताप सिंह)

निदेशक

पत्रांक एवं दिनांक तदैव।

प्रतिलिपि :- विशेष सचिव, नगरीय रोजगार एवं गरीबी उन्मूलन, कार्यक्रम विभाग, उ०प्र० शासन को उपरोक्त प्रेषित यू०सी० की छायाप्रति संलग्न कर सूचनार्थ एवं आवश्यक कार्यवाही हेतु प्रेषित है।

May like to see at date stage.

Dir (HFA-I)

अवर सचिव (एच०ए०) / MC (MS)

Mr. Suresh / Ms. Chandana

(उमेश प्रताप सिंह)

निदेशक

10/1/20

Forms
GFR 12 C
(See Rule 239)
Form of Utilization Certificate

PMAY (URBAN-AHP)- UP HOUSING & DEVELOPMENT BOARD
SECTOR-16 MANJHOLA YOJANA MORADABAD -288 DU'S

Sl No	Letter No. and date	Amount in laes	Certified that out of Rs. 11136.22 Lac of Grants sanctioned during that year 2018-19 in favour of State Urban Development Agency U.P. (government of up) under the Ministry Department Letter No. given in the margin and Rs.3951.82 on account of unspent balance of the previous year, a sum of Rs.172.80 Lac has been utilized for the purpose of PMAY (U)-AHP for which it was sanctioned and that the balance Rs.3779.02 Lac remaining unutilized will be utilized at the end of the year has been surrendered to Government (Vide No. NIL dated NIL) will be adjusted towards the grant payable during the next year NIL .
1	N-11021/7/2017-HFA-1MHUPA(E.N. 9019260 D.T. 23.03.2018 (EBR)	11136.22	
TOTAL		11136.22	

2- Certified that I have satisfied myself that the conditions on which the grants-in-aid was sanctioned have been duly fulfilled/are being fulfilled and that I have exercised the following checks to see that money was actually utilized for purpose for which it was sanctioned.


Kind of checks exercised.

U.C.Provided by working agency (UP HOUSING & DEVELOPMENT BOARD) as per their Record of account books.


Programme officer
SUDA UP


27.12.19
Finance Controller
SUDA UP


Director
SUDA UP


Principal Secretary
Urban Employment & Poverty Alleviation Department
Government of Uttar Pradesh

Forms
GFR 12 C
(See Rule 239)
Form of Utilization Certificate

PMAY (URBAN-AHP)-UP HOUSING & DEVELOPMENT BOARD
SECTOR-7A, AWADH VIHAR LUCKNOW-992 DUS


Sl No	Letter No. and date	Amount in lacs	
1	N-11021/7/2017-HFA-1MHUPA(E.N. 9019260 D.T. 23.03.2018 (EBR)	11136.22	Certified that out of Rs. 11136.22 Lac of Grants sanctioned during that year 2018-19 in favour of <u>State Urban Development Agency U.P. (government of up)</u> under the Ministry/Department Letter No. given in the margin and Rs. 7196.62 on account of unspent balance of the previous year, a sum of Rs.595.20 Lac has been utilized for the purpose of PMAY (U)-AHP for which it was sanctioned and that the balance Rs.6601.42 Lac remaining unutilized will be utilized at the end of the year has been surrendered to Government (Vide No. NIL dated NIL) will be adjusted towards the grant payable during the next year NIL .
TOTAL		11136.22	


2- Certified that I have satisfied myself that the conditions on which the grants-in-aid was sanctioned have been duly fulfilled/are being fulfilled and that I have exercised the following checks to see that money was actually utilized for purpose for which it was sanctioned.

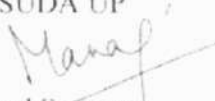
Kind of checks exercised.

U.C. Provided by working agency (UP HOUSING & DEVELOPMENT BOARD) as per their Record of account books.


Programme officer
SUDA UP


27.12.19
Finance Controller
on SUDA UP


Director
SUDA UP


Principal Secretary
Urban Employment & Poverty Alleviation Department
Government of Uttar Pradesh

Forms
GFR 12 C
(See Rule 239)
Form of Utilization Certificate

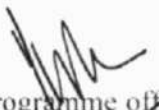
PMAY (URBAN-AHP)- UP HOUSING & DEVELOPMENT BOARD
SECTOR-3 (PART-1) AWADH VIHAR LUCKNOW-672 DU'S

Sl No	Letter No. and date	Amount in laes	
1	N-11021/7/2017-HFA-IMHUPA(E.N. 9019260 D.T. 23.03.2018 (EBR)	11136.22	Certified that out of Rs. 11136.22 Lac of Grants sanctioned during that year 2018-19 in favour of State Urban Development Agency U.P. (government of up) under the Ministry/Department Letter No. given in the margin and Rs.6601.42 on account of unspent balance of the previous year, a sum of Rs.403.20 Lac has been utilized for the purpose of PMAY (U)-AHP for which it was sanctioned and that the balance Rs.6198.22 Lac remaining unutilized will be utilized at the end of the year has been surrendered to Government (Vide No. NIL dated NIL)/ will be adjusted towards the grant payable during the next year NIL .
TOTAL		11136.22	

2- Certified that I have satisfied myself that the conditions on which the grants-in-aid was sanctioned have been duly fulfilled/are being fulfilled and that I have exercised the following checks to see that money was actually utilized for purpose for which it was sanctioned.


Kind of checks exercised.

U.C.Provided by working agency (UP HOUSING & DEVELOPMENT BOARD) as per their Record of account books.


Programme officer
SUDA UP


27.12.17
Finance Controller
SUDA UP


Director
SUDA UP


Principal Secretary
Urban Employment & Poverty Alleviation Department
Government of Uttar Pradesh

Forms
GFR 12 C
(See Rule 239)
Form of Utilization Certificate


PMAY (URBAN-AHP)-UP HOUSING & DEVELOPMENT BOARD
SECTOR-3 (PART-2) AWADH VIHAR LUCKNOW-960 DUS

Sl No	Letter No. and date	Amount in laes	
1	N-11021/7/2017-HFA-1MHUPA(E.N. 9019260 D.T. 23.03.2018 (EBR)	11136.22	Certified that out of Rs. 11136.22 Lac of Grants sanctioned during that year 2018-19 in favour of State Urban Development Agency U.P. (government of up) under the Ministry Department Letter No. given in the margin and Rs.6198.22 on account of unspent balance of the previous year, a sum of Rs.576.00 Lac has been utilized for the purpose of PMAY (U)-AHP for which it was sanctioned and that the balance Rs.5622.22 Lac remaining unutilized will be utilized at the end of the year has been surrendered to Government (Vide No. NIL dated NIL) will be adjusted towards the grant payable during the next year NIL .
TOTAL.		11136.22	

2- Certified that I have satisfied myself that the conditions on which the grants-in-aid was sanctioned have been duly fulfilled/are being fulfilled and that I have exercised the following checks to see that money was actually utilized for purpose for which it was sanctioned.


Kind of checks exercised.

U.C. Provided by working agency (UP HOUSING & DEVELOPMENT BOARD) as per their Record of account books.


Programme officer
SUDA UP


27-12-19
Finance Controller
SUDA UP


Director
SUDA UP


Principal Secretary

Urban Employment & Poverty Alleviation Department
Government of Uttar Pradesh

Forms
GFR 12 C
(See Rule 239)
Form of Utilization Certificate

PMAY (URBAN-AHP)- UP HOUSING & DEVELOPMENT BOARD
SECTOR-10B AWADH VIHAR LUCKNOW-2128 DU'S

Sl No	Letter No. and date	Amount in lacs	
1	N-11021/7/2017-HFA- 1MHUPA(E.N. 9019260 D.T. 23.03.2018 (EBR)	11136.22	Certified that out of Rs. 11136.22 Lac of Grants sanctioned during that year 2018-19 in favour of State Urban Development Agency U.P. (government of up) under the Ministry Department Letter No. given in the margin and Rs.5622.22 on account of unspent balance of the previous year, a sum of Rs.1276.80 Lac has been utilized for the purpose of PMAY (U)-AHP for which it was sanctioned and that the balance Rs.4345.42 Lac remaining unutilized will be utilized at the end of the year has been surrendered to Government (Vide No. NIL dated NIL) will be adjusted towards the grant payable during the next year NIL .
TOTAL		11136.22	

2- Certified that I have satisfied myself that the conditions on which the grants-in-aid was sanctioned have been duly fulfilled/are being fulfilled and that I have exercised the following checks to see that money was actually utilized for purpose for which it was sanctioned.


Kind of checks exercised.

U.C.Provided by working agency (UP HOUSING & DEVELOPMENT BOARD) as per their Record of account books.


Programme officer
SUDA UP


27-12-19
Finance Controller
SUDA UP


Director
SUDA UP


Principal Secretary
Urban Employment & Poverty Alleviation Department
Government of Uttar Pradesh