

**NATIONAL INSTITUTE OF URBAN AFFAIRS**  
**ANNUAL REPORT**  
**2014-15**



**NATIONAL INSTITUTE OF URBAN AFFAIRS**  
**1<sup>st</sup> & 2<sup>nd</sup> Floor, Core 4B, India Habitat Centre,**  
**Lodhi Road, New Delhi 110003**

Website: [www.niua.org](http://www.niua.org)      Email: [niua@niua.org](mailto:niua@niua.org)



<b>Contents</b>		
Preface		
List of Governing Council Members		1
1.0	Background	2
2.0	Research Work	2
2.1	Ongoing Studies	2
2.2	Completed Studies/ Projects	12
3.0	Seminars/Workshops/Training Programmes/Events	16
4.0	Technical Support	17
5.0	Information Technology and Knowledge Management	21
6.0	Professional Activities of Research Staff	25
Staff Position		45
Annual Accounts: 2013-14		46

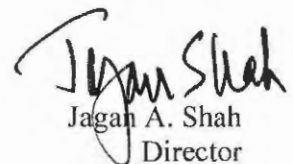


## Preface

It is my pleasure to share the outcomes of our Institute's work over the past year.

NIUA has been reorienting its capacity to support the urban missions of the Government of India in different ways. The research being conducted at the institute addresses the pressing concerns of the country, including the prospects and challenges for 'smart' urban development, the needs of municipalities to strengthen their financial and administrative capacities, mitigation of the effects of climate change, the demographics of migration and housing provision, the challenges of capacity building for urban local bodies, and the processes and techniques of knowledge exchange between all stakeholders in the sector. With this comprehensive agenda and a commitment to promoting integrated planning and management of Indian cities, NIUA is able to address the needs of the emerging urban scenario in India.

As the Institute completes 39 years of its productive contributions in the urban sector in India, we expect to play a significant role in shaping the outcomes and achieving the goals of the various urban missions: Smart City, AMRUT, HRIDAY, Swach Bharat and Housing for All. We look forward to working through partnerships and collaborations to achieve the national goals.

  
Jagan A. Shah  
Director  
2015



<b>Members of Governing Council</b>		
<b>Vice President</b>		
<p><i>Dr. Sameer Sharma</i>            Joint Secretary (Smart Cities)            Ministry of Urban Development            Nirman Bhawan, New Delhi-110011</p>		
<b>Members</b>		
Appointed as Ex-Officio		
<p><i>Joint Secretary (UD)</i>            Ministry of Urban Development,            Room No.140, C-Wing,            Nirman Bhawan, New Delhi            110011</p>	<p><i>Joint Secretary &amp; Financial Adviser</i>            Ministry of Urban Development            Room No. 141 C-Wing,            Nirman Bhawan, New Delhi 110011</p>	<p><i>Shri Rakesh Ranjan            Adviser (HUD)</i>            NITI Ayog            Sansad Marg, New Delhi            110001</p>
Founder Members		
<p><i>Shri Jag Mohan</i>            Bangla No. 1,            Pt. Umashankar Dikshit Marg            Chanakyapuri, New Delhi-11021</p>	<p><i>Padmashree Mr. J. R. Bhalla</i>            Architect            D-29 First Floor, Defence Colony            New Delhi 110024</p>	
Elected Members		
<p><i>Chairman &amp; Managing Director</i>            HUDCO, IHC,            Lodhi Road,            New Delhi 110003</p>	<p><i>Chairman &amp; Managing Director</i>            Canara Bank Head Office,            112 J.C. Road,            Bengaluru 560002</p>	<p><i>Shri S. B. Jain</i>            Advisor (Legal &amp; Financial),            NIUA, as Treasurer of the            Institute            I H C, Lodhi Road, New            Delhi-110003</p>
Co-opted Members		
<p><i>Shri Cherian Thomas,</i>            Chief Executive Officer            IDFC Foundation            The Capital Court, 2nd Floor,            Olof Palme Marg, Munirka, New Delhi-110067</p>	<p><i>Dr. P.S. Rana</i>            Former CMD, HUDCO            B-501 Karam Hi Dharam Apartment            Sector-55, Gurgaon</p>	
<b>Member Secretary</b>		
<p><i>Professor Jagan A. Shah</i>            Director, NIUA            1st Floor, Core 4B, IHC, Lodhi Road, New Delhi-110003</p>		





## **1.0 Background**

The National Institute of Urban Affairs is a premier institute for research, capacity building and dissemination of knowledge for the urban sector in India. NIUA conducts research on urbanization, urban policy and planning, municipal finance and governance, land economics, transit oriented development, urban livelihoods, environment & climate change and smart cities.

Established in 1976, as an autonomous body under the Societies Registration Act, the Institute enjoys the support and commitment of the Ministry of Urban Development and Ministry of Housing and Urban Poverty Alleviation, Government of India, State governments, urban and regional development authorities and other agencies concerned with the urban sector.

The Institute is governed by a Governing Council which comprises of a President, appointed by the Government of India, two Vice Presidents, three officials of the Government of India and twelve other urban professionals and experts as members, and the Director, the Chief Executive of the Institute, as the Member-Secretary.

The Institute supports policy formulation, programme appraisal and monitoring for the Ministry of Urban Development and other Ministries and Departments of the Government of India and also undertakes research and capacity building projects with multilateral and bilateral agencies and private sector organisations.

The Urban Data Centre at NIUA promotes research and evidence based planning and management of the urban sector in India.

NIUA publishes Urban India, a bi-annual journal and maintains the India Urban Portal, which provides information on models and good practices created under the Jawaharlal Nehru National Urban Renewal Mission.

## **2.0 Research Work of NIUA**

### **2.1 Ongoing Studies**

#### **2.1.1 Jawaharlal Nehru National Urban Renewal Mission (JNNURM)**

NIUA is playing a significant role in the implementation of JNNURM. Key activities in which NIUA has contributed include:

- ***Appraisal of Reforms***  
Implementation of JNNURM Reform Measures in Selected States and Cities.

- Peer Experience and Reflective Learning (PEARL)

“Peer Experience and Reflective Learning” (PEARL) is an initiative under JNNURM to support cities to actively pursue activities in implementation of the projects and reforms. The objective of PEARL is to create manageable networks between JNNURM cities for cross learning and knowledge sharing. NIUA is the National Coordinator for this initiative.

International / National Workshops

International Workshop on Resource Institutions in Support of Efficient Urban Planning and Management, proceedings has been printed and disseminated among the participants and relevant stakeholders.

Compilation of National Workshop on “Land Based Fiscal Tools” proceedings is completed and printed.

Research Studies

Research Study on “Transit Oriented Development” commenced from first week of March 2014. The study aims to comprehensively examine the concept of Transit Oriented Development (TOD) in terms of its evolution as an urban development paradigm in Indian cities. A draft for the Research Study on “Transit Oriented Development” has been completed and is undergoing internal review before sharing it with external peer review members. The Final paper would be concluded by May 2015.

Two other research studies are being compiled by the PEARL team which would be concluded by May 2015. The research paper on "Managing Peri-urban: A case of NCR" intends to study the largest defined planning region in the country by identifying emerging patterns of urbanization its causes and impacts, determine the success/relevance of the Regional Plan strategies and compare it to two other cases and studying peri-urban expansions, prospects, challenges and impacts of urbanization in the region

The other research paper on Smart Cities will elaborately define the concept of a 'Smart City', identify the essential components based on global experiences and discuss the challenges and opportunities for developing Smart Cities aligned to the government's agenda in the Indian context.

The paper is being compiled by the PEARL team and will be concluded in May 2015.

Expert visit to Ranchi Municipal Corporation, Jharkhand

An Expert visit facilitates the visit of a group of experts on a particular subject matter from different well-performing cities to a recipient or host city to impart technical support in the area of expertise and assist in handholding the host city on the subject matter.

NIUA in collaboration with the MoUD organized an Expert visit to Ranchi Municipal Corporation on 1st and 2nd July 2014 under the PEARL Programme (2013-14). The focus area for this visit was e-Governance. The five selected expert cities that took part in the event were Surat (Gujarat), Bangalore (Karnataka), Pimpri-Chinchwad (Maharashtra), Nagpur (Maharashtra) and Vishakhapatnam (Andhra Pradesh). The report for the expert visit has been completed and submitted to the Ministry.

### Exposure Visit for Central and City Officials to Singapore

An Exposure visit to Singapore to was organised from 4th to 7th November 2014 in order to gain from experiences of development of smart city infrastructure. Singapore through advanced urban solutions, a progressive leadership and a firm commitment to sustainable development has managed to turn the challenges of urban development into rewarding economic opportunities. This promised to act as a platform for experiential learning with the objective of understanding evidence based planning and implementation strategies. With the active support of the South Asia and Sub-Saharan Africa Directorate of the Ministry of External Affairs, Singapore, the PEARL team at NIUA developed an agenda for a 2 day study tour with the Centre for Liveable Cities - Singapore, focusing on Green Technologies, Infrastructure, Housing Programmes and Human Resource policies. The delegation, led by Honourable Minister Shri Venkaiah Naidu, comprised of 5 senior officials from the Ministry of Urban Development including the Smart Cities Director and one municipal commissioner. 4 members from the PEARL team alongwith the Project Coordinator participated in the exposure visit. The draft Study Tour report has been submitted and would be finalised after incorporating due comments.

### Documentation of good practices

*Documentation and dissemination of National Good Practices* - The final draft compendiums for Cultural Heritage (CH); Urban Transport (UT); Water and Sanitation (WATSAN); and Solid Waste Management (SWM) themes were submitted by the consultants after incorporating comments by the PRG members in August 2014. The documents were copy edited, proof read and prepared in print ready formats by December 2014.

*Urban Reforms* - The good practices on urban reforms compendium would be printed after incorporating a final round of comments by the PRG members.

*Global documentation of good practices* - Detailed documentation as per the concept note and the inventory was completed by October 2014. The documents were copy edited, proof read and prepared in print ready formats by December 2014.

### Internship of students with Urban Local Bodies in India

This activity is to facilitate internship of students and scholars in the Municipal Corporations under the JNNURM. This would provide an opportunity for interns to gain exposure to local governments and their work in urban development.

Draft research papers of selected students were approved during the months of July-August. The final research papers with comments duly incorporated were submitted and approved during September 2014. The executive summary of the final research papers submitted by interns has been updated on PEARL website. The certificate of internship with urban local bodies has been awarded to the students in December 2014.

A compilation of the final papers submitted by the interns has been initiated which would then be shared with the Ministry of Urban Development, the Cities Alliance, the World Bank Group and disseminated through the PEARL website.

### Urban Portal

Redesign and redevelopment of India Urban Portal - Based on Peer discussions with WBI, WB, CA and other global urban websites technical team and with recommendation from Joint Secretary (Mission), Ministry of Urban Development, Government of India to design, develop and maintain the portal in-house, hiring of web development consultants has been

completed in mid June. A composition of one Senior Web Developer and two Full Stack back-end Web Developers is in place.

As part of the design of knowledge base driven information architecture for the web initiatives of NIUA, Web Development Team successfully launched revamped NIUA website on 31<sup>st</sup> July. Content uploading, testing of the new NIUA website and design of PEARL website were done simultaneously. First look of redesigned PEARL website was shared with the Mr. Victor Vergara from World Bank during his visit to India for E-Learning Round Table Meeting at NIUA in August 2014. Feedback received is being incorporated into the new version of PEARL website and it is expected to roll out as a project website and act as a knowledge dissemination platform. The currently active website 'India Urban Portal' is envisaged to function as the NIUA portal with syndicated content from NIUA projects and also articles on state of Indian cities and urbanisation.

The PEARL website is anticipated to put together the works undertaken as part of the PEARL project over the last 7 years in a highly comprehensive and interactive format, aiming to sustain the developed networks, provide a repository for the knowledge products developed as part of the PEARL programme for wider dissemination and initiate the expansion of these networks.

Content uploading on the new platform of PEARL website ([pearl.niua.org](http://pearl.niua.org)) is underway and expected to be completed by April 2015.

#### PEARL Dialogue Series

Cities Alliance support for PEARL has envisioned a series of dialogues on contemporary and emerging urban issues and trends in the country. These dialogues shall enable prolific discussions and put forth diverse approaches aimed at acknowledging the trends and addressing the issues. Under this a series of 5 dialogues (4 national and 1 international) had been planned.

The inaugural session in the NIUA Dialogue Series that took place on the August 25, 2014 was based on community use of streets and was titled "Happy Streets - Reclaiming/ Realigning/ Reengineering/ Transforming Streets for Communities". Mr. Amit Bhatt from Embarq and Anuj Malhotra from the Centre for Green Growth were the two speakers.

The second dialogue was titled "Making Bangalore Smart" was held on September 29, 2014. As a speaker, Dr. Ashwin Mahesh, co-founder of Mapunity Bangalore, promotes the concept of "publicly managed cities" with Mapunity interactively crowd sourcing citizen opinions and involvement in urban development issues.

The third dialogue in the series titled 'Learning Cities' was a talk by Dr Tim Campbell from the Urban Age Institute that elaborated the processes involved and benefits of learning through knowledge exchange for cities, October 13, 2014.

In the light of the high relevance and need of heritage-based urban development today, the NIUA themed the fourth of its dialogue series on Cities and Heritage Conservation. The session was titled "Conserving our Identities" by Ratish Nanda, Aga Khan Trust for Culture on October 27, 2014. The session intended to highlight various aspects of a sustainable urban development based on the conservation of indigenous heritage.

“Open Data for Effective Planning” was the fifth in series of the NIUA Dialogue Series. Open data is a relatively new area for which awareness is to be created among the mass. “Open data” by nomenclature means access to data free of cost and bar of copyright for use, reuse and redistribution. Open data in context to urban development opens doors to citizens and facilitates transparency to multiple degrees. Keeping that in mind the fifth in the NIUA Dialogue series was arranged as a talk by Dr. Neeta Verma, Deputy Director General, National Informatics Centre, November 24, 2014.

The report has been completed and printed and will be shared with relevant stakeholders.

#### Film

Under 'PEARL Knowledge Products', NIUA proposed to develop a movie presentation showcasing NIUA's mission/work and work done under PEARL in the context of urban India as a good practice. The film intends to capture forty years of NIUA legacy, its existing capacities as an urban think-tank and the future research areas. Suggestions were sought by the filmmaker for two drafts of the documentary film. The film would be finalized by May 2015 after incorporating suggestions/ recommendations/ modifications.

#### E-Learning

Based on the discussions held with the WBI team in December 2013, a Concept Note was prepared by NIUA for the E-Learning course 'Sustainable Urban Land use Planning' which was further discussed with members of WBI and WB in a meeting held in May 2014. This was followed by a WBI workshop 'Accelerating Learning for Development' held earlier in June 2014 which took stock of the various E-Learning initiatives in India discussing methods and required institutional networks for a successful endeavor. Accordingly, the Concept Note has been finalised and shared with the WBI team.

Different Subject Matter Experts and a Course Mentor have been identified. A round table meeting was held at NIUA on the 29th August which was attended by Prof Abhay Pethe (identified as a Course Mentor) and Prof Uttam Banerjee, Prasad Shetty, Shirley Ballaney and Prof Ashok Kumar (identified as Subject Matter Experts). Additionally Mr. Victor Vergara and Ms Mansha Chen from the World Bank were present. The structure of the course, methodology to be followed and time duration were some of the key issues discussed during the meeting. Following the round table, TOR for individual Subject Matter Experts have been prepared. Individual contracts with consultants were duly signed in October 2014 which commenced the content development activity. Draft outlines were submitted and commented on in December 2014. This was followed by the draft contents for each module. Revised versions would be received and the content finalized by May 2015.

#### High Level Meeting on Country-Led Knowledge Hubs

The PEARL Project Co-ordinator, Dr. Debjani Ghosh presented the case story for PEARL in a Roundtable 8 titled 'The Art of Knowledge Sharing' at the Second High-Level Meeting on Country-Led Knowledge Hubs (HLM2) held by the World Bank at Seoul, South Korea between 23rd to 26th June 2014. The case story for PEARL was highly acclaimed and was selected as one of the ten case stories which were made part of a documentary of KS champions.

#### CityNet Membership

NIUA has now become an Associate Member of City Net (The Regional Network of Local Authorities for the Management of Human Settlements).



### Internship of students with Urban Local Bodies in India

This activity is to facilitate internship of students and scholars in the Municipal Corporations under the JNNURM. This would provide an opportunity for interns to gain exposure to local governments and their work in urban development. It will also promote evidence-based research on ULBs in India and would allow talented and motivated researchers to contribute to the urban sector through their research outcomes. This will also encourage knowledge transfer and exchange between organs of government and education and research institutions.

Nearly fifty students had responded to Expression of Interest (EOI) for the “Internship Activity with Urban Local Bodies in India” under PEARL programme (JNNURM). Ten students have been selected and activity will commence from April 2014 and expected to be completed by mid August 2014. The students are associated with the following universities or Institutes: Symbiosis School of Economics, Pune; CEPT University, Ahmedabad; School of Planning and Architecture, Bhopal; School of Habitat Studies, Tata Institute of Social Sciences, Mumbai; South Asian University, Delhi.

### Documentary Film

Call for Proposals from Filmmakers (individuals or agencies) to receive a Film Production Grant for producing a documentary film on “Transforming Urban India: JNNURM and Beyond” under the aegis of the PEARL Programme of the JNNURM were invited. A total of 10 proposals were received for the same. Short listing of the proposals is underway and activity is scheduled to start in April and will be completed in 6 months.

### **2.1.2 Migration in Indian Context**

This research initiative to Strengthen and Harmonize Research and Action on Migration in the Indian Context (SHRAMIC) is funded by the Sir Dorabji Tata Trust (SDTT). It is being jointly carried out by IGIDR, Centre for Policy Research (CPR) and NIUA. The unit level data of the 69<sup>th</sup> round of the NSSO is being analysed at the state and size class levels. Draft report on the analysis of unit level data is under progress. A special issue of Urban India journal on 'Migration' has been published based on compilation of case studies and research done by Tata Trust funded NGO partners. A half day workshop on “Making Labour Markets Work” had been organised on February 13, 2015. The objective of the workshop was to present a macro overview of internal migration in the country and unique insights from the field on how people in rural areas take migration decisions; how they experience the city and the unique challenges they face in the process. The workshop also marked the release of a special issue of Urban India Journal, a bi-annual journal of National Institute of Urban Affairs, on the subject of Internal Migration in India.

### **2.1.3 Asian Cities Climate Change Resilience Network (ACCCRN)**

This project, supported by the Rockefeller Foundation (RF), supports capacity building through training, integration of climate information, dissemination of existing knowledge products on Urban Climate Change Resilience (UCCR), linking networking partners as a means to influence policies, address the potential impacts of climate change in urban environment, and links climate resilience to development planning and management. The five activities under the project are as follows: (i) Capacity building of NIUA in UCCR; (ii) Knowledge and training needs assessment; (iii) Institutional capacity building of national and

state level nodal agencies; (iv) Capacity building of city nodal agencies and authorities; (v) Knowledge networking, research and documentation.

Activities undertaken in the project during April 2014 – March 2015:

Training of NIUA project team: TARU Leading Edge, as a part of the capacity building agenda of the Urban Climate Change Resilience project, conducted training for UCCR team and NIUA staff from April 23-25, 2014, and on July 7 & 9 2014 at NIUA. Three modules were delivered during the three day session.

These were:

- a) Module 1: Basic concepts of Climate Change Science
- b) Module 2: Understanding Climate Risk
- c) Module 3: Scenario Mapping
- d) Module 4: Field visit - As a part of this module field visits were arranged by TARU for the project team to ACCCRN core cities, namely – Surat, Indore and Gorakhpur, to build a deeper understanding of the resilience strategies adopted by these cities to adapt to climate change. The dates of these visits were: Indore, April 28-29, 2014; Gorakhpur, April 27-30, 2014; and Surat, May 5-8, 2014. Two team members visited each city. Reports of the field visits have been shared with TARU.  
Modules 5 and 6 were delivered on 7th and 9th July 2014:
- e) Modules 5 – UCCR and Urban Development
- f) Module 6 – Training Guide Development

Research Papers: The following research papers are being prepared:

- a) Analysing the variability of urban climate in rapidly urbanising cities of India
- b) Sea Level Rise and Indian mega cities
- c) Water and Sanitation in Urban India and impact of climate change

Two articles were written for publication:

- Aligning Environmental and Local Urban Issues in India (for a book to be published by Sage)
- Urban Climate Change Initiatives in Madhya Pradesh (for a publication to be brought out by EPCO, Madhya Pradesh).

An Interim Narrative Report for the period April to September 2014 was submitted to Rockefeller Foundation.

Factsheets prepared on:

- Urban Flooding
- Landslides
- Heat Wave

MoUs signed with four regional institutions to conduct training for city officials of six cities in each region. The regional institutions are:

- 1) Centre for Environmental Education (CEE), Kolkata, West Bengal; MoU signed on 7th September, 2014
- 2) Lal Bahadur Shastri National Academy for Administration (LBSNAA), Mussoorie, Uttarakhand; MoU signed on 15th October, 2014.

- 3) Faculty of Architecture, Sarvajanic College of Engineering and Technology (SCET), Surat, Gujarat; MoU signed on 15 October, 2014.
- 4) Institute for Land and Disaster Management (ILDm), Trivandrum, Kerala; MoU signed on 1st December, 2014.

Questionnaires for Knowledge and Training Needs Assessment (KTNA) finalized, one for administering to Regional Institutions and the other to Urban Local Bodies.

- A Perception Survey on urban climate change was pilot tested at NIUA to make changes and make the questionnaire more focused. A report on the pilot Perception survey has been prepared.
- The Knowledge and Training Needs Assessment (KTNA) for Regional Institutions (RIs) and cities is being finalized. The KTNA questionnaire sent to the RIs for conducting surveys in the selected ULBs of their regions.

Knowledge Networking: An ACCCRN Regional Coordinator has been appointed for this. The position is supported by Mercy Corps, Indonesia. Under this component, a database of experts in urban climate change and resilience is being created. A quarterly E-Newsletter is also being planned under this component.

Note on city selection: Using an internally developed methodology six cities in four regions of the country were selected for training. These were discussed with the four regional institutions and the final list of 24 cities prepared.

Training Program for Regional Institutions – A two day training programme, on 26th and 27th February 2015 was conducted by NIUA for four regional institutions namely:

- i. Lal Bahadur Shastri National Academy of Administration, Mussoorie.
- ii. Centre for Environment Education, Kolkata.
- iii. Sarvajanic College of Engineering Technology, Surat.
- iv. Institute of Land & Disaster Management, Thiruvananthapuram.

Training Modules for Urban Local Bodies – A simplified version of training modules for Urban Local Bodies was prepared.

Format for KTNA report – Prepared a template for regional institutions for preparing KTNA reports (of ULB officials and on city profile). This was done to standardise the format of the reports.

Documentation – A case study of a sustainable housing project in Bangalore was documented. A visit was undertaken to Bangalore from 29th March to 1st April 2015, to document the sustainable practices in built environment (of ZED Homes). A detailed report on the case is being prepared.



#### **2.1.4 Social-Impact Assessment of the Urban Land (Ceiling and Regulation) Act, 1976 and the Urban Land (Ceiling and Regulation) Repeal, Act, 1999**

The objective of this study is to assess the achievement of the social objectives by the Urban Land (Ceiling and Regulation) Act (ULCRA), 1976 and the Urban Land (Ceiling and Regulation) Repeal Act, (Repeal), 1999. Specifically, the quantum of land possessed during ULCRA; extent of land made available for housing and other development projects during ULCRA; number of housing dwelling units built during the ULC period and after its Repeal; quantum of land released from litigation and Government possession after the Repeal; and the challenges in ensuring equitable distribution of ULC land, are analyzed in this research study.

First, a robust literature review was prepared on the subject matter of ULCRA and the Repeal Act. A detailed questionnaire was also prepared to aid the collection of data at the State Government level. Secondary data on land acquisition, distribution, land-use adopted, dwelling units for EWS and general categories, disputed land sizes held up in courts, etc. under ULCRA, 1976, as well as the Repeal Act, 1999, were retrieved from the Revenue Departments of 53 Urban Agglomerations (UAs) in the following states, Assam, Gujarat, Karnataka, Maharashtra, Orissa, Punjab, Rajasthan, and the undivided states of Andhra Pradesh, Madhya Pradesh, and Uttar Pradesh through field visits. Qualitative interviews were conducted with officials of the Revenue, Urban Development, or any other Department who were designated as the Competent Authority for the ULC and its Repeal. Qualitative insights gleaned from field visits and interviews have been used to corroborate the data. Additionally, secondary literature as well as court case judgments on the subject matter has also been referred to augment the findings derived from the field visits.

During 2014-15, the NIUA team first visited the state of Punjab and Chandigarh UT in April, 2014 to collect information pertaining to ULCRA, 1976 and the Repeal, 1999 and also conduct interviews with State Officials. Thereafter, the NIUA team visited the states of Madhya Pradesh and Rajasthan in June-July 2014. In the state of Madhya Pradesh it was found that consolidated data was not available in the capital, Bhopal, and thus field trips to individual cities, such as Indore, Gwalior, Ujjain, Jabalpur were also undertaken. During the months of October, 2014, field trips were undertaken for the states of Gujarat, Andhra Pradesh and Karnataka, and the cities of Ahmedabad, Hyderabad, Warangal, Bangalore, Mangalore, Mysore, Belgaum, and Hubli-Dharwad, respectively were visited.

In the month of November, 2014, a Draft Report was prepared and submitted to the Ministry of Urban Development (MoUD) highlighting the progress of the study. Accordingly, a meeting was undertaken by the Additional Secretary, MoUD on 19<sup>th</sup> November, 2014 and a PPT presentation was made to him regarding the progress on the study as well as the challenges encountered by the NIUA in extracting State and city level land records data. Intervention was sought from the MoUD was sought in order to access the same. Following which, a fresh set of letters were sent from the MoUD to the States to facilitate the NIUA study.

During the month of December, 2014, field trips were undertaken to the state of Telangana and the cities of Vijayawada, Vishakhapatnam and Guntur were visited. From the months of January-March 2015, field trips were arranged to the states of Maharashtra, Assam, Odisha, Gujarat, Uttar Pradesh and the following cities were visited: Mumbai, Pune, Nashik, Thane, Ulhasnagar, Solapur, Kolhapur, Sangli, Guwahati, Cuttack, Ahmedabad and Lucknow,

respectively. In the city of Lucknow, information for all other ULCRA-cities in the state of Uttar Pradesh, such as, Agra, Aligarh, Allahabad, Bareilly, Dehradun, Gorakhpur, Kanpur, Meerut, Moradabad, Saharanpur, Varanasi, and Lucknow was collected.

Through the course of the study, some of the major findings that have emerged are as follows. First, the rate of land acquisition under ULCRA, 1976 was extremely poor. Though there exist variations at the level of the States, the main reasons for the poor performance has been noted to be the under-staffing of Government agencies; inadequate compensation to land owners; non-payment of compensation amount; illegal sale and transfer of land by owners to evade ceiling proceedings; arbitrary power and misuse of exemption; poor and fragmented quality of land; excessive litigation; encroachments; interpretational differences and flaws in the design of master plans; and also, the poor maintenance of land records.

With the Repeal of ULCRA in 1999, it was expected that cases would abate and the Repeal would help undo the mess inherited through the ULCRA period. However, this was not the case as a majority of ULCRA land had been taken over by encroachments. The condition on the ground, in terms of land occupation was completely different from the evidence of ownership on the land records. Most State Governments had managed to secure little or no land at all. Only the States of Gujarat, Maharashtra and undivided Andhra Pradesh have managed to save and retain ULCRA land.

The report has been prepared and is now in advanced stages of editing and design.

#### **2.1.5 Demographic, Economic and Social Structures of Different Sizes of Urban Settlements in India**

This project is envisaged to carry out an empirical research to analyse the demographic, economic and social structures, and the status of basic infrastructure and housing of the different sizes of urban settlements. The Phase 2 concentrates on the metropolitan/million plus cities in India; drawing upon secondary data from the Census, and unit level data from the relevant rounds of National Sample Survey, supplemented with data from Central Statistical Organisation and Planning Commission. Field work has been completed in two states i.e. UP and Karnataka. A presentation on the 2nd year report has also be done to the COD, HUDCO on October 10, 2014. Analysis of data and report writing is in progress.

#### **2.1.6 A Study to Qualitatively Assess the Capacity Building needs of Urban local Bodies**

The Planning Commission, under its Socio-Economic Research (SER) Scheme, sponsored this research study that covers six cities viz. Chandigarh, Surat (Gujarat), Indore (M.P.), Nagpur (Maharashtra), Patna (Bihar), and Mussourie (Uttarakhand). The aim of the study was to do a reality check on the functioning of ULBs by interviewing all the stakeholders i.e. government, elected representatives, bureaucrats, NGO's, citizens etc. and to propose measures to build the comprehensive capacity of ULBs.

The revised draft incorporating comments and finalising the report has been submitted . Presentation of the report in Planning Commission is awaited.

## **2.1.7 South Asia Urban Knowledge Hub-ADB**

The South Asia Urban Knowledge Hub (the K-Hub) aims to increase the influence of evidence-based advocacy for urban policy and practice in South Asia. Presently consisting of the 4 countries Nepal, Bangladesh, Sri Lanka and India, the K-Hub will build a network of training and research institutions in the region and develop a forum for improving knowledge management within and between countries and regions. K-Hub activities will facilitate sharing of best practices in urban management, infrastructure and services delivery in South Asia and other regions and develop capacity for outcome-oriented research in the urban sector.

The work plan /activity plan for the project has been finalised for the national centre as well as the regional centre after incorporating comments from MOUD and ADB.

The deliverables as per the timeline in the work plan are being produced. The 2nd regional meet was organised in Kathmandu in March 2015 for training, discussion and Steering Committee meeting.

NIUA-ADB-IHC Urban Dialogue Series is being organised on the 3rd Friday of every month with an eminent urban sector expert (both national and international) speaking on emerging themes on the urban sector. The activity is planned for the year 2015.

## **2.2 Completed Studies/Projects**

### **2.2.1 Implementation of JNNURM Reform Measures in Selected States and Cities**

NIUA had been carrying out reform appraisal for 14 cities (under UIG), 07 Urban Agglomeration around Chennai and 18 towns (under UIDSSMT), spread over six states (Punjab, Jammu and Kashmir, Himachal Pradesh, Kerala, Karnataka and Tamil Nadu) and two Union Territories (Chandigarh and Puducherry).

Field visits to all the states and cities under package 1 and 7 have been completed for the last Cycle. Reports and the revised calibrations for all the states and ULBs have been finalised and submitted to MOUD. Presentation on the reports has also been done.

### **2.2.2 MIS Support to JnNURM**

Two Management Information System (MIS) experts had been made available to JNNURM Directorate since 2008 and they had been supporting the MOUD. Their contract had been extended till September 2014.

### **2.2.3 Sustainable Social Housing Initiative Policy and Financial Assessment**

In July, 2013, the NIUA was engaged by the United Nations Environment Programme (UNEP) to conduct a Policy and Financial Assessment and to develop a Summary for Decision Makers with regard to Sustainable Social Housing Initiatives (SUSHI) taken up in India.

Received comments on Draft Report on 'Policy and Financing Assessment' and the Summary for Decision Makers along with the 'Substantive Report'. The final report has been submitted and presentation has also been made.

#### **2.2.4 Compilation of data on 74th Amendment Act and Preparation of Municipal Directory**

The MOUD commissioned a study titled "Compilation of Data on 74th CAA and preparation of Municipal Directory" to NIUA.

The work for compiling the data and preparation of the tabulation plan was assigned to NIUA who is coordinating with Regional Centre for Urban and Environmental Studies (RCUES) at Lucknow, Hyderabad, Mumbai and the Centre for Urban Studies at IIPA, New Delhi to complete this task.

A template was prepared by RCUES of Mumbai and Hyderabad in consultation with NIUA to collect the data. NIUA has received filled Template/format for 22 states sent by different Regional centres (RCUES). Out of these 22 states, three states namely Uttar Pradesh, Himachal Pradesh and Haryana had used pre-revised templates/format for collecting information. These states have been requested to transfer the data in the revised format. This information is still awaited. Information pertaining to the rest of the states is readily available in tabular form.

#### **2.2.5 Urban Green Growth Strategies for Indian Cities**

NIUA and ICLEI jointly worked on this project; ICLEI was the lead agency. The project was sponsored by the Global Green Growth Institute (GGGI), Korea. The project focused on defining 'Green Growth' in the Indian context, the green growth potential in Indian cities, and assembling best-practices, analytical tools, and policy/financing instruments to be utilized in other activities of the India Urban Program of GGGI.

The project had two work streams - Work Stream 1 was on defining green growth in the Indian context, profiling cities and working out green growth path for Indian cities. This work stream was led by ICLEI. Work Stream 2 was on documenting best practices in green growth in urban India. This work stream was led by NIUA.

Under Work Stream 1: NIUA was involved in preparing city profiles for 5 cities viz., Kota, Pimpri-Chinchwad, Shimla, Ludhiana and Vijayawada.

Under Work Stream 2: Fifteen best practices in green growth were documented across different cities in India viz., Agra, Jaipur, Surat, Thane, Ahmedabad, and Bangalore.

Regular meetings with GGGI and ICLEI teams were held to discuss different activities under the project and minutes of the meetings prepared.

The project has been completed and final report (three volumes) was submitted to GGGI in November 2014.

Vol I: Urban Green Growth Strategies for Indian Cities

### 2.2.6 Plan for Solar Street Lighting in Delhi

The study was awarded to NIUA by Greenpeace India Society (GPIS). This project was a four-month study to address safety, dark spots and decentralised street lighting options in cities.

The study aimed to understand status of street-lighting in the NCT of Delhi and provide appropriate solutions for addressing the different scenarios. This aim will be attained with the help of following major objectives:

Objectives of the project:

- 1) To examine the status of existing street lights in Delhi, categorize them and find appropriate methodology and technology for achieving complete street lighting in Delhi;
- 2) To estimate the street lights that will be required to be installed and retrofitted with appropriate technology in the short term (next one year) and long term (next 10 years) and estimate the cost and availability of funds;
- 3) To develop a roadmap for addressing various issues regarding street-lighting in Delhi and describe the phase-wise implementation of the same
- 4) To make policy, technical and financial recommendations
- 5) To give the cost analysis and cost benefit scenario of the recommended roadmap

Major findings from this report are:

- a) Primarily, the locations of dark spots are in the residential and industrial areas followed by transit stretches, stations, commercial areas and open spaces.
- b) Major reasons given by the DISCOMs for the dark spots are operation and maintenance issues, and increasing cost of power.
- c) On an average about 35% (over one third) of the dark spots in Delhi are due to lack of infrastructure despite the large number of installed street lights in Delhi.
- d) LED based solar street lighting can save up to Rs.30, 000 per installation in a time frame of 8 - 9 years. It also uses zero grid electricity, has sensors to avoid wastage of energy and has a payback of only 6 years. It has back up of 10 -12 hours, and can work without recharging for 3 days.
- e) Cost incurred to solarize dark spots with 1998 stand alone installations with or without CCTV cameras is about Rs. 9.6 crores with major expenditure in South Eastern and Eastern Delhi. Along with retrofitting a certain number of existing streetlights with solar panels and LEDs, the total cost of eliminating existing and new dark spots in six years will be around Rs.1980 crores.
- f) Therefore the required funding per year for six years would be Rs. 330 crores, which is available for Delhi from various sources for such installations (not including the Nirbhaya Fund).
- g) Although dark spots have no connection with land use, it was found that areas adjacent to certain activities had greater density of dark spots and hence a graph depicting dark spots in the various districts for which data was available was plotted against the activities where citizen footfall is high.

This graph clearly showed that: a) Most number of dark spots in most of the districts are in the residential areas except in outer district, followed by transit stretches, stations, and commercial areas and open spaces, where the citizen needs to go; b) It is significant to note



that in the New Delhi (NDMC) area, the dark spots are in commercial areas frequented by the general citizen and not in residential areas where ministers and bureaucrats reside. This was corroborated by a map wherein spatial mapping of the dark spots was done.

*Project progress and completion:*

Although the MOU was dated March 24, 2014 the project started on April 15, 2014 and hence the funding agency has extended the date of submission of final report.

The final report was submitted to Greenpeace India on January 19, 2015 and the final invoice for the final installment of the grant was sent on January 27, 2015.

### **2.2.7 Monitoring of the 13<sup>th</sup> Central Finance Commission (CFC) Grant Parameters**

During 2010-11, the Ministry of Urban Development (MOUD) established a CFC Support Cell for ULBs at the National Institute of Urban Affairs (NIUA) with a purpose to support help to the States to achieve the compliance of the nine reform based conditions, necessary for the release of General Performance Grants, as stipulated by the 13<sup>th</sup> CFC. This process involved a thorough verification of compliance documents/ certificates submitted by the respective State Governments and to forward their claims to the Ministry of Urban Development. In certain cases, meetings were held with State Government Officials to apprise them of the necessary changes to be made with regards to compliance documents/ certificates.

During the year 2014-15, the CFC Support Cell at the NIUA helped prepare inputs and create PPT presentations for the Central Monitoring Committee (CMC), headed by the Secretary, (Ministry of Urban Development) MOUD, to ascertain the level of compliance of the States and to release the funds under the General Performance Grants. Accordingly, the meetings of the CMC were conducted on 20<sup>th</sup> November, 2014; 18<sup>th</sup> February, 2015; and also 28<sup>th</sup> March, 2015.

During the course of its work, the CFC Support Cell found large variations in different State's compliance. Since each state had to be examined annually, it was found that certain States have lost out on their share of the General Performance Grants in the current year, even though they may have received it in the preceding years. This was mainly as a result of compliance with respect to the date. States had to comply with all the nine conditions by the 31<sup>st</sup> of March each year, failing which they would not be considered eligible for the General Performance Grants. Apart from this, other variations were also found, for instance, in the case of preparation of a Supplement to the Budget. Herein there were discrepancies found in the nature of reporting by all the States. Some states furnished Budget Estimates of the income instead of Actuals. At places, Supplementary Budget Heads were found inconsistent with that in the State Budget. Similarly, problems with maintenance of double entry accounting system, audit of accounts, setting up of local body ombudsman, property taxes and SLBs were also observed. Another major point that was noted during the drive to ensure compliance of the nine conditions was that there was no standard Performa/ certificate which the States would follow to demonstrate their compliance. As such, each state was allowed to create its own set of certification, which often than not, led to wide variations between States.

The assignment related to the monitoring of the 13<sup>th</sup> CFC parameters finished its tasks on March 31, 2015.

### 2.2.8 Analysis of Data & Preparation of Report on RAY : Slum Free City Plan of Delhi

The project is sponsored by Delhi Urban Shelter Improvement Board. The objectives of the study are –

- To prepare a demographic profile of slum clusters separately for tenable and non-tenable slums as per RAY guidelines with specific reference to their socio- economic structure. This analysis will be done at the constituency level, as mentioned.
- Analysis of the socio-economic survey data as per para 2.3, 2.3.1, 2.3.2, 2.4, 3.1 and 3.1.1 of <http://mhupa.gov.in/ray/RAYGuidelinesSFCP.pdf> needs to be included in the Slum Free City Plan of Action.
  - 2.3 Prioritization of Tenable Slums through Priority Matrix at the constituency level
  - 2.3.1 Assessing Housing and Infrastructure Deficiency and Degree of Vulnerability in all Tenable Slums
  - 2.3.2 Prioritising Tenable Slums
  - 2.4 Prioritization of Untenable and (Semi tenable) Slums
  - 3.1 Detailed Analysis of all Prioritised Slums (tenable slums)
  - 3.1.1 Parameters for analysis of Tenable Slums

The analysis and report writing has been done and final report has been submitted to DUSIB.

### 3.0 Seminars/Workshops/Training Programmes/Events

NIUA is actively contributing towards upgrading skills of urban managers, and has organized series of workshops, training programmes and seminars on themes of national importance, bringing together concerned stakeholders for focused discussions.

- Organized and conducted World Environment Day for the awareness of the theme of the year 'Raise Your Voice, Not the Sea-level', with video on human impact on environment, impact of climate change on small islands along with literary competition on climate change impacts, at NIUA on June 5, 2014.
- Presentation of the case story for PEARL in a Roundtable 8 titled 'The Art of Knowledge Sharing' at the Second High-Level Meeting on Country-Led Knowledge Hubs (HLM2) held by the World Bank at Seoul, South Korea, June 23-26, 2014.
- The inaugural session in the NIUA Dialogue Series was titled "Happy Streets - Reclaiming/Realigning/Reengineering/ Transforming Streets for Communities" where Mr. Amit Bhatt from Embarq and Anuj Malhotra from the Centre for Green Growth were the two speakers, August 25, 2014.
- The second NIUA Dialogue Series was titled "Making Bangalore Smart" with, Dr. Ashwin Mahesh, co-founder of Mapunity Bangalore as speaker was held in September 29, 2014.
- Organised the 11th Metropolis World Congress on "Cities for All" at Hyderabad, October 6-10, 2014.
- The third NIUA Dialogue Series was on 'Learning Cities' by Tim Campbell, Urban Age Institute organized by NIUA, New Delhi, October 13, 2014.

- The fourth NIUA Dialogue Series was on Cities and Heritage Conservation by Ratish Nanda, Aga Khan Trust for Culture. The session was titled “Conserving our Identities”, October 27, 2014.
- Urban Age Conference on Governing Urban Futures, organized by LSE Cities at the London School of Economics and Political Science and Deutsche Bank’s Alfred Herrhausen Society and NIUA, New Delhi, November 14-15, 2014.
- Organized and Conducted the National Conference on Climate Change Adaptation and Resilience on behalf of IDC Foundation, New Delhi at India International Centre, New Delhi. The conference was supported by Ministries of Earth Sciences, Science and Technology, ICMR, Northumbria University, UK, TARU Leading Edge Pvt. Ltd. and several others, November 19-20, 2014.
- The fourth NIUA Dialogue Series was on “Open Data for Effective Planning” as a talk by Dr. Neeta Verma, Deputy Director General, National Informatics Centre, November 24, 2014.
- National workshop on “Sharing of Urban Good Practices” and release of 9 volumes of Documentation of Good Practices, organised by NIUA, under PEARL, Cities Alliance, at India Habitat Centre, January 20, 2015.
- Launch of HRIDAY Scheme for Rejuvenation of Heritage Cities at Vigyan Bhawan, organised by NIUA, January 21, 2015.
- National Workshop on “Urban Green Growth Strategies for Indian Cities”, to release final report of GGGI project for 10 cities, organized by ICLEI-SA, GGGI and NIUA, at IHC, New Delhi, January 27, 2015.
- Inaugural dialogue on ‘National Commission on Urbanisation: Findings and relevance in shaping the New Urban Agenda’ by Dr. M. N. Buch, IAS (Retd.), at Gulmohar Hall, India Habitat Centre (IHC), New Delhi, January 28, 2015.
- The “Consultation Workshop with States and Stakeholders on Smart Cities” organized by MOUD and NIUA at Vigyan Bhawan, New Delhi, January 30-31, 2015.
- Jointly organizing a day-long workshop “Urban Dialogue on Energy Smart India” NIUA with All India Institute of Local Self Government (AIIILSG) and Cities Network Campaign (CNC), February 3, 2015.
- Workshop on SHRAMIC Project supported by Dorabji Trust, IHC, February 13, 2015.
- 2<sup>nd</sup> dialogue on ‘Rural-Urban Nexus: The India Story’ by Prof. Dipankar Gupta, at IHC, New Delhi on February 23, 2015.
- 3<sup>rd</sup> dialogue on ‘A new city? Media, information and the urban future’ by Prof. Ravi Sundaram, Centre for the Study of Developing Societies, Delhi, at IHC, New Delhi, March 20, 2015

## **4.0 Technical Support**

### **4.1 Supports to MOUD**

NIUA has been assisting and advising the Ministry in areas of urban governance and reform by preparing concept notes, reviewing and providing comments on research proposals, reports etc. Some of them are listed below:



- Participated in Round Table Conference on “Building with Nature”, Perspective of Netherlands at MOUD, April 3, 2014.
- Provided inputs in the Committee for selection of Site for New Capital of Andhra Pradesh, April 9, 2014.
- Prepared a note on "Overview of Activities under Technical Advisory Group for Submission on Urban Infrastructure and Governance of the JNNURM" April 16, 2014.
- Provided inputs in preparation of Innovative Sanitation Business Plans for National Centre under South Asia Urban Knowledge Hub with ADB assistance, at MOUD, April 25, 2014.
- Prepared comments on Task Force on Rental Housing, April 26, 2014.
- Provided specific inputs on 'Integration Segment of Economic and Social Council: Sustainable Urbanisation', to be held during May 27-29, 2014, submitted on April 27, 2014.
- Provided clarification on consideration for sanction of new projects under UIDSSMT for the State of Arunachal Pradesh, May 1, 2014.
- Participated in meeting under the Chairmanship of Secretary (UD) to discuss the Ministry's response in the matter of Constitution of Core Group for Review of 'National Forest Policy', 1988 at MOUD, May 15, 2014.
- Provided inputs in discussion with JS (UD) on the Draft RFP document for empanelling COE, May 21, 2014.
- Provided inputs on Correlation run with PCNSDP (average of 3 years): May 21, 2014.
- Provided inputs on 'Per Capita Total Expenditure for allocation of Rural and Urban Ministries ' May 21, 2014.
- Provided inputs on GSDP of countries, May 22, 2014.
- Provided inputs on 14 out of the 52 metropolitan UAs/cities are state/ UT capitals, May 23, 2014.
- Provided inputs in 8th meeting of the National Natural Resource Management Systems (NNRM) Standing Committee on 'Urban Development', under the Chairmanship of Secretary (UD), May 27, 2014.
- Provided information to MOUD on population of state capitals/UTs, May 30, 2014.
- Organized and conducted World Environment Day for the awareness of the theme of the year 'Raise Your Voice, Not the Sea-level', with video on human impact on environment, impact of climate change on small islands along with literary competition on climate change impacts, at NIUA on June 5, 2014.
- Presentation at MOUD on 'Smart Cities in India: Moving Concept to Reality', June 6, 2014.
- Provided comments on 'Mid-Term Appraisal- Urbanization in India', June 8, 2014.
- Provided inputs on all India average PCSDP and method of computation, June 20, 2014.
- Represented NIUA for a meeting chaired by Secretary UD regarding CBUD activities of MOUD.
- Provided inputs in discussion on the 'Development of Smart Cities' with Secretary (UD), MOUD, July 30, 2014.
- Provided inputs in meeting with JS (W), MOUD for Preparation of Draft Guidelines for 'Development of Heritage Cities', August 5, 2014.
- Provided inputs in meeting with Secretary (UD), MOUD for Establishment of 'Urban Institute of India' at Maidan Garhi, August 6, 2014.

- Participated in presentation of 'Smart City Project' by Mr. Barjor C. Mehta, Urban Specialist, World Bank, before Secretary UD, MOUD, August 6, 2014.
- Provided inputs in meeting with Addl. Secretary, MOUD to discuss on the need and justification for 'Urban Institute of India' (UII), August 7, 2014.
- Prepared a presentation for the Secretary on 'An Overview of Urban Sector in India', August 8, 2014.
- Participated in presentation on 'Smart City Project' by Keshav Varma, Urban Development Expert before Secretary UD, MOUD, August 9, 2014.
- Provided inputs in meeting with JS (UD) to finalize the TOR for setting up of 'Centre of Excellence', August 12, 2014.
- Provided inputs in meeting to discuss Draft Guidelines for 'Development of Heritage Cities', MOUD, August 14, 2014.
- Provided inputs in meeting with JS (UD), Cities Alliance Head and India Representative, August 20, 2014.
- Provided inputs in meeting regarding visit of Japanese Delegation of MOUD to meet Secretary (UD) August 22, 2014.
- Participated in discussion on 'Smart City Project' under the Chairmanship of Secy. UD, MOUD, August 23, 2014.
- Participated in meeting to discuss the proposal for Establishment of 'National Urban Resource Network' (NURN) with JS (UD), MOUD, August 27, 2014.
- Comments on Status Report: ICRIER'S Programme on Research on Urbanisation in India (Jan-Dec 2013) September 4, 2014.
- Presentation on final report submitted by GHK Dev. Const. (P) on 'Development of Model Compendium of Municipal Cadre' under CBUD Project, under the chairmanship of Secretary UD, MOUD, September 11, 2014.
- Prepared 'List of Statutory Towns' for 2011 Census, for MOUD, September 23, 2014.
- Prepared speeches for the President of India, Minister and the Secretary of India on 'Challenges in Urbanization at the Global Level', October 04, 2014.
- Talking points for the Minister in connection with the release of the HUDCO Chair report on the occasion of the World Habitat Day, October 08, 2014.
- Provided inputs in Allocation of Architect Act meeting, MOUD, October 27, 2014.
- Participated in Visit of Technology Mission from UN Habitat, Nairobi to MOUD, October 28, 2014.
- Talking points regarding existing collaborations for the Indo-Japanese Conference, October 29, 2014.
- Prepared a presentation for the Addl. Secretary on "Indo-Japanese Collaboration on Urban Development", October 29, 2014.
- Talking points on Progressive Cities and Working for the Secretary, UD in connection with the Municipalika conference November 11, 2014.
- Key Note Address on Energy Saving and Urbanisation to be delivered by Director, MOUD, November 18, 2014.
- Keynote Address for Minister, Urban Development, GoI "Small Children, Big Cities Conference" November 20, 2014.
- Comments on the Model Tenancy Act, 2014, November 22, 2014.
- Participated in meeting of Research Advisory Committee under the chairmanship of JS (UD), MOUD, November 25, 2014.

- Closing Remarks for the Additional Secretary, MOUD on "Small Children, Big Cities Conference" November 26, 2014.
- Reviewed the draft speech regarding "Empowerment of Women through LSG" received from the Lok Sabha Secretariat. December 19, 2014.
- Assisted MOUD in various activities related to 13th Finance Commission especially monitoring of compliance verification mechanisms related to nine conditionalities of general performance grant.
- Prepared comments for MOUD on 'Draft Model Tenancy Act'. A meeting was also attended on the subject at MOUD.
- Prepared detailed comments for MOUD on newly drafted 'Daman and Diu Municipalities (Amendment) Regulations, 1994'.
- Prepared detailed comments for MOUD on Draft Goods and Services Tax Bill regarding the impact of GST on Urban Local Bodies.
- Attended a seminar at Gujarat, Gandhinagar on 'Vibrant Gujarat- Urban Design and Planning: Looking at Smarter Options'.
- Provided inputs in the meeting with Addl. Secretary, MOUD, along with delegates who came for workshop on Building Child Friendly Smart Cities in India, February 27, 2015.
- Provided inputs in the K-Hub Meeting with Joint Secretary , MOUD, February 27, 2015.
- Review and Comments on Policy Discussion Note on Municipal Cadre under CBUD from MOUD;
- Assisted MOUD in various activities related to 13th Finance Commission especially monitoring of compliance verification mechanisms related to nine conditionalities of general performance grant.
- Prepared a draft memorandum for 14th Finance Commission and submitted to the MOUD. The memorandum covered the issues related to India's urbanization, the state of service delivery, the finances of municipalities, estimated resource gap and investment requirements, issues related to the conditionalities of 13 Finance Commission's performance grant, and the role of 14 Finance Commission.
- Prepared comments for MOUD on 'Draft Model Tenancy Act'. A meeting was also attended on the subject at MOUD.
- Prepared detailed comments for MOUD on newly drafted 'Daman and Diu Municipalities (Amendment) Regulations, 1994'.
- Prepared detailed comments for MOUD on Draft Goods and Services Tax Bill regarding the impact of GST on Urban Local Bodies.
- Attended a seminar at Gujarat, Gandhinagar on 'Vibrant Gujarat- Urban Design and Planning: Looking at Smarter Options'.
- Prepared detailed Comments for MOUD on 'Draft State Level Special Purpose Vehicle for Development of Smart Cities'.
- Prepared a PPT based on the recommendations of 14 Finance Commission compared to the recommendations of 13 Finance Commission.
- Compilation of Loksabha Debates on 'Municipal Finance'.
- Prepared answers to parliament question (Both Lok Sabha and Rajya Sabha).

## **5.0 Information Technology and Knowledge Management**

### **5.1 Journals**

#### **5.1.1 Environment and Urbanization ASIA Journal (E&U-Asia)**

The bi-annual, interdisciplinary journal, Environment and Urbanization ASIA aims to: (i) engage the civil society, non-governmental organizations researchers, academia, and policy-makers into thinking and writing about Asia's urban and environmental issues, and (ii) improve and strengthen the knowledge base on the different facets of environment and urbanization, with cases and ground-level realities. The journal focuses on Asia but encourages articles on inter-regional comparison of experiences. Environment and Urbanization ASIA is prepared by NIUA and published by Sage, New Delhi.

Made several rounds of correspondence with Sage regarding submission of the backlog issues. Sent requests to authors for paper submission, got the papers peer reviewed and submitted the manuscript of the issue 5.2, 6.1 and 6.2. The issue 5.2 is published.

#### **5.1.2 Urban India**

The bi-annual journal 'Urban India' disseminates research on issues related to urban development, management and governance including urbanization and urban policy, urban management, financing of urban infrastructure and services, urban poverty, urban environment, municipal finance, e-governance, and decentralization besides others which include detailed case studies, workshop proceedings and book reviews.

- a. Urban India, Vol.34, No. 1 (January –June 2014), a special issue on *Migration* was released.
- b. Urban India, Vol.34, No. 2 (July–December 2014), a special issue on *Capacity Building* was released.
- c. Urban India, Vol.35, No. 1 (January–June 2015), is under publication that covers reviewing of over 27 items including research papers, articles, book reviews, etc.
- d. The journal continues to receive several in-depth articles and research papers on diverse urban issues.

### **5.2 Newsletters**

#### **5.2.1 PEARL Update**

- PEARL Update (English Newsletter) for March 2014 is completed and disseminated.
- Translation of the latest version of PEARL Newsletter in four regional languages (Marathi, Bengali, Oriya and Gujarati) has been completed and is being processed for printing.

### 5.3 IT infrastructure

- *IT Enhancement Activities*

- The Institute is in the process of setting up a Project Management Unit (PMU). For this Hriday PMU, IT infrastructure is required to be setup. For which 18 numbers of laptop/desktop computers and peripherals has been procured. At the initial stage, we started a process for 8 numbers of laptop and 10 numbers of desktop computers and also for printing purposes, 2 numbers of laser jet printers.
- Process for procuring five laptops of high configuration having state-of-the-art graphic card for mobile computing, installation and configuration of all the IT equipment (active and passive) meant of CIDCO Chair has been completed and have been made as a part of NIUA's Local Area Network (NIUA LAN).
- The licenses of Fortinet Fortiguard FIREWALL, a Unified Threat Management (UTM) have renewed for a period of two years. The access policies have been designed and implemented as per the user's needs.
- Monitoring protection of NIUA's Network from external threats and viruses by of renewal of Unified Threat Management (UTM) and internet access policies.
- Fortinet Fortiguard FIREWALL has been reconfigured as per the new Internet Service Providers's connectivity.
- Process of acquiring better Internet solution with better internet speed of 5mbps has been completed and is in place.
- To protect the NIUA's LAN from internet threats, additional Ten Nos. of Kaspersky Antivirous, anti spam licenses have been procured. The process of acquiring Trust Port Security Element for protection to protect the NIUA's LAN from internal and external threats from viruses, Trojans and spam and internal threats has been completed
- Provision for taking all the IT equipment meant of Web Developers on NIUA's LAN has been made by laying network cables.
- Procured 2 Nos. of gigabit switches to enable a independent network for WEB Developers.
- Procured 1 Nos. of Access Point for wifi Network and internet connectivity
- Procured one Wacom Tablet and Adobe CS6 Software to enable the Web Developers for designing and revamping of the NIUA's Web Site [www.niua.org](http://www.niua.org).
- Process for redesigning and redeveloping of NIUA's website [www.niua.org](http://www.niua.org) has been completed on VPS server and it includes various online applications.
- Website development completed for sub-domains [pearl.niua.org](http://pearl.niua.org) and [khub.niua.org](http://khub.niua.org) and [cidco-smartcity.niua.org](http://cidco-smartcity.niua.org) and [cities4kids.niua.org](http://cities4kids.niua.org) are in progress

- In-house HRIDAY website [www.hridayindia.in](http://www.hridayindia.in) has been designed, developed and published.
- Process for redesigning and redeveloping of India Urban Portal is in progress.
- Process for renewal of PEARL portal [www.indiaurbanportal.in](http://www.indiaurbanportal.in) for its maintenance has been initiated. The portal has been hosted to the developers data centre for better management.
- Process of acquiring better mailing solution with better features and flexibilities has been procured, email-ids created, existing mails have been restored and the complete process has been completed.
- “Procurement of video conference equipments” to facilitate the Video Conferencing in the Institute has been completed. The Installation of Video Conference Unit and Demo has been successfully completed.
- Process to dispose off the store items including unserviceable and obsolete computers, printers, scanners etc. has been completed.
- Process for implementing Biometric Attendance System (BAS) for NIUA employees has been successfully completed after entering all required information on the [attendance.gov.in](http://attendance.gov.in) website.
- Abstract Report for the all quarters from April 14 – March 15 submitted on RTI section of NIUA and CIC website.

- ***Urban Information Resource Centre***

***NIUA website*** <http://www.niua.org> - The website is managed in-house and is being updated regularly. The process of making the website as bi-lingual is in process.

NIUA’s Intranet is being managed in-house and is being updated regularly. The process of making the Intranet as bi-lingual is in progress.

The Entire IT infrastructure has been monitored for troubleshooting, including Servers, Hardware/Software, network and internet security, firewall, Antivirus server, passive and active components.

#### **5.4 Library**

The Institute has a specialized library on urban development and urban related subjects. During the period April 2014 to March 2015 two hundred and eight books, reports, and Census publications were added in the Accession Register. These books were classified, catalogued and entered in Computer.

Various documents were borrowed and consulted by the staff of the Institute during the year. Services were also extended to scholars who visited the Institute library from abroad and



other parts of the country during the year. "Stock Verification" of the library was conducted for the year.

Disseminated news items/articles related to urban issues published in the News papers and magazines through e-mail on daily basis and provided "Contents Alert" and "Acquisition Alert" services to the research staff of the Institute time to time.

#### ***Urban News and Clippings:***

NIUA brings out a monthly publication that presents an overall picture of urbanization and related topics, based on a reproduction of clippings from 25 dailies and magazines received by the library. 12 Issues of Urban News were brought out during the year.

### **5.5 Hindi Activities**

The Institute has been following the Official Language Policy effectively.

The Institute has been following the Official Language Policy. Under this policy, the Institute organised Official Language Committee meeting to review Hindi activities under the Chairmanship of Professor Jagan A. Shah, Director, NIUA / Mr. S. B. Jain, Advisor (Legal & Finance) NIUA.

- In the 1<sup>st</sup> meeting, the representative of Government of India, Mr. Suresh Chand Chaturvedi, Assistant Director (Official Language), Ministry of Urban Development had been invited to review the hindi activities, September 10, 2014.
- In the 2<sup>nd</sup> and 3<sup>rd</sup> meetings, the representative of Government of India, Director/ Assistant Director (Official Language), Ministry of Urban Development had been invited to review the Hindi activities, but he could not attend the meeting on December 26, 2014 and March 31, 2015.

Hindi workshops are organised to motivate employees of the Institute to work in Hindi. All employees of the Institute participate in the workshops. These are:

- A workshop on "Use of Unicode on Computer" was organised to discuss on the issue. Mr. Kewal Krishan, Director (Technical), Rajbhasha Vibhag was invited to organise a training workshop on the subject. Employees of the Institute participated in this meeting and expressed their views and gave suggestions on above subject, April 4, 2014.
- The subject of this workshop was "Hindi Patrachar Mai Vridhi Karne Ki Disha Mai Sarthak Prayas ". The purpose of this workshop was to motivate employees of the Institute to work in Hindi. Mr. Dwivedi had been invited to give a lecture on the above said issue, September 18, 2014.
- The subject of this workshop was "Hindi typing on computer ". Mr. Kewal Krishan (Senior Technical Director, Department of Official Language) had been invited to deliver a lecture and demo of the Hindi software on the topic, December 31, 2014.

- The subject of this workshop was "Tippan, aalekhan and Manakvartani ". Mr. Prem Singh (Joint Director (Retd.), Ministry of Science and Technology had been invited to deliver a lecture on the subject. All employees of the Institute had participated in this workshop on March 31, 2015.

The Institute celebrated "Hindi Prayog Protsahan Mass" from September 1 to 30, 2014 under which various competitions were held and prizes were distributed to successful participants.

## **6.0 Professional Activities of Research Staff**

The Institute brings together a team of skilled and experienced faculty and research fraternity who continue contributing to the thinking process on urban management and development in India. Some key individual achievements by our senior faculty and researchers include:

### **Jagan A. Shah**

#### Member of Board/ Committees

- Member in the Committee for selection of Site for New Capital of Andhra Pradesh, April 9, 2014.
- Member of Selection Post for recruitment of Asstt. Professor for NIFT Campus at Hauz Khas, N. Delhi, July 17, 2014.
- An eminent Member of the Jury from eGov. - To review the nominations received and select the winners at Eros Hotel, New Delhi, August 8, 2014.
- Participated in the 1<sup>st</sup> Advisory Committee Meeting at CIDCO Mumbai - Advisory Committee Member (Chairman) "Overview of the Goals and Objectives of the CIDCO Smart City Chair Project", March 4, 2015.
- Member of Committee on Building Greenfield Smart Cities and Urban Rejuvenation of Indian Cities, December 10, 2014.

#### Seminar/workshops

- Participated in Round Table Conference "Building with Nature" , Perspective of Netherlands at MOUD, April 3, 2014
- Participated in National Workshop on draft Urban & Regional Dev. Plans Formulation & Implementation (U&RDPI) Guidelines at IIPA, April 4, 2014
- Participated in One day National Workshop on NUIS-BHUVAN at NRSC, Hyderabad, April 5, 2014
- Presentation in Workshop, session on the topic 'Urban Planning' for the module on "Urban Development an Transport' at LBSNAA, Mussoorie, April 7, 2014
- Participated in International Workshop on New Mobility Grid: The Next Stage in Evolution of Transit-Oriented Development, organized by NIUA, at NIUA. April 11, 2014.
- Participated in Conference on Municipal Finance, at IHC, organized by ICRIER, April 23, 2014.



- Presentation before Secretary (UD) on Joint Proposal of NIUA & UN-Habitat under NMSH, on “Indo-European Cooperation on Clean Technologies & Energy Efficiency for Eco-Cities”, April 30, 2014
- Participation in Workshop at the University of Moratuwa, Colombo, Sri Lanka under South Asia in the Asia Urban Knowledge Hub Programme, May 5-7, 2014
- Panelist in discussion on – Session: ‘e-Learning Future, in India’, at Shangri-La Hotel, New Delhi, June 5, 2014.
- Presentation at MOUD on ‘Smart Cities in India: Moving Concept to Reality’, June 6, 2014.
- Addressing at the inaugural session and Plenary Session I of the Conference on Smart and Livable Cities, 5th Edition of Infra Conclave Rajasthan, organized by CIII, June 20, 2014
- Seoul , June 23-26, 2014
- Key Speaker at Solar Summit at IIC (Annexe) for sharing vision and experience as a leader in the very dynamic and vibrant Indian Solar Energy industry, IIC, July 15, 2014,
- Key Speaker in the ‘3rd City’ event in New Delhi organised by Elets Technomedia at Hotel Lalit, New Delhi, July 18, 2014.
- Key Speaker at a seminar on ‘Smart Cities - The Smart Way Forward’ at the Alliance Francaise, July 31, 2014.
- Participated at the presentation of Smart City Project by Mr. Barjor C. Mehta, Urban Spl. World Bank before Secretary UD, MOUD, August 6, 2014.
- Speaker in the session on 'Priorities for Children in Slums: Union Govt. interventions', with CBGA, August 28, 2014.
- Participated at the Roundtable on the book “Urbanizing Trends and Criticality of Land in India Cities”, GIZ-IGEP, September 9, 2014.
- Attended the NAREDCO 12th National Convention on "Housing for All - 2022" & ‘Real Estate Awards – 2014’, at The Ashok Hotel, New Delhi, September 12, 2014.
- Panelist at the discussion, ET Now Studio, NOIDA, September 13, 2014.
- Key Note Lectures at ‘National Summit on Urban Planning and Smart Cities’ organized by Acropolis Institute of Technology and Research, Indore, September 19, 2014.
- Indore, September 24, 2014.
- Participated at the presentation by McKinsey & Co. on Smart Cities before Secretary UD, MOUD, September 29, 2014.
- Organised and participated 11th Metropolis World Congress on "Cities for All" at Hyderabad, October 6-10, 2014.
- Made a presentation on ‘Heritage based Economic development in the Context of Delhi’, at New Delhi, October 27, 2014.
- NIUA organised "Urban Age: Governing Urban Futures Conference in collaboration with Alfred Herrhausen Society and LSE Cities in New Delhi, November 14, 2014.
- Moderated the high-level roundtable on "Smart Cities, Resilient Cities, IIC, New Delhi, December 9, 2014.

- Provided inputs in the Global Green Summit at Pragati Maidan, New Delhi, December 19, 2014.
- Speaker at "The Way Forward", at IRADe, December 30, 2014.
- Participated in the Ford Foundation Workshop on Convening on Urban Governance, Policies about 'Smart Cities and Their Implications for the Future, Session: Urban Development and the Indian States, New Delhi, January 10, 2015.
- Chaired session in Water Week, Pragati Maidan, New Delhi, January 16, 2015.
- Participated in the Workshop on 'Sharing Urban Good Practices' and release of 9 volumes of Documentation of Good Practices organised by NIUA, at IHC, January 20, 2015.
- Participated in the Launch of HRIDAY Scheme for Rejuvenation of Heritage Cities at Vigyan Bhawan, organised by NIUA, January 21, 2015.
- Participated in the Workshop to release final report of GGGI project on Green Growth Strategies for 10 cities, IHC, New Delhi, January 27, 2015.
- Participated in the Smart City Conference at Vigyan Bhawan, organised by NIUA, January 30-31, 2015.
- Speaker in session on "Environmentally Sustainable and Smart Cities" organized by Delhi Sustainable Development Conference (DSDC) at Taj Palace, New Delhi, February 4, 2015.
- Delivered Keynote address in Lecture Series on "Sustainable Urban Governance", organised by IC Centre for Governance, at IIC, February 5, 2015.
- Presentation on Smart Cities, in Indo-French Working Group meeting, Taj Vivanta, New Delhi, February 6, 2015.
- Participated in the Workshop "Resilience Garage" organised by Rocker feller Foundation, Claridges Hotel, New Delhi, February 7-8, 2015
- Participated in Workshop on SHRAMIC Project supported by Dorabji Trust, IHC, February 13, 2015.
- Key note Address in 'India M2M and IOT Forum', Grand Plaza Hotel, New Delhi, February 19, 2014.
- Participated in the Smart Cities Brainstorm at NIUA, February 24, 2015.
- Attended event organised by Ecorys India to celebrate the success of the "Women Entrepreneurship support Training (WEST)"
- Participated in the Capacity Building and Training for Regional Institutions on Urban Climate Change Resilience at NIUA, February 26-27, 2015.
- Participated in the Planners Workshop at NIUA organised by Cities for Children Project team "Consultation Workshop on Building Child Friendly Smart Cities in India" at NIUA, February 27, 2015.
- Panelist - Panel discussion: One Globe Panel on "Smart Cities + Smart Villages = Smart India", at Imperial Hotel, New Delhi, March 5, 2015
- Attended - Workshop on "Urban Experience: Bilbao & Delhi", hosted by C-PACT, at IIC, March 10, 2015

- Chaired the plenary session on "Innovating Urban Futures at GRIHA Summit 2015, organised by TERI, at IHC, New Delhi, March 12, 2015

Others

- Provided inputs into the review of CBUD Program, April 17, 2014
- Participated in Reception in honour Netherlands of King's Day, April 24, 2014
- Provided inputs on Preparation of Innovative Sanitation Business Plans for National Centre under South Asia Urban Knowledge Hub with ADB assistance, at MOUD, April 25, 2014.
- Attended and Participated in the 'General Body Meetings' of NIUA on May 9, 2014.
- Participated in Meeting under the Chairmanship of Secretary (UD) to discuss the Ministry's response in the matter of Constitution of Core Group for review of National Forest Policy, 1988 at MOUD, May 15, 2014.
- Meeting with JS (UD) to discuss the Draft RFP document for empanelling COE, May 21, 2014.
- Provided inputs in 8th meeting of the National Natural Resource Management Systems (NNRM) Standing Committee on Urban Development, under the Chairmanship of Secretary (UD), May 27, 2014.
- Provided inputs in 13<sup>th</sup> Meeting of the Advisory Group under the Chairmanship of the Hon'ble LG Delhi at Raj Niwas, New Delhi, May 30, 2014.
- Member of the Selection Committee for Selection of Deputy Director (Project Monitoring & MIS), NCRPB meeting, July 4, 2014.
- CIPS Steering Committee Meeting through Video Conference at NIC, CGO Complex, July 4, 2014.
- Participated at the meeting with JS (UD) to attend discussion that would centre around building of Smart Cities with delegation from Singapore, at MOUD, July 14, 2014.
- Participated to discuss the issue involved in the developing 100 smart cities under the Chairmanship of JS (UD) at NIUA, July 16, 2014.
- Provided inputs in the meeting to discuss the development of Smart Cities with Secretary (UD), MOUD, July 30, 2014.
- Attended and participated in the 'General Body Meetings' of NIUA on August 14 and September 29, 2014.
- Provided inputs in meeting of Advisory Committee for SLB Connect, World Bank, New Delhi, January 15, 2015.
- Provided inputs in the Review of activities under CIDCO Smart City Chair, by CMD CIDCO, Mumbai, February 11, 2015.
- Provided inputs in meeting at Bernar van Leer Foundation, The Hague, February 14 -17, 2015.
- Provided inputs in the meeting with Addl. Secretary (UD), MOUD along with delegates who came for workshop on Building Child Friendly Smart Cities in India, February 27, 2015.
- Provided inputs in the K-Hub Meeting with Joint Secretary (UD), MOUD, February 27, 2015.

- Interviewed by CNBC, March 13, 2015
- Had a discussion with a Group of students from SAIS, John Hopkins University, Washington D. C, who visited NIUA in March 19, 2015.
- Attended a Consultation organised by UCLG, Barcelona, March 23 – 25, 2015.
- Attended meeting of Research Advisory Committee to consider the research proposals – i) IIT, Kharagpur, ii) IUT proposals.

### **Usha P. Raghupathi**

#### Member of Committees

- Attended Board of Studies meeting to discuss and approve the elective courses for M.Tech Programme in Urban Development and Management, at TERI University on 13 May 2014.
- As a member of the Committee, attended CII's 'Committee on Urbanisation and Future Cities', at New Delhi on June 13, 2014.
- Was a member of the Search Committee set up by Tamil Nadu Institute of Urban Studies (Training and Research Centre), Govt. of Tamil Nadu, to select the Director for the Institute (based in Coimbatore). Attended the Search Committee meeting at Chennai on December 11, 2014.

#### Seminars/ Workshops

- Attended in a presentation made by experts from The Netherlands on 'Building with Nature', at MOUD on April 3, 2014.
- Chaired two sessions in the 11th METROPOLIS World Congress called 'Cities for All' held at Hyderabad from October 6 – 10, 2014. Sessions chaired were:
  - 'Housing for All - The Other Side' on October 6, 2014
  - 'Circles of Sustainability' on October 7, 2014
- Made a presentation on 'Urban Floods' in a conference titled 'Making India Climate Resilient: A National Conference on Climate Change Adaptation and Resilience, organised by IDC Foundation, in New Delhi on November 19-20, 2014.
- Made a presentation on 'Climate Change and Cities' to the members of All India Women's Conference (AIWC), at AIWC, New Delhi, on February 5, 2015.
- Attended 'Resilient Cities Asia-Pacific 2015 Congress' in Bangkok and made a brief presentation on the India ACCCRN knowledge network, February 10 – 14, 2015.
- Made a presentation – 'Good governance and institutional transformation for urban sanitation' in Solutions for Swatchh Bharat, India WASH Summit, at New Delhi, on February 16, 2015.
- Spoke at inaugural session of International Conference on Solid Waste Management for South Asia: SUNYA – Towards Zero Waste in South Asia, organized by ICLEI-South Asia, at New Delhi on March 9, 2015.
- Spoke on 'Climate Change and Urban Development' in the Final Workshop on Sustainable Urban Habitat Action Plan (SUHAP) Nashik, at Nashik, March 25, 2015.

### Training Programmes

- Attended 'Training of Trainers on Cities and Climate Change' from November 10-14, 2014 at Singapore. The ToT was jointly organized by Cities Development Initiative Asia (CDIA) and Singapore Environment Institute, Singapore.
- Attended a training of climate leaders organised by The Climate Reality Project, at New Delhi from February 22-24, 2015. The main lecture in the training was given by Mr. Al Gore, who is the main person behind the Climate Reality initiative.

### Papers published/prepared

- Usha P. Raghupathi, 'Promoting Renewable Energy for Sustainable Cities', Journal of Development Management and Communication, Vol. 1, No. 2, Apr-June 2014.
- Usha P. Raghupathi, 'Sustainable Water Management: Preserving the Precious Resource', Urban Update (AIILSG), July 2014.

### Others

- Had a telephonic discussion with a team of Rockefeller Foundation working on 'Food Insecurity' on 9th April 2014.
- Prepared a paper (in Aug '14) on 'Aligning Environmental and Local Urban Issues in India', jointly with Richa Sharma and Aastha Joshi, for a book titled "Cities and Climate Co-Benefits- Urban innovations and reforms in India", edited by Mahendra Sethi & Jose A. Puppim de Oliveira UNU Institute for the Advanced Study of Sustainability, Japan, being published by Sage (forthcoming).
- Prepared a paper (in Dec '15) on "Urban Climate Change Initiatives in Madhya Pradesh", jointly with Rohit Sharma, for State Knowledge Management Center on Climate Change (SKMCCC) in EPCO, Madhya Pradesh. The paper will be published by SKMCC (forthcoming).
- Attended a discussion and gave inputs on Service Level Benchmarks and the way ahead, at WSP, New Delhi on 23 December 2014.
- Attended a meeting at the Switzerland Embassy to discuss and give inputs to the selection of cities under the Swiss Agency for Development and Cooperation (SDC) project titled 'Capacity Building for Low Carbon and Climate Resilient City Development in India (CapaCITIES)', at New Delhi on March 5, 2015.
- Had discussion, along with NIUA staff, with a Belgian Delegation on 'Liveable Cities,' at NIUA on March 19, 2015.
- Met, along with NIUA staff, a group of students from Johns Hopkins University, at NIUA on March 19, 2015.

### **Shyamala Mani**

#### Member of Committees

- Chairperson of the Organizing Committee (OC) for this year's annual conference on 'Climate Change Adaptation and Resilience', IDC Foundation, April 21, 2014.

- Appointed Member of Expert Committee on Solid and Liquid Waste Management under MGNREGA by the Ministry of Rural Development. First meeting yet to be announced.
- As a member of the review committee of the source book on Solid and Liquid Waste Management for PRIs and small ULBs commissioned to CEE and supported by Ministry of Drinking Water and Sanitation, reviewed and submitted with completed corrections and illustrations to MDWS. Source book ready for printing.
- Member of Review committee for publication of Source book on Solid and Liquid Waste Management in Rural Areas for Swachh Bharat Abhiyan constituted by the Ministry of Drinking Water and Sanitation, Govt. of India, October 4, 2014
- Nominated as expert member by the Ministry of Drinking Water and Sanitation, Govt. of India in the working group for studying items relating to Solid and Liquid Waste Management taken up under MGNREGA , October 14, 2014.
- Organizing committee chairperson for National Conference on Climate Change Adaptation and Resilience organized by the IDC Foundation, New Delhi at IIC New Delhi on November 19-20, 2014.
- Member of CEE's review committee for reviewing senior faculty for promotion. Attended review committee and reviewed some of CEE's senior faculty at MoEFCC's Jal Block, March 4, 2015.

Seminars, Workshops and Meetings:

- Attended the Round Table Conference on 'Concept of Building with Nature' jointly organized by the Embassy of Netherlands and MOUD on April 3, 2014.
- Attended and prepared minutes and recommendations for seminar on "Climate Mitigations & Poverty alleviation through Advocacy and Awareness" organized by All India Women's Conference (AIWC) at the AIWC office, New Delhi on May 20, 2014.
- Attended the National Workshop on 'Phailin Cyclone 2013: Lessons Learnt' at National Institute of Disaster Management (NIDM) on May 30, 2014.
- Panelist at the Launch of the TERI Environmental Survey as an expert on 'Solid Waste Management' at TERI Conference Hall, June 3, 2014.
- Participated in a meeting on 'Rooftop Solar Power Plant' at India Habitat Centre on June 4, 2014.
- Organized and conducted World Environment Day for the awareness of the theme of the year 'Raise Your Voice, Not the Sea-level', with video on human impact on environment, impact of climate change on small islands along with literary competition on climate change impacts, at NIUA on June 5, 2014.
- Conducted a meeting on 'Women's Hygiene and Waste Management' with several NGOs along with women's organizations and a commercial sanitary products manufacturer from Japan, Unicharm, at Japan Foundation, Lajpat Nagar, New Delhi; A visit to NGO Goonj was also organized, June 6, 2014.
- Attended a workshop on "Sensitising Farmers about Climate Change" 2014, organised by IDC Foundation at Village Nagolla, Distt. Hapur, UP on June 8, 2014.



- Delivered talk at Manav Rachna University (MRU), Faridabad on 'Waste Management and Segregation' along with an NGO, ACT, Faridabad, for staff and housekeeping staff of MRU campus on June 13, 2014.
- As an expert on SLWM, conducted the session on Integrated Solid and Liquid Waste Management technologies in rural areas, organized by Nirmal Bharat Abhiyan, Ministry of Drinking Water and Sanitation (MDWS) and ADB, during the regional training workshops. The different training programmes were:
  - At Kovalam near Trivandrum for the states of Maharashtra, Kerala, Tamilnadu, Karnataka, Pondicherry, Goa and Andhra Pradesh on August 11-13, 2014.
  - At Guwahati for the states of Assam, Meghalaya, Manipur, Nagaland, Mizoram, Arunachal Pradesh, Sikkim, Tripura and Himachal Pradesh on August 26-28, 2014.
  - At Ranchi for the states of Uttarpradesh, Chathisgarh, Orissa, Uttaranchal, Jharkhand, West Bengal and Bihar on September 2-4, 2014.
- Made a presentation on 'Promoting decentralized and small scale composting of wet waste separated from household and commercial solid waste' at the seminar on "The Role of the Informal Sector in Sustainable Management of Organic and Sanitary Waste", co-organized by Tata Center for Technology and Design at MIT (Massachusetts Institute of Technology); SWaCH (Solid Waste Collection and Handling); KKPKP (Kagad Kach Patra Kashtakari Panchayat)' at YASHADA, Pune on August 19-20, 2014.
- Attended meeting on 'Inclusive Housing' at the IHC Director's office and submitted required information and suggestions for writing a paper on inclusive housing on July 15, August 7 and 28, 2014.
- Attended meeting on 'Smart Cities' along with Ms. Pragya from CIDCO Smart City Chair project and contributed to discussions therein at NIUA on August 8, 2014.
- Attended meeting with Mr. Sachin and Ms. Jo D'Silva of ARUP, UK along with UCCR team member Prof. Usha and Director and discussed various aspects of collaboration and integration of smart cities concept with Urban Climate Change Resilience and contributed to the discussions therein on September 9, 2014.
- Attended and participated in seminar on Child Friendly Cities, organized by CDKN at IHC on August 28, 2014.
- Met with Dr. Rupini from IGNOU for discussing how a postgraduate course in Environmental and Occupational Health for graduates in science can be coordinated through NIUA, August 28, 2014.
- Met Deputy DG of UNCHS with other staff members of NIUA and contributed to discussions therein on how NIUA does and can play a role in improving habitats especially in developing countries like India and South Asia at NIUA on August 29, 2014.
- Met with Mr. Padmanabhan and Ms. Abha Mishra of UNDP along with other members of UCCR project regarding synergizing between UNDP's and NIUA's Urban Resilience projects, ---, 2014.
- Selected and Participated in Executive Seminar on Cities and Climate Change (CCC) Training of Trainers (TOT) organized by Cities Development Initiative for Asia at Singapore from November 10-14, 2014.

- Organized and Conducted the National Conference on Climate Change Adaptation and Resilience on behalf of IDC Foundation, New Delhi at India International Centre, New Delhi. The conference was supported by Ministries of Earth Sciences, Science and Technology, ICMR, Northumbria University, UK, TARU Leading Edge Pvt. Ltd. and several others, November 19-20, 2014.
- Delivered talk on Women Entrepreneurship at a seminar organized by Manav Rachna International University at Haryana Pavilion at India International Trade Fair, Pragati Maidan on November 24, 2014.
- Participated in Child Friendly Cities conference organized by NIUA at Claridges, New Delhi on November 28-29, 2014.
- Attended Earth Reel movies made and presented by children organized by CEE Delhi at the National Science Centre, New Delhi, December 4, 2014.
- Presented, Chaired and Moderated session on Biomedical and Hazardous Waste management at the Integrated Solid Liquid and Biomedical and Hazardous waste management seminar organized by Prospur Events Pvt. Ltd. at Gulmohur Hall, India Habitat centre on December 4, 2014
- Attended coordination meetings with SAARC Disaster Management Network at NIDM to discuss Climate Change network components as part of UCCR's Knowledge Network on November 28, 2014 and Ministry of Science Technology on December 5, 2014.
- Presented paper on Operationalising Sanitation in cities under Swachh Bharat Abhiyan at the National Consultation on Renewed Sanitation Agenda organized by Institution of Public Health Engineers and Sulabh International at Scope Complex, New Delhi on February 13-14, 2015.
- Presented and participated on Current scenario on Solid waste Management in different parts of India at the International Conference on Solid Waste management in South Asia: Sunya – Towards Zero Waste in South Asia organized by ICLEI South Asia at The Park Hotel, New Delhi on March 9-10, 2015.
- Participated in Anil Agarwal Dialogue 2015 on Poor in Climate Change: How the co-benefit agenda of short-lived climate pollutants can work for or against people and the Planet. During the conference, I participated and contributed in the workshops on Indoor air pollution and Cookstoves, Transportation and in the plenaries, at IHC on March 11-12, 2015.
- Chaired the session on technologies as 'Distinguished Guest Speaker' and made a presentation on 'Sustainable Solid Waste Management - Current Practices and Future Direction' at Municipal Solid Waste Management, India 2015 organized by Prospur Events Pvt. Ltd. at India International Centre, New Delhi on March 12, 2015.
- Attended Griha Summit organized by TERI especially the session on 'Climate resilience in built environment' at Stein Auditorium, IHC on March 12, 2015.
- Attended and provided information at a session at National Green Tribunal on 'Ruling on Solid Waste Management and Waste to Energy' issues at NGT, Copernicus Marg, New Delhi on March 20, 2015



- Participated in discussions with Belgian delegation VLIR-UOS, seeking views and experience in past Belgian collaborations and to explore opportunities with India for collaboration around the theme of 'Liveable Cities' at NIUA on March 20, 2015.
- Participated in NIUA-IHC Urban Dialogue, "A new city? Media, information and the urban future" by Prof. Ravi Sundaram, Centre for the Study of Developing Societies, Delhi at IHC, New Delhi on March 20, 2015.
- Participated and contributed in 'Post award Governance of PPPs in Urban Infrastructure' organized by Athena group at IHC, New Delhi on March 23, 2015.
- Participated in the discussions on Spatial Planning and Smart City with the Dutch Government delegation: Mrs. Henriette Bersee, Deputy Director, Spatial Development and Ms. Rima Yadav, Senior Policy Advisor and others from The Netherlands at NIUA on March 24, 2015.
- Participated and contributed in the workshop on E-waste management organized by Toxics Link at India International Centre, New Delhi on March 25, 2015.
- Participated in the workshop organized by on Governance, Administrative Reforms, and Capacity Building' organized by ICRIER at IHC, New Delhi on March 25, 2015.
- Presented and participated at the Waste to Energy meeting organized by Toxics Link along with GIZ at IHC on March 30, 2015.

#### Others

- Wrote a blog article 'The Waste Conundrum' on issues and solutions on solid and liquid waste management including scientific, social and management aspects was published in Down To Earth.
- Wrote cover story on Integrated Waste Management "Waste isn't a waste" published in the magazine Urban Update, May 2014 of All India Local Self Government, New Delhi.
- Mentored the thesis on 'Planning Imperatives for e-waste management in Delhi' by a student of M. Plan from School of Planning on Architecture (SPA), Delhi. The student was awarded the M. Plan degree.
- Prepared points for developing the concept note for Providing Urban Services to Rural Areas (PURA) 2.0 project as requested by Gram Vikas Trust in several small ULBs on May 26, 2014.
- Reviewed the guidelines on the Mitigation of the Effects of Electro Magnetic Radiations in Built Spaces, attended meeting and gave comments during the meeting chaired by Secretary Urban Development on June 16, 2014. Minutes received subsequently.
- Reviewed the preparation of the document 'Best Practices in Solid Waste Management' under PEARL and along with other PRG members, reviewed and corrected the document and offered suggestions for finalization. Corrected report sent to Implementing agency on June 30, 2014.
- Reviewed paper for the journal PWMP published by University of Southern California, LA, USA. Paper published in September 2014 issue
- Replied to RTI on Light Pollution (FTS No. 688/SO{PIC}/2014 forwarded by MOUD. Reply sent to author of the RTI accepted on September 2014.

- Provided information to CSE correspondent Mr. Satwik Mudgal on various technologies on Municipal Solid Waste Management for article on special issue on waste by Down to Earth November issue. Article published in ---.
- As a consultant reviewed Source book on 'Solid and Liquid Waste Management' for PRIs and small ULBs commissioned to CEE and supported by Ministry of Drinking Water and Sanitation. Source book ready for printing has been vetted by Mashelkar committee for Swachh Bharat Mission on ---.
- Conducted a 12 lecture course on Climate Change and Urbanization for III semester M. Plan students of School of Planning and Architecture, Delhi and completed evaluation during October-December 2014 and handed over exam marks on December 15, 2014.
- Completed report of the National Conference on Climate Change Adaptation and Resilience and sent to IDC Foundation for vetting and finalization, December 15, 2014.
- Completed editing and review of Source Book on Solid and Liquid Waste Management in Rural Areas developed by Centre for Environment Education on behalf of Ministry of Drinking Water and Sanitation, Govt. of India and sent to Ministry for finalization, December 16, 2014.
- Attended course on Climate Change organized by the Climate Reality Project founded by Mr. Al Gore, Former Vice President of USA and received the certificate of participation for "The Climate Reality Leadership Corps" at New Delhi, February 22-24, 2015.
- Met and discussed various issues including waste, waste water management, pollution etc. with a group of students from SAIS, Johns Hopkins at NIUA on March 19, 2015.
- Guided PhD student from IIFM, Bhopal on finalizing synopsis on Household Hazardous waste management and land pollution in Delhi, March 2015.

### **Debolina Kundu**

#### Member of Board/ Committees:

- Member of Advisory group for 'Save the children', March 2013.
- Appointed by Economic and Political Weekly as a referee for their publication.
- Appointed Mentor for Techfest, IIT Bombay, July 2014.
- Appointed as a Judge for World Habitat Day Essay Competition 2014 by National Housing Bank
- Member of the Advisory Committee for the CIDCO Smart City Chair at NIUA

#### Seminar/workshops

- Participated in an open panel on the 'Role of Small Towns', organised by CSH at IIC on April 18, 2014.
- Delivered lecture on 'Geography of Social Well being', CRSD, JNU, April 21, 2014.
- Participated in the workshop on SUSHI at Development Alternatives on April 24, 2014.
- Delivered the keynote address on Urban Challenges/Perspective Facing the Nation, at the Annual Function organised by World Vision, on My City Initiatives at Chennai, April 28, 2014.

- Participant - In training and kick-off meeting on "Influencing Change in Urban Policies and Practice through Knowledge and Collaboration" for the South Asia Urban Knowledge Hub ('K-Hub'), University of Moratuwa, Sri Lanka, May 4 - 6, 2014.
- Commented on a paper 'A Study on Impact of Urbanisation on Monthly Per Capita Household Consumer Expenditure in India' - By T. K. Saha, and D. Chakraborty, Sarvekshana' journal being published by National Sample Survey Office (NSSO) under Ministry of Statistics and Programme Implementation, May 19, 2014.
- Interview in Mint, May 29, 2014.
- Paper submitted to IEG "Urbanization in India: Challenges of Infrastructure Financing and Governance", IEG, June 11, 2014.
- Made a presentation on "Urbanization and Migration in India", for the International Summer School, 2014, at Jamia Millia Islamia on June 25, 2014.
- Made a presentation on "Assessment of Social Housing in India" organised by TERI and the United Nations Environment Programme, the Sustainable Social Housing Initiative (SUSHI) - Consultation Workshop, June 26, 2014.
- Panel in a discussion on Budget 2014-15 by the Mint (HT Media) on 'Rebooting India', telecast by CNBC, June 30, 2014.
- Made a presentation on NIUA's activities and JNNURM at NHSRC July 2, 2015.
- Made a presentation on 'State of Children in India' at the National Consultation on 'Improving Living Conditions of Children in Urban Slums' organized by CBGA at IHC, New Delhi, August 28, 2014.
- Panelist at the Conference on "Advanced Manufacturing, Logistics and Urban Development", IIC, New Delhi, September 3-4, 2014.
- Made a presentation on Land Issues in a roundtable at GIZ is scheduled on September 09, 2014.
- Attended a Workshop on Basic Statistics for Local Level Development in Urban areas, held on 23rd January 2015, at NASC Complex, Pusa, New Delhi
- Made a presentation on Sustainable Settlements: Issues of Shelter, Food Security Health and Employment' Towards Cleaner and Greener Societies', under the theme 'Sustainable Settlement Systems' on March 17th, at the TERI university campus, Vasant Kunj
- Chaired a session on Chair Urban Session and gave comments on India's Urban Future, Department of Geography, Delhi School of Economics, University of Delhi under UGC SAP DRS-III seminar on March 21, 2015 in the Department of Geography, Delhi School of Economics, University of Delhi, Delhi-110007.
- Participated in the National Conference on Governance of Public Private Partnerships in Urban Infrastructure" on 23rd March, 2015 at the Casuarina Hall, India Habitat Centre, New Delhi.

Publications:

- "Data Discrepancies", Infochange News & Features, Issue number 28, 2014.
- "Urbanisation Trends of Indian Metropolis: A case of Delhi with Specific Reference to the Urban Poor, Urban Development Challenges, Risks and Resilience in Asian Cities", ed. R.B. Singh, Springer Publication, 2014, (22 pages).

- Policies to Safeguard Migrants 'Rights: A Critical Approach, Urban India, Volume 34 Issues1, January-June 2014.
- “Determinants of Urbanisation: A State Level Analysis”, The Management Accountant, Vol. 49, No 10, The Institute of Cost Accountants of India, October 2014, (6 pages).
- “Urban India-A Demographic Analysis”, Cities for All, XI Metropolis World Congress, Hyderabad, October 2014, (12 pages).
- “Urban Development Programmes in India: A Critique of JNNURM”, Social Change, Vol. 44 Number 4, Sage Publication, December 2014, (20 pages).
- “Urbanisation in India: Challenges of Infrastructure Financing, Cities – The 21 Century India”, Ed Satpal Singh, Bookwell Publication, 2015, (22 pages).
- “Prospects of Title Registration System in India”, Ed Satpal Singh, Bookwell Publication, 2015.

Others:

- Submitted a Research Proposal on 'Sustainable Urbanisation' to the Planning Commission
- Submitted a proposal to Planning Commission on “Analysis of State-wise Sectoral Employment Trends in India with a focus on Non-Farm Sector”, April 25, 2014.
- Prepared and submitted Results Framework Document for NIUA, 2014-15.
- Coordinated research of interns on :
  1. State of Urbanisation in India with a focus on the development dynamics of Census towns, Statutory Towns and Out Growths. (To study the distribution and growth pattern of CTs, as part of UAs and outside UAs).
  2. Regional Distribution of infrastructural facilities in India.
  3. Impact of pollution on the economic productivity of cities: Correlation of various indicators pertaining to economic growth vis-a vis pollution indicators.
  4. District level analysis of 2001-11 Census data (Major states).
  5. Socio-economic analysis of Seemandhra.
  6. Land Acquisition in Navi-Mumbai and Noida.
- Prepared proposal and budget for Child Friendly Smart Cities Conference.
- Prepared proposal and budget for Child Friendly Smart Cities project.
- Brought out a journal for Metropolis.
- Assisted the London School of Economics and Political Science (LSE Cities) and Urban Age in preparing an anthology on the Urban Sector in Delhi Date
- Reviewed a Study on Impact of Urbanisation on Monthly Per Capita Household Consumer Expenditure in India, T. K. Saha, D. Chakraborty, Sarvekshana Date
- Prepared the concept notes on Housing for the Metropolis Conference October 3, 2014.
- Edited a monograph for the Metropolis Congress October 5, 2014.
- Contributed a paper on Urbanisation in the monograph for the Metropolis Congress October 5, 2014.
- Attended a meeting of Save the Children Advisory Committee on October 30, 2014.
- Paper on “Determinants of Urbanisation: A State Level Analysis”, in ‘The Management Accountant’, Vol 49, No 10, The Institute of Cost Accountants of India, October 2014.
- Paper on “Urban India-A Demographic Analysis”, Cities for All, XI Metropolis World Congress, Hyderabad, October 10, 2014.

- Reviewed an article on ‘An Evaluation of Financial Condition of Small and Medium Towns of West Bengal to finance their Basic Services Infrastructures: A case study of Four Municipalities’ by Arup Ratan Misra and Dr. Mahalaya Chatterjee for Journal of Infrastructure Development , November 17, 2014.
- Reviewed the concept notes on ‘Child Friendly Cities’, November 20, 2014.
- Paper on “Urban Development Programmes in India: A Critique of JNNURM”, Social Change, Vol 44 Number 4, Sage Publication, December 2014.
- Paper on “Policies to Safeguard Migrants’ Rights: A Critical Approach”, in Urban India, NIUA Journal, Vol. 34, Issue 1, January- June 2014.
- Paper on “Data Discrepancies”, in Info Change, Issue 28, Centre for Communication and Development Studies, 2014
- Paper on “Urbanisation Trends of Indian Metropolis: A case of Delhi with Specific Reference to the Urban Poor, Urban Development Challenges, Risks and Resilience in Asian Cities”, ed R.B. Singh, Springer Publication, 2014
- Submitted revised proposal on “Revisiting Urbanization in India” to MOUD 8/1/15
- Reviewed a paper on "Access to Basic Amenities by Urban Households in India: Deprivations, Disparities and Determinants" for Margin: The Journal of Applied Economic Research 17/1/15
- Reviewed a paper on Fragmented Citizenships in Gurgaon for EPW 24/1/15
- Reviewed a M.Phil thesis on Slum Resettlement Policies and the Short-Run Impact on Livelihoods for IGIDR Mumbai 29/1/15
- Attended the first meeting of the advisory committee organised at the Corporate Headquarters of CIDCO at Nariman Point, Mumbai on 4th March 2015.
- Responded to parliament questions

## **Debjani Ghosh**

### Member of Board/ Committees:

- External Jury for Thesis of Final Year Bachelor of Planning at School of Planning & Architecture (SPA) Bhopal, May 12-13, 2014.
- As Expert member attended the 14th Meeting of Dadra and Nagar Haveli Planning and Development Authority (DNHPDA) at Silvassa on January 30, 2015.

### Seminar/workshops

- Prepared the workshop agenda and participated as Team Leader from India at the first kick-off meeting of ADB funded South Asia Urban Knowledge Hub in Colombo, Sri Lanka, May 3-5, 2014.
- Participated in “Accelerating Learning for Development – Designing and Delivering e-Learning” workshop organised by World Bank Institute in New Delhi, June 5-6, 2014.
- Participated and presented "PEARL-Knowledge Hub Case Story" in Round Table 8 at HLM2 organised by WB group at Seoul, South Korea, June 22-26, 2014.



- Participated and made a presentation on PEARL at the Experts Visit cum Experience sharing Workshop for Ranchi Municipal Corporation in Ranchi, Jharkhand, July 1-2, 2014.
- Participated in "Workshop on Urban Adaption to Climate Change" in Beijing, China on September 5, 2014.
- Video Interview on Knowledge Hub Communities of Practice meeting at Seoul in June 2014 made online on main web page of CoP under Collaboration 4 Development, World Bank Group; October 8, 2014.
- Speaker at XIth Metropolis World Congress in Session on Metropolis Women International Network-"Challenges for the future networking: A common agenda for the achievement of women's rights. "; at Hyderabad, October 9, 2014.
- Participant in ADB National Workshop on "Decentralised Sanitation-Introducing Best Practices for Septage Management with focus on Hilly Areas; New Delhi, October 10, 2014.
- Study Visit for Hon'ble UDM and senior officials from MOUD and City level at Singapore on November 4 -7, 2014.
- Speaker in ADB -Tongji Urban Knowledge Sharing Workshop titled 'Sharing Urban Best Practices for Sustainable Development in Asia and the Pacific'; Session 2: 'Introduction to South Asia Urban K-Hub' Shanghai, November 13-14, 2014.
- Participated in NIUA-UNESCAP meeting titled" Regional Policy Dialogue on Sustainable Urbanisation in South Asia" on December 17-18, 2014.
- Presentation at workshop on 'Sharing of Urban Good Practices under PEARL-CA' at IHC, New Delhi on January 20, 2015.
- Organised the 'Launch Workshop of HRIDAY Mission' at Vigyan Bhawan, organised by MOUD on January 21, 2015.
- Organised the 12th Indo-French Joint Working Group on Urban Development, at Hotel Ambassador, New Delhi on February 06, 2015.
- Presentation at meeting of K-Hub team with JS-UD in MOUD to present progress and deliverables under work-plan on February 24, 2015.
- Presentation at 2nd Regional Meet of SA Urban K-Hub at Kathmandu, Nepal on March 12-14, 2015.
- Participated in the National Workshop on 'Governance, Administrative Reforms, and Capacity Building' organized by ICRIER at IHC, New Delhi on March 25, 2015.

#### Others

- Prepared Draft Guidelines for Mission Varanasi for MOUD August 2014.
- Presented the Urban Knowledge Hub Work Plan to the Joint Secretary (UD) and ADB officials at MOUD in August 22, 2014.
- Prepared note for Cabinet Secretary to the GoI, for his participation in the Advisory Council Meeting of ADB in Manila in the Capacity Building and Training session, September 29 and 30, 2014.
- Prepared content for Draft Capacity Building EFC for MOUD.
- Helped in coordinating HRIDAY Workshop at NIUA on December 20, 2014.



- Review and Comments on Policy Discussion Note on Municipal Cadre under CBUD from MOUD;
- Hosted the delegation from Government of Netherland with the Deputy Director, Spatial Development, Senior Policy Advisor, Government of Netherland and Director, Amsterdam City, at NIUA on March 24, 2015.
- Prepared reply to Parliament Questions (Rajya Sabha).

### **Sandeep Thakur**

#### Member of Board/ Committees:

- Member of a selection Committee in an Evaluation Process for 'Selection of Consultant' for Bhubaneshwar Master Plan of 1000 Acre Land.
- Member of 'Valuation Committee of NDMC'.
- Nominated as a Special Invitee on Committee on Accounting Standards for Local Bodies, at ICAI, New Delhi.

#### Seminar/workshops

- Attended a Consultative Workshop on Smart Cities, at Vigyan Bhawan, 30-31 January 2015.
- Attended a workshop on Governance, Administrative Reforms and Capacity Building at ICRIER on 25 March 2015.
- Supported Athena Infonomics, Bangalore for organizing a Workshop on launching of Report on 'Enhancing Post Award Governance of PPP in Urban Sector'.

#### Others

- Represented NIUA for a meeting chaired Secretary UD regarding CBUD activities of MOUD.
- Represented NIUA for a meeting at ICAI on Accounting Standards for Local Bodies (ASLB).
- Provided comments on the First and Second Draft Report of Subcommittee constituted under SEBI on Issuance and Listing of Municipal Bonds.
- Attended meeting at SEBI, Mumbai for finalization of 'Report of the Sub Committee of COBOSAC on Issuance and Listing of Municipal Bonds' on September 22, 2014.
- Provided information for RTI matters related to 13 Finance Commission's Performance related Grants and its Conditionalities especially for Service Level Bench Marks etc.
- Provided comments on the Draft Report of Subcommittee constituted under SEBI for Specifying Disclosure and Other Requirements of Municipal Bonds.
- Participated in finalization of process of 'Empanelment of Consultant for Smart City Mission'. Assisted MOUD on preparation of RFP cum RFQ.
- Prepared two Research Proposals and Memorandum of Understandings regarding-
  1. Capacity Building Cell at NIUA for Bhubaneshwar Municipal Corporation, and,
  2. Capacity Building Cell at Bhubaneshwar for Technical Support to Bhubaneshwar Municipal Corporation and Bhubaneshwar Development Authority.

- Prepared a revised/new Research Proposal for 'A Review of Model Municipal Law

### **Paramita Datta Dey**

#### Seminar/workshops

- Participant - Roundtable conference on "Building with Nature" organized by MOUD, Netherlands Embassy and CPWD, Nirman Bhawan, New Delhi, April 3, 2014.
- Participant - In training and kick-off meeting on "Influencing Change in Urban Policies and Practice through Knowledge and Collaboration" for the South Asia Urban Knowledge Hub ('K-Hub'), University of Moratuwa, Sri Lanka, May 4 - 6, 2014.
- Presentation – “Salient Aspects of Innovative Sanitation as part of the Urban K – Hub”, 3rd Asian Sanitation Dialogue: 11th Hour to MDGs, ADB, Manila on May 27-29, 2014.
- Participant - The workshop “Low Carbon Pathways for Growth in India” organised by ICRIER and the British High Commission, New Delhi, July 25, 2014.
- Participant - Consultation on “Improving Living Conditions of Young Children in Urban Slums in India”, New Delhi, August 28, 2014.
- Participated in the National Workshop on “Introducing Best Practices in Septage Management with a Focus on Hilly Regions” organized by ADB, New Delhi, October 10, 2014.
- Participated in the workshop on Learning Cities by Tim Campbell, Urban Age Institute organized by NIUA, New Delhi, October 13, 2014.
- Made presentation on the South Asia K-Hub at the Municipalika 2014 - 12th International Conference and Exhibition on “Emerging Trends in Sustainable Habitat and Integrated Cities”, Gandhinagar, Gujarat, November 13, 2014.
- Participated in the Urban Age Conference on Governing Urban Futures, organized by LSE Cities at the London School of Economics and Political Science and Deutsche Bank’s Alfred Herrhausen Society and NIUA, New Delhi, November 14-15, 2014.
- Participant - Seminar on sustainable city planning, organised by Swedish Architect Delegation visit to India and Embassy of Sweden, in New Delhi, November 18, 2014.
- Participated in the Training Programme on "Septage Management - Towards City Wide Sanitation" organized by the Centre for Science and Environment, New Delhi, November 24 – 26, 2014.
- Participated in the workshop on ‘Small Children, Big Cities – Building Smart Child-Friendly Cities For 21st Century India’, New Delhi, November 28-29, 2014.
- Made presentation on the South Asia K-Hub and Sanitation work in the Regional Policy Dialogue on ‘Sustainable Urbanization in South Asia’, New Delhi, December 17-18, 2014.
- Participated in the Meeting on SLB Program, organized by WSP, World Bank Office, New Delhi, December 23, 2014.
- Participated in the workshop on Low Carbon Pathways for Growth in India organized by ICRIER and DFID, New Delhi, January 13, 2015.

- Participated in 3rd International Fecal Sludge Management Conference (FSM3) organized by the World Smart Capital Initiative at Hanoi, Vietnam, January 18–22, 2015.
- Presentation on Best practices in Green Growth in Indian cities at the National Workshop on “Urban Green Growth Strategies for Indian Cities”, organized by ICLEI-SA, GGGI and NIUA, January 27, 2015.
- Participated in the National Debate on Smart Connected Cities organized by Security Watch India, New Delhi, January 29 - 30, 2015.
- Participated in the consultation workshop with States and stakeholders on Smart Cities organized by Ministry of Urban Development, Government of India, Vigyan Bhavan, New Delhi, January 30- 31, 2015.
- Participated in the Consultation on 'Post-2015 Development Agenda: Sustainable Development Goals (SDGs) and the Means of Implementation' organized by The Energy and Resources Institute (TERI) and Asia Europe Environment Forum (ASEF), New Delhi on February 3, 2015.
- Participated in the consultation workshop on building Child Friendly Smart Cities in India, organized by NIUA, New Delhi, February 27, 2015.
- Participated in the Second Regional Meeting of the South Asia Urban Knowledge Hub, organized by the K-Hub Kathmandu (Institute of Engineering, Tribhuvan University), Kathmandu, March 12-14, 2015.
- Participated in the 3rd dialogue on "A new city? Media, information and the urban future by Prof. Ravi Sundaram, Centre for the Study of Developing Societies, Delhi organized by NIUA, New Delhi, March 20, 2015.
- Participated in the India WSH Partner Convening, organized by the Bill and Melinda Gates Foundation (BMGF), New Delhi, March 24-26, 2015.
- Participated in the talk on Reinventing Dharavi, by The Urban Development Research Initiatives, NIUA, New Delhi, March 31, 2015.

### **Mahendra Sethi**

#### Seminar/workshops

- Presented paper in the 2014 Norwich Conference of Earth System Governance on Access & Allocation organized by University of East Anglia, Tyndal Centre for Climate Change Research at Norwich, U.K on July 1-3, 2014.
- Presented poster presentation in the International Forum for Sustainable Asia and the Pacific (ISAP) at Pacifico Yokohama, Japan, on July 23-24, 2014.
- Presented paper in the International Symposium on Green Growth and Global Environmental Change, UNU-IAS, Tokyo, Japan, July 25-26, 2014.
- Participated in Regional Policy Dialogue on ‘Sustainable Urbanization in South Asia’, organized by UNESCAP, NIUA and MOUD, December 17-18, 2014.
- Participated in a Conversation by Mr. Junichi Sono on ‘Urban Planning in Kitakyushu City, Japan: What India Can Learn’ at Casuarina Hall, IHC, New Delhi, March 2, 2015.

### Others

- Successful completion of 1 year United Nations University PhD Fellowship 2013-14 at Tokyo in 'Sustainable Urban Futures' Programme.
- Article: "India needs to be clever about smart cities" published in East Asia Forum, Canberra (<http://www.eastasiaforum.org/2014/10/02/india-needs-to-be-clever-about-smart-cities/>) in October 2014.
- Research paper, "Urban Contribution to GHG Emissions in India – Growing Evidence from Spatial Analysis of Thermal Power Plants" published in Journal of Cleaner Production, online. DOI: 10.1016/j.jclepro.2014.10.067 in November 2014.
- Editorial on Capacity Building in Urban India, Vol.34, No. 2 (July–December 2014).
- Prepared paper: Who took my Carbon? Mapping spatial inequities in carbon access and allocation, from global 'North-South' to local 'Urban-Rural': Formulation of a Conceptual Framework (under review).
- Co-author edited volume, Cities and Co-benefits - Urban Innovations & Reforms in India
- Prepared paper: From global 'North-South' to local 'Urban-Rural': A Shifting Paradigm in Climate Governance? (Under review).
- Article, 'Smart Cities: Pathways for Legitimate Planning and Governance', published in Journal of Development Management and Communication (Vol. 2, No. 1), January-March, 2015. ISSN 2348-7739
- Article: 'Need for Smart Cities' in an interview with Built Constructions, February 2015.

**Ram K. Dahiya** (*Retired in December 2014*)

### Member of Board

- Member of Selection Board, HSMI for selecting Urban Planners and IT professional for the MoHUPA.
- Public Information Officer (PIO) at the Institute
- Nodal Officer at NIUA for Central Procurement Portal - We are in the process of use the e-procurement portal for e-procurement and e-publishing.
- Nodal Officer for ACC vacancy Monitoring System (AVMS)

### Seminar/workshops

- Attended an On line event on: how VMware is transforming desktops and applications.
- Attended a webinar on how Citrix XenApp 7.5 can transform your business and mobilise your workforce. There was a newest release of Citrix XenApp
- VMware Virtual Cloud 2014 – the online virtualization, end-user and cloud computing event was attended
- Participated an virtual event that addressed at Cloud Horizons 2014
- Attended a 'Virtual Event' on "Enterprise Mobility" on July 3, 2014.
- Cisco Webinar- Discover Application centric infrastructure from the inside on July15, 2014.
- Webinar: Performance Management as a Service from IBM (SaaS) on July 23, 2014.
- SAP Forum for Public Services in New Delhi on August 13, 2014.

- Webinar to Accelerate DevOps with OpenShift Enterprise by Red Hat: 21 October 2014
- Webinar: What's New in XenApp & XenDesktop 7.6 by Citrix: October 17, 2014.
- Webinar on IBM Resiliency Services on November 5, 2014.
- Webinar on SoftLayer and see how cloud can be key enabler in achieving increased productivity: November 14, 2014.
- Webinar to know more on Scalable & Robust Cloud Infrastructure on December 12, 2014.
- Webinar: Mobilise workforce with Google and Citrix, December 9, 2014.

**Swaraj B. Jain** *(IT in-charge since January 2015)*

- Selection of one IT support for the MOUD
- Nodal Officer at NIUA for Central Procurement Portal - We are in the process of use the e-procurement portal for e-procurement and e-publishing.
- Nodal Officer at NIUA for Biometric Attendance System is in progress.
- RFP/RFQ/Corrigendum of HRIDAY project hosted on CPPP website during the quarter.

## STAFF POSITION

(As on March 31, 2015)

Sl. No.	Name of the Post	No. of Staff Members
<b>RESEARCH FACULTY</b>		
1	Director	1
2	Professor	2
3	Associate Professor	1
4	Senior Research Officer	3
5	Editor	1
6	Research Officer	2
<b>OFFICERS</b>		
7	Systems Analyst	Vacant
8	Administrative & Accounts Officer	1
9	Executive Officer	1
10	Librarian	1
<b>SUPPORTING RESEARCH &amp; TECHNICAL STAFF</b>		
11	Junior Research Officer	3
12	Research Analyst	2
13	Project/Training Associates/Research Associates/Research Fellow/Project Coordinator etc. (on consolidated wages)	31
14	Investigator	1
15	Senior Draughtsman	1
16	Personal Assistant	1
17	Supervisor (WPO)	1
18	Assistant Programmer	1
19	Supervisor (DTP)	1
20	Draughtsman	1
21	Junior Hindi Translator	1
22	Tracer	1
<b>ADMINISTRATIVE ASSISTANCE</b>		
23	Private Secretary	Vacant
24	Accountant	1
26	Sr. Machine Operator	1
27	UDC/Caretaker	5
28	Machine Operator	1
29	LDC/Records Clerk	5
30	Telephone Operator	1
31	Library Attendant	1
32	Accountant (Project)/Accounts Assistant (Project)/Administrative Assistant (on consolidated Wages)	4
<b>DRIVERS &amp; CLASS IV</b>		
33	Drivers	1
34	Peon/ Chowkidar/ Farrash	7
<b>TOTAL</b>		<b>85</b>



**Annual Accounts  
2014-15**

NATIONAL INSTITUTE OF URBAN AFFAIRS  
BALANCE SHEET AS AT MARCH 31, 2015  
(Amount in Rupees)

Particulars	Schedule No	As at	
		March 31, 2015	March 31, 2014
<b>CORPUS/ CAPITAL FUND AND LIABILITIES</b>			
Corpus & Capital Fund	1	8,39,91,055	8,47,86,647
Gratuity & Leave Encashment Fund	2	4,13,01,300	4,08,99,693
Research and Development Fund	3	60,00,754	-
Earmarked Fund	4	5,05,96,142	5,13,36,733
Assets Fund	5	41,65,450	9,78,568
Current Liabilities & Provisions	6	59,53,201	52,99,393
<b>TOTAL</b>		<b>19,20,07,902</b>	<b>18,33,01,034</b>
<b>ASSETS</b>			
Fixed Assets	7	41,65,450	9,78,568
Investment - From Earmarked Fund	8	6,03,88,065	5,82,95,972
Investment - Others (Corpus)	9	9,19,90,197	8,32,98,092
Current Assets, Loans, Advances, etc.	10	3,54,64,190	4,07,28,402
<b>TOTAL</b>		<b>19,20,07,902</b>	<b>18,33,01,034</b>
Significant Accounting Policies	18		
Contingent Liabilities and Notes on Accounts	19		
Appendix	A to E		

As per our report of even date attached  
For Oswal Sunil & Company  
Chartered Accountants  
Firm Reg. No. : 0165201

CA Sunil Bhansali  
Partner  
M. No.: 054645


Place: New Delhi  
Date:

28 SEP 2015



For and on behalf of  
NATIONAL INSTITUTE OF URBAN AFFAIRS

  
(Jagan Shah)  
Director

  
(Diwan Singh)  
Executive Officer



**NATIONAL INSTITUTE OF URBAN AFFAIRS**

**INCOME & EXPENDITURE ACCOUNT FOR THE YEAR ENDED MARCH 31, 2015**

(Amount in Rupees)

Particulars	Schedule No	For the year ended 31 March, 2015	For the year ended March 31, 2014
<b>INCOME</b>			
Grant From Ministry of Urban Development	11	3,39,04,036	3,48,09,796
Project Grant (Transfer from Earmarked Fund)		4,75,23,537	2,59,78,140
Transfer from Assets Fund - General (Depreciation)		1,50,642	1,72,188
Fees/Subscription	12	14,250	10,500
Income from Interest - Corpus Fund	13	82,59,055	72,09,629
Income from Interest - Others	14	66,958	1,91,911
Other Miscellaneous Income	15	1,48,865	44,795
<b>Total Income</b>	<b>(A)</b>	<b>9,00,67,343</b>	<b>6,84,16,959</b>
<b>EXPENDITURE</b>			
Establishment Expenses	16	3,74,63,658	3,54,24,388
Administrative Expenses	17	58,75,740	67,78,185
Project Expenses		4,75,23,537	2,59,78,140
Prior Period Expenses		-	1,38,848
<b>Total Expenditures</b>	<b>(B)</b>	<b>9,08,62,935</b>	<b>6,83,19,561</b>
<b>Surplus/ (Deficit) Carried to Corpus Fund</b>	<b>(A-B)</b>	<b>(7,95,592)</b>	<b>97,398</b>
Significant Accounting Policies	18		
Contingent Liabilities and Notes on Accounts	19		
Appendix	A to E		

As per our report of even date attached  
For Oswal Sunil & Company  
Chartered Accountants  
Firm Reg. No. : 016520N

CA Sunil Bhansali  
Partner  
M. No.: 054645



For and on behalf of  
NATIONAL INSTITUTE OF URBAN AFFAIRS

*Jagan Shah*  
(Jagan Shah)  
Director

*Diwan Singh*  
(Diwan Singh)  
Executive Officer

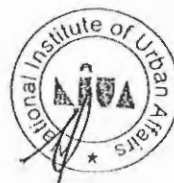
Place: New Delhi

Date: 28 SEP 2015



NATIONAL INSTITUTE OF URBAN AFFAIRS  
SCHEDULES FORMING PART OF THE BALANCE SHEET  
(Amount in Rupees)

Particulars	As at March 31,2015	As at March 31,2014
<b>Schedule: 1</b>		
<b>Corpus &amp; Capital Fund</b>		
Balance as at Beginning of the Year	8,47,86,647	8,46,89,249
Add: Amount Trf from Income & Expenditure A/c	(7,95,592)	97,398
<b>Total</b>	<b>8,39,91,055</b>	<b>8,47,86,647</b>
<b>Schedule: 2</b>		
<b>Gratuity &amp; Leave Encashment Fund</b>		
Balance as at Beginning of the Year	4,08,99,693	3,65,06,832
Add: Interest received during the year	32,65,317	31,45,178
Add: Employer's Contribution for the year	43,89,838	26,71,671
Less: Final Payments made	(72,53,548)	(14,23,988)
<b>Total</b>	<b>4,13,01,300</b>	<b>4,08,99,693</b>
<b>Schedule: 3</b>		
<b>Research &amp; Development Fund</b>		
Balance as at Beginning of the Year	-	-
Add: Grant received during the year	1,18,46,500	-
Add: Overhead Income from Project Funds*	13,21,039	-
Add: Other Income from Closed Project Funds*	79,61,226	-
Add: Interest & Other Income received during the year	3,79,699	-
Add: Depreciation during the year	3,80,824	-
Less: Administrative & Establishment Expenses during the year	(1,31,72,519)	-
Less: Amount trf to Asset Fund	(23,35,191)	-
Less: Depreciation during the year	(3,80,824)	-
<b>Total</b>	<b>60,00,754</b>	<b>-</b>
* Refer Note No. 19 (E)		
<b>Schedule: 5</b>		
<b>Asset Fund</b>		
Balance as at Beginning of the Year	9,78,568	8,23,628
Add: Assets acquired during the year out of fund from		
- Ministry of Urban Affairs	95,964	1,84,243
- Research and Development Fund	23,35,191	4,43,939
- Projects Fund	24,26,827	2,09,953
Less: Depreciation (Contra to Fixed Asset Account)	(16,71,100)	(6,83,195)
<b>Total</b>	<b>41,65,450</b>	<b>9,78,568</b>
<b>Schedule: 6</b>		
<b>Current Liabilities &amp; Provisions</b>		
<b>Current Liabilities</b>		
Sundry Creditors		
- For Expenses	3,31,220	4,43,148
<b>Other Current Liabilities</b>		
Pay & Allowances Payable	46,22,404	37,86,792
Other Expenses Payable	4,94,757	7,66,196
Statutory Liabilities Payable	5,04,820	3,03,257
<b>Total</b>	<b>59,53,201</b>	<b>52,99,393</b>



<b>Schedule: 8</b>		
<b>Investment From Earmarked Funds</b>		
Term Deposits	5,92,65,074	5,63,65,077
Add: Accrued Interest	11,22,991	19,30,895
<b>Total</b>	<b>6,03,88,065</b>	<b>5,82,95,972</b>
<b>Schedule: 9</b>		
<b>Investment Other (Corpus)</b>		
Term Deposits	8,95,24,993	8,10,15,314
Add: Accrued Interest	24,65,204	22,82,778
<b>Total</b>	<b>9,19,90,197</b>	<b>8,32,98,092</b>
<b>Schedule: 10</b>		
<b>Current Assets, Loans, Advances Etc.</b>		
<b>Current Assets</b>		
Cash in Hand	-	-
<b>Bank Balance</b>		
State Bank of Hyderabad A/c No 52142908059 Main	30,75,277	43,57,727
State Bank of Hyderabad A/c No 52142908402 HUDCO	1,29,535	5,02,117
State Bank of Hyderabad A/c No 52143024137 Non Core	-	3,75,121
State Bank of Hyderabad A/c No 52142908059 Computer Fund	-	13,553
State Bank of Hyderabad A/c No 52143007994 Corpus	94,887	85,02,596
State Bank of Hyderabad A/c No 62401440763 NIUA	48,000	-
State Bank of Hyderabad A/c No 52142908435 R&D	66,99,443	29,61,334
State Bank of Hyderabad A/c No 52142908402 GLE	26,63,889	4,49,184
Bank Balances of Projects	95,58,411	1,30,98,766
<b>(A)</b>	<b>2,22,69,442</b>	<b>3,02,60,398</b>
<b>Loan, Advances and Other Assets:</b>		
TDS	48,05,931	38,29,524
Advance to Staff	2,21,987	1,67,345
Advances to Vendors (Projects)	1,30,381	2,93,971
Project Grants Receivable	78,42,512	60,03,458
Prepaid Expenses	1,87,171	1,73,706
Miscellaneous Receivable	6,766	-
<b>(B)</b>	<b>1,31,94,748</b>	<b>1,04,68,004</b>
<b>Total (A+B)</b>	<b>3,54,64,190</b>	<b>4,07,28,402</b>



NATIONAL INSTITUTE OF URBAN AFFAIRS  
SCHEDULES FORMING PART OF THE INCOME & EXPENDITURE ACCOUNT  
(Amount in Rupees)

Particulars	For the year ended 31 March, 2015	For the year ended 31 March, 2014
<b>Schedule: 11</b>		
Grant From Ministry of Urban Development		
Grants received during the year	3,40,00,000	3,54,37,978
Less :- Utilized for Capital Expenditure	95,964	6,28,182
<b>Total</b>	<b>3,39,04,036</b>	<b>3,48,09,796</b>
<b>Schedule: 12</b>		
Fees/Subscription		
Annual Membership Fees	14,250	10,500
<b>Total</b>	<b>14,250</b>	<b>10,500</b>
<b>Schedule: 13</b>		
Income from Interest- Corpus Fund		
On Term Deposit	82,59,055	72,09,629
<b>Total</b>	<b>82,59,055</b>	<b>72,09,629</b>
<b>Schedule: 14</b>		
Income from Interest- Others		
On Income Tax Refund	-	3,817
On Saving Bank Account	66,958	1,88,094
<b>Total</b>	<b>66,958</b>	<b>1,91,911</b>
<b>Schedule: 15</b>		
Other Miscellaneous Income		
Miscellaneous Receipt	1,19,704	10,808
Income from Sale & Subscription of Journal	29,161	33,987
<b>Total</b>	<b>1,48,865</b>	<b>44,795</b>
<b>Schedule: 16</b>		
Established Expenses		
Contribution to Gratuity and Leave Encashment Fund	33,12,788	22,42,764
Pay & Allowances	3,18,23,002	3,14,10,695
Bonus Expenses	1,00,166	1,20,890
Contribution to Provident fund	11,75,967	11,04,187
Contribution to GSLIS	10,398	6,574
Leave Travel Concession	6,21,624	2,13,944
Leave Encashment	2,27,773	47,598
Staff Welfare Expenses	1,91,940	4,16,584
<b>Total</b>	<b>3,74,63,658</b>	<b>3,55,63,236</b>
<b>Schedule: 17</b>		
Administrative Expenses		
Repair & Maintainance (IHC)	29,01,584	27,15,751
Rent, Rates & Taxes (IHC)	9,30,274	8,52,571
Repair & Maintainance (General)	2,12,344	1,89,906
Electricity & Water (IHC)	5,20,653	5,58,352
Vechile Running & Mainatance	2,62,972	2,37,442
Postage, Telephone & Communication Expense	2,02,794	2,50,381
Printing & Stationary	2,32,319	3,83,027
Travelling & Conveyance Expenses	7,374	1,08,101
Expenses on Seminar/Workshops/Programmes/Meetings	21,004	94,344
Legal & Professional Fees	2,56,436	2,00,131
Newspaper & Magazines	73,619	66,430
Other ( Contingency Expenses)	1,03,725	9,49,561
Depreciation	1,50,642	1,72,188
<b>Total</b>	<b>58,75,740</b>	<b>67,78,185</b>





NATIONAL INSTITUTE OF URBAN AFFAIRS  
Schedule: 4 Earmarked Fund  
(Amount in Rupees)

Particulars	Computer Fund		Non Core Fund		HUDCO		Project Funds		Total	
	2015	2014	2015	2014	2015	2014	2015	2014	2015	2014
A) Opening balance of the Funds	13,553	13,553	10,68,076	13,22,529	(2,71,340)	(4,61,997)	5,05,26,444	3,82,98,358	5,13,36,733	3,91,72,443
B) Additions during the Year	-	-	8,648	85,311	10,411	9,742	-	-	19,059	95,053
Interest Received during the year	-	-	-	-	4,00,000	14,00,000	-	-	5,55,30,471	3,96,06,226
Grants in Aid	-	-	-	-	5,75,659	-	-	-	5,75,659	-
Miscellaneous Receipt	-	-	-	-	26,680	46,520	-	-	11,39,634	5,11,007
Depreciation during the year	-	-	-	-	-	-	-	-	26,24,397	-
Inter A/c Adjustment	-	-	-	-	-	-	-	-	-	-
<b>Total (A+B)</b>	<b>13,553</b>	<b>13,553</b>	<b>10,76,724</b>	<b>14,07,840</b>	<b>7,41,410</b>	<b>9,94,265</b>	<b>10,93,94,266</b>	<b>7,69,69,071</b>	<b>11,12,25,953</b>	<b>7,93,84,729</b>
C) Expenditures towards objectives of fund	-	-	3,14,996	3,39,639	8,31,965	8,05,982	1,54,24,559	1,62,34,434	1,65,71,520	1,73,80,055
Salaries & Wages	-	-	125	125	2,20,378	4,13,103	2,96,72,151	95,33,753	2,98,92,654	99,46,981
Administrative Expenses	-	-	-	-	26,680	46,520	11,12,954	4,64,487	11,39,634	5,11,007
Depreciation during the year	-	-	-	-	-	-	24,26,827	2,09,953	24,26,827	2,09,953
Asset Acquired	-	-	-	-	-	-	98,24,020	-	1,05,99,176	-
Inter A/c Adjustment	13,553	-	7,61,603	-	-	-	-	-	-	-
<b>Total (C)</b>	<b>13,553</b>	<b>-</b>	<b>10,76,724</b>	<b>3,39,764</b>	<b>10,79,023</b>	<b>12,65,605</b>	<b>5,84,60,511</b>	<b>2,64,42,627</b>	<b>6,06,29,811</b>	<b>2,80,47,996</b>
<b>Net Balance as on year end (A+B-C)</b>	<b>-</b>	<b>13,553</b>	<b>-</b>	<b>10,68,076</b>	<b>(3,37,613)</b>	<b>(2,71,340)</b>	<b>5,09,33,755</b>	<b>5,05,26,444</b>	<b>5,05,96,142</b>	<b>5,13,36,733</b>



NATIONAL INSTITUTE OF URBAN AFFAIRS  
Schedule: 7 Fixed Assets  
(Amount in Rupees)

Particulars	Rate	GROSS - BLOCK			DEPRECIATION		NET - BLOCK	
		As at 01.04.2014	Additions	As at 31.03.2015	Upto 31.03.2014	For the Year	As at 31.03.2015	As at 31.03.2014
<b>FIXED ASSETS</b>								
<b>A. Asset Acquired Out of Grants In Aid From Ministry of Urban Development</b>								
Furniture & Fixtures	15%	27,08,050	-	27,08,050	26,88,272	11,683	26,99,955	8,095
Office Equipments	25%	21,66,615	-	21,66,615	21,65,988	463	21,66,451	165
Computer & EDP Equipments	60%	31,09,879	-	31,09,879	30,67,029	42,532	31,09,561	318
Library Books & Periodicals	100%	51,90,109	95,964	52,86,073	51,90,109	95,964	52,86,073	-
		1,31,74,653	95,964	1,32,70,617	1,31,11,398	1,50,642	1,32,62,040	8,577
<b>B. Asset Acquired Out of HUDCO</b>								
Library Books	100%	-	-	-	-	-	-	-
Computer & EDP Equipments	60%	77,533	-	77,533	50,853	26,680	77,533	-
		77,533	-	77,533	50,853	26,680	77,533	-
<b>C. Asset Acquired Out of Research and Development Fund</b>								
Furniture & Fixtures	15%	1,59,465	10,13,090	11,72,555	11,040	58,914	69,954	11,02,601
Office Equipments	25%	15,927	9,490	25,417	9,918	5,035	14,953	10,464
Computer & EDP Equipments	60%	3,09,905	13,12,611	16,22,516	10,487	3,16,875	3,27,362	12,95,154
		4,85,297	23,35,191	28,20,488	31,445	3,80,824	4,12,269	24,08,219
<b>D. Asset Acquired Out of Projects Fund</b>								
Furniture & Fixtures	15%	2,26,872	2,02,511	4,29,383	1,55,851	34,285	1,90,136	2,39,247
Office Equipments	25%	25,59,125	17,428	25,76,553	25,01,412	33,368	25,34,780	41,773
Computer & EDP Equipments	60%	1,29,86,316	22,06,888	1,51,93,204	1,26,80,268	10,45,301	1,37,25,569	14,67,635
Library Books & Periodicals	100%	7,27,297	-	7,27,297	7,27,297	-	7,27,297	-
Staff Car	25%	10,32,432	-	10,32,432	10,32,432	-	10,32,432	-
		1,75,32,042	24,26,827	1,99,58,869	1,70,97,260	11,12,954	1,82,10,214	17,48,655
		1,75,32,042	24,26,827	1,99,58,869	1,70,97,260	11,12,954	1,82,10,214	17,48,655
<b>Total (Current Year)</b>		3,12,69,525	48,57,982	3,61,27,507	3,02,90,957	16,71,100	3,19,62,057	41,65,450
<b>Total (Previous Year)</b>		3,04,31,390	8,38,135	3,12,69,525	2,96,07,762	6,83,195	3,02,90,957	9,78,568
		3,04,31,390	8,38,135	3,12,69,525	2,96,07,762	6,83,195	3,02,90,957	9,78,568



NATIONAL INSTITUTE OF URBAN AFFAIRS  
APPENDICES FORMING PART OF BALANCE SHEET AND INCOME AND EXPENDITURE ACCOUNT FOR THE YEAR  
ENDED MARCH 31,2015

Details of Investment - Earmarked Funds and Others

Particulars	Amount
<b>Appendix A</b>	
<b>Gratuity &amp; Leave Encashment Fund</b>	
Fixed Deposit with HUDCO No.47138	1,80,00,000
Fixed Deposit with HUDCO No.47141	1,50,00,000
Add: Accrued Interest on Above	4,69,097
<b>Total</b>	<b>3,34,69,097</b>
<b>Appendix B</b>	
<b>Corpus Fund</b>	
Fixed Deposit with Canara Bank No 0157401005345/6	1,45,24,993
Fixed Deposit with HUDCO No.47129	2,50,00,000
Fixed Deposit with HUDCO No.47132	2,50,00,000
RBI 8% (Taxable) Saving Bonds	2,50,00,000
Add: Accrued Interest on Above	24,65,204
<b>Total</b>	<b>9,19,90,197</b>
<b>Appendix C</b>	
<b>FCRA</b>	
Fixed Deposit with State Bank of Hyderabad No 62317674368	24,85,937
Fixed Deposit with State Bank of Hyderabad No 6231764073	59,74,156
Fixed Deposit with State Bank of Hyderabad No 62317674211	59,74,156
Fixed Deposit with State Bank of Hyderabad No 62327308082	54,65,417
Fixed Deposit with State Bank of Hyderabad No 62395417716	13,65,408
Fixed Deposit with State Bank of Hyderabad No 62411814729	50,00,000
Add: Accrued Interest on Above	6,07,507
<b>Total</b>	<b>2,68,72,581</b>




NATIONAL INSTITUTE OF URBAN AFFAIRS  
Appendix D  
Projects Fund  
(Amount in Rupees)

S.No.	Name of Projects	Opening Balances	Receipts/Receivable Accounted for during the year	Inter Account Adjustment	Total	Expenditure during the year	Inter Account Adjustment	Total	Closing Balances
		1	2	3	(1+2+3)=4	5	6	(5+6)=7	(4-7)=8
1	NIUA/TPU	15,18,734	32,950	-	15,51,684	27,022	-	27,022	15,24,662
2	NIUA/JNNURM	1,02,22,563	35,18,761	-	1,37,41,324	49,18,601	-	49,18,601	88,22,723
3	NIUA/FCRA	3,03,41,730	3,76,14,754	-	6,79,56,484	3,34,88,117	10,71,253	3,45,59,370	3,33,97,114
4	UNDP/NSUP	2,11,291	-	-	2,11,291	-	2,11,291	2,11,291	-
5	NIUA/UNDP/NSUP-INT	1,06,554	-	-	1,06,554	-	1,06,554	1,06,554	-
6	NIUA/DLRP	1,36,088	6,939	1,79,819	3,22,846	-	3,22,846	3,22,846	-
7	NIUA/HPEC	11,64,134	13,284	5,98,112	17,75,530	-	17,75,530	17,75,530	-
8	NIUA/CBDUG	6,15,902	6,231	-	6,22,133	-	6,22,133	6,22,133	-
9	NIUA/TAG	18,57,232	1,904	5,93,263	24,52,399	-	24,52,399	24,52,399	-
10	NIUA/PEARL	33,53,955	19,28,193	-	52,82,148	27,49,796	13,04,793	40,54,589	12,27,559
11	NIUA/SUSTAINABLE CITY	1,45,240	5,899	-	1,51,139	-	-	1,51,139	1,51,139
12	NIUA/PPP on URBAN TRANSPORT	6,99,026	8,081	-	7,07,107	-	7,07,107	7,07,107	-
13	NIUA/SFC Support Cell	(11,16,429)	2,60,557	-	(8,55,872)	-	1,04,301	1,04,301	(9,60,173)
14	NIUA/Satellite Town Scheme	1,92,089	1,034	-	1,93,123	-	-	1,93,123	1,93,123
15	NIUA/Land Taxation	43,479	1,766	-	45,245	-	45,245	45,245	-
16	NIUA/CSP	(14,66,165)	35,00,180	-	20,34,015	-	-	20,34,015	20,34,015
17	NIUA/Social Audit	75,297	2,518	-	77,815	-	77,815	77,815	-
18	NIUA/Planning Commission	(7,27,178)	6,10,794	-	(1,16,384)	-	-	(1,16,384)	-
19	NIUA/GRS	4,40,609	1,193	88,803	5,30,605	-	5,30,605	5,30,605	-
20	NIUA/SNPUPR	5,82,314	18,403	-	6,00,717	-	-	6,00,717	6,00,717
21	NIUA/MPD	(2,15,223)	1,402	6,63,543	4,48,722	45,629	4,03,093	4,48,722	-
22	NIUA/MML	(4,69,665)	11,835	5,00,857	43,027	-	43,027	43,027	-
23	NIUA/SSSB	3,93,539	665	-	3,94,204	1,640	-	1,640	3,92,564
24	NIUA/DPD	86,931	2,28,425	-	3,15,356	2,48,016	-	2,48,016	67,340
25	NIUA/SSLP	-	2,83,409	-	2,83,409	1,86,800	-	1,86,800	96,609
26	NIUA/TCFC	46,028	-	-	46,028	-	46,028	46,028	-
27	NIUA/CIDCO	-	70,39,767	-	70,39,767	39,70,543	-	39,70,543	30,69,224
28	NIUA/ULCRA	22,89,369	31,527	-	23,20,896	18,87,373	-	18,87,373	4,33,523
	<b>Total</b>	<b>5,05,26,444</b>	<b>5,51,30,471</b>	<b>26,24,397</b>	<b>10,82,81,312</b>	<b>4,75,23,537</b>	<b>98,24,020</b>	<b>5,73,47,557</b>	<b>5,09,33,755</b>

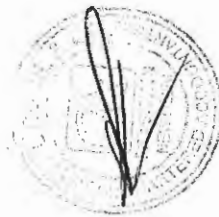


**NATIONAL INSTITUTE OF URBAN AFFAIRS**  
**Appendix E**  
**DETAIL OF SAVING ACCOUNTS and INVESTMENT - PROJECTS**  
*(Amount in Rupees)*

S.No.	Name of Projects	Saving Bank A/c No. with State Bank of Hyderabad	Closing Balances of Projects	Bank Balances	Fixed Deposit	Accrued Interest and Receivable	Receivables	Loan/ Advances Given	Provisions/ Liabilities & Borrowings	Closing Balances
1	NIUA/TPU	52142908413	15,24,662	1,24,736	-	-	-	14,00,000	(74)	15,24,662
2	NIUA/JNNURM	62012196872	88,22,723	1,51,180	-	-	30,42,326	63,94,213	(7,54,995)	88,22,723
3	NIUA/FCRA	52142908468	3,33,97,114	76,72,664	2,62,65,074	6,07,507.00	-	26,269	(11,74,400)	3,33,97,114
4	NIUA/PEARL	62095929938	12,27,559	1,22,066	-	-	19,17,138	1,74,345	(9,85,990)	12,27,559
5	NIUA/SUSTAINABLE CITY	62095923357	1,51,139	1,51,139	-	-	-	-	-	1,51,139
6	NIUA/SFC Support Cell	62129628326	(9,60,173)	4,09,827	-	-	-	2,00,000	(15,70,000)	(9,60,173)
7	NIUA/Satellite Town Scheme	62129628177	1,93,123	26,499	-	-	-	2,00,000	(33,376)	1,93,123
8	NIUA/CSP	62171115573	20,34,015	4,619	-	-	35,00,000	-	(14,70,604)	20,34,015
9	NIUA/Planning Commission	62189672567	(1,16,384)	81,878	-	-	6,07,600	-	(8,05,860)	(1,16,384)
10	NIUA/SNUPR	62213124333	6,00,717	60,717	-	-	40,000	-	(12,60,905)	6,00,717
11	NIUA/SSSB	62248708088	3,92,564	15,469	-	-	16,38,000	50,000	(60,000)	3,92,564
12	NIUA/DPD	62303629309	67,340	77,340	-	-	-	-	(4,465)	67,340
13	NIUA/SSLP	62340625468	96,609	73,074	-	-	28,000	-	(25,53,365)	96,609
14	NIUA/CIDCO	62350088123	30,69,224	3,22,589	-	-	-	53,00,000	(10,31,415)	30,69,224
15	NIUA/ULCR	62324454978	4,33,523	2,64,517	-	-	-	12,00,321	(1,17,15,449)	4,33,523
	<b>Total</b>		<b>5,09,33,755</b>	<b>95,58,411</b>	<b>2,62,65,074</b>	<b>6,07,507</b>	<b>1,07,73,064</b>	<b>1,54,45,148</b>		<b>5,09,33,755</b>

**Foot Note:**

1. Receivables includes Grant Receivables and TDS Recoverable.
2. Loan/Advances given includes Inter Project Loans Given.
3. Provisions/Liabilities & Borrowings Includes Inter Project Loans taken, Provisions for Expenses and Statutory Liabilities Payable.



NATIONAL INSTITUTE OF URBAN AFFAIRS  
SCHEDULES FORMING PART OF THE ACCOUNTS FOR THE YEAR ENDED MARCH 31, 2015

Schedule: 18 Significant Accounting Policies

**A. Basis of Accounting**

The financial statements have been prepared under the historical cost convention, in accordance with the generally accepted accounting principles including applicable accounting standards issued by the Institute of Chartered Accountants of India. Financial Statements have been prepared on going concern basis.

**B. Inventory Valuation**

Books published by the Institute lying unsold as at the year end are not shown as inventory in the accounts.

**C. Fixed Assets**

All fixed assets are stated at cost less accumulated depreciation. Cost is inclusive of all costs relating to the acquisition, pre-operational expenses and installation of fixed assets to bring the assets to working condition for intended use.

**D. Depreciation**

(a) Depreciation on all fixed assets of the Company is provided on Straight Line method at the rates specified in Income Tax Act, 1961

(b) Depreciation is provided on Pro rata basis except in case of assets which are subject to depreciation @100%.

(c) An amount, equal to depreciation charged on Assets during the year is debited to respective Asset Fund Account.

**E. Impairment of Assets**

Management periodically assesses whether there is an indication that an assets may be impaired. In case of such an indication, the management estimates the recoverable amount of the asset. If the recoverable amount of an asset is less than its carrying amount, the carrying amount of the asset is reduced to its recoverable amount and the difference is recognised as impairment loss.

**F. Government Grants**

Grants-in-aid from the MoUD for pay & allowances and for maintenance are credited to the Income & Expenditure Account. Grants-in-aid utilised for depreciable assets are credited to the Assets Fund Account.

**G. Corpus & Capital Fund**

The Institute has constituted "Corpus Fund". The fund is managed in accordance with the National Institute of Urban Affairs (Administration & Management of the Corpus Fund) Rules, 2002. The surplus/deficit of each year as per Income & Expenditures account is adjusted through Corpus & Capital Fund.

**H. Research & Development Fund**

Research & Development Fund represent unspent balances of specific grant receipt for the project, unspent balances of closed projects, overhead recoveries from projects, interest earned on investment out of the fund etc.

**I. Employee Benefits**

(a) Short term employee benefits are recognised as an expense at the undiscounted amount in the Income & Expenditure Account of the year in which the related service is rendered.

(b) Post employment and other long term employee benefits are recognised as an expense in the Income & Expenditure Account for the year in which the employee has rendered services. The expense is recognised at the present value of the amounts payable determined using actuarial valuation techniques. Actuarial gain and losses in respect of post employment and other long term benefits are charged to revenue.

(c) The Institute is maintaining a Contributory Provident Fund Account, which has been recognised by the Department of Pension and Pensioners' Welfare.

**J. Investment**

Investment are valued at cost. Provisions is made for permanent diminution, if any, in the value of such investment.

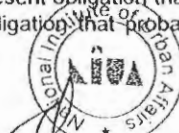
**K. Foreign Exchange Transactions**

Foreign currency transaction are recorded by applying the exchange rate at the date of transaction.

**L. Provisions and Contingencies**

Provisions are recognised when the Institute has a present obligation as a result of past events for which it is probable that an outflow of resources will be required to settle the obligation and a reliable estimate can be made. Provisions required settling the obligation are reviewed regularly and are adjusted where necessary to reflect the current best estimate of the obligation.

A disclosure for a contingent liability is made when there is a possible obligation or a present obligation that may but probably will not require an outflow of resources. Disclosure is also made in respect of a present obligation that probably requires an outflow of resources where it is not possible to make a reliable estimate of the related outflow





NATIONAL INSTITUTE OF URBAN AFFAIRS  
SCHEDULES FORMING PART OF THE ACCOUNTS FOR THE YEAR ENDED MARCH 31, 2015

Schedule: 19 Contingent Liabilities and Notes to Accounts

A. Contingent Liabilities:

Claims against the Institute in respect of pending court cases : NIL (PY NIL)

The Institute's pending litigations comprise of claims against the Institute and proceedings pending with Tax Authorities / Statutory Authorities. The Institute has reviewed all its pending litigations and proceedings and has made adequate provisions, wherever required and disclosed the contingent liabilities, wherever applicable, in its financial statements. The Institute does not expect the outcome of these proceedings to have a material impact on its financial position.

B. Current tax is determined in accordance with the provisions of Income tax act, 1961 consering provisions of section 11 of said Act.

C. Project receipts include project grants, interest earned on project funds, recovery, etc.

D. Closing balances of respective project funds represents unspent balances thereof, after adjustment of expenditure and are represented by balances in the bank accounts, fixed deposits with banks and other net assets.

E. During the Financial Year 2014-15, the Institute has credited Rs 13,21,039/- as overhead income from project funds and Rs. 79,61,226/- as other income fom closed projects to Research and Development Account. Income from closed projects includes Rs. 24,80,347/- transferred from projects closed in the preceeding years.

F. In the opinion of the management of institute, the current assets, loans, advances, etc. have a realisable value at least to the extent shown in the accounts and the provision for all known liabilities including depreciation are adequate.

G. The Institute is maintaining a Contributory Provident Fund (CPF) Account. The Financials of CPF Accounts are prepared seperately and is not a part of NIUA financial statements.

H. Contribution to Defined CPF is recognised as expense for the year is Rs. 14,12,231/-.

The employees' Gratuity Fund Scheme is a defined benefit plan. The present value of obligation is determined based on actuarial valuation using the projected unit credit method, which recognises each period of service as giving rise to additional unit of employee benefit entitlement and measures each unit separately to build up the final obligation. The Obligation for leave encashment is recognised in the same manner as gratuity.

The principal actuarial assumptions used as at the balance sheet date are as under:

The principal assumptions are the discount rate and salary growth rate. The discount rate is generally based upon the market yields available on Government bonds at the accounting date with a term that matches that of the liabilities and the salary growth rate takes account of inflation, seniorty, promotion and other relevant factors on long term basis.

S.No.	Particulars	31.03.2015	31.03.2014
1	Discounting Rate	7.75%	8.50%
2	Future Salary Increase	5.25%	6.00%
3	Expected Rate of Return on Plan Assets	0.00%	0.00%
4	Retirement Age	60 Years	60 Years
5	Mortality Table	IALM (2006-08)	IALM (2006-08)

I. The Balance Sheet and Income & Expenditure Account have been drawn in accordance with the common format of accounts prescribed by the office memorandum Dt. 18/21 February, 2002 of Govt. of India, Ministry of Commerce and Industry, Department of Commerce.

J. As per the information available, there is no amounts due that require disclosure/provisioning as per the requirements of the Micro Small and Medium Enterprises Development Act, 2006.

K. In the management's opinion, in the event of any disparity in the balances or any consequential adjustments required on reconciliation of the balances will not be material in relation to the financial statements of the Institute and the same will be adjusted in the financial statements as and when the reconciliation is completed.

L. Fixed Assets have been physically verified by the Management and relied upon by the auditors.

M. The figures given in the Income & Expenditure Account and Balance Sheet are rounded off to the nearest rupees.

N. Previous year's figures have been regrouped / reclassified wherever necessary to confirm with the current year's classification / disclosure.

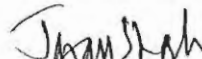
O. Schedules 1 to 19 and Appendix A to E form an integral part of the accounts and have been duly authenticated.


As per our report of even date attached  
For **CA Sunil & Company**  
Chartered Accountants  
Firm Reg. No. : 016920N

CA Sunil Bhansali  
Partner  
M. No. 054645

Place: New Delhi  
Date: 28 SEP 2015

For and on behalf of  
NATIONAL INSTITUTE OF URBAN AFFAIRS

  
(Jagan Shah)  
Director

  
(Diwan Singh)  
Executive Officer





## INDEPENDENT AUDITORS' REPORT ON FINANCIAL STATEMENTS

To the Trustees

**NATIONAL INSTITUTE OF URBAN AFFAIRS CONTRIBUTORY PROVIDENT FUND**

### Report on the Financial Statements

We have audited the accompanying financial statements of **NATIONAL INSTITUTE OF URBAN AFFAIRS CONTRIBUTORY PROVIDENT FUND** ("the Trust"), which comprise the Balance Sheet as at 31<sup>st</sup> March, 2015 and also the Income & Expenditure Account and a summary of the significant accounting policies and other explanatory information.

### Management's Responsibility for the Financial Statements

The Trust's Management is responsible for the preparation of these financial statements that give a true and fair view of the financial position, financial performance of the Trust in accordance with the generally acceptable Accounting Principles. This responsibility includes the design, implementation and maintenance of internal control relevant to the preparation and presentation of the financial statements that give a true and fair view and are free from material misstatement, whether due to fraud or error.

### Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with the Standards on Auditing issued by the Institute of Chartered Accountants of India. Those Standards require that we comply with the ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and the disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers the internal control relevant to the Trust's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of the accounting estimates made by the Management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

### Opinion

In our opinion and to the best of our information and according to the explanations given to us, the financial statement gives a true and fair view in conformity with the accounting principles generally accepted in India:

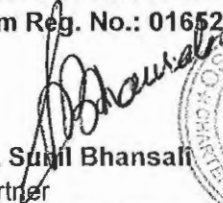
- (a) in the case of the Balance Sheet, of the state of affairs of the Trust as at 31<sup>st</sup> March, 2015;
- (b) in the case of the Income & Expenditure Account, of the excess of Income over the Expenditures of the Trust for the year ended on that date;

**Report on Other Matters**

We further report that:

- (a) We have obtained all the information and explanations which to the best of our knowledge and belief were necessary for the purposes of our audit.
- (b) In our opinion, proper books of account as required by law have been kept by the Trust so far as it appears from our examination of those books.
- (c) The Balance Sheet and Income & Expenditure Account dealt with by this Report are in agreement with the books of account.

For Oswal Sunil & Company  
Chartered Accountants  
Firm Reg. No.: 016520N

  
CA Sunil Bhansali  
Partner  
M.No. - 054645



Place: - New Delhi  
Date: - 28th September, 2015

NATIONAL INSTITUTE OF URBAN AFFAIRS CONTRIBUTORY PROVIDENT FUND  
BALANCE SHEET AS AT MARCH 31, 2015  
(Amount in Rupees)

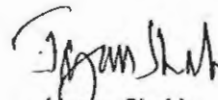
Particulars	Schedule No	As at March 31, 2015	As at March 31, 2014
<b>Sources of Funds</b>			
Employees' Subscription	1	2,89,84,382	2,90,58,481
Employer's (NIUA) Contribution	2	2,89,74,755	2,99,41,936
CPF Amount Payable to Relieved Employee		1,53,379	1,43,128
Accumulated Surplus		12,63,124	8,23,142
<b>TOTAL</b>		<b>5,93,75,640</b>	<b>5,99,66,687</b>
<b>Application of Funds</b>			
Bank Balance	3	9,56,778	19,85,694
Investments	4	5,61,17,773	5,56,52,646
Interest accrued but not received		18,74,526	19,17,451
TDS Receivables		4,26,563	4,10,782
Amount receivables from the Employer(NIUA)			113
<b>TOTAL</b>		<b>5,93,75,640</b>	<b>5,99,66,687</b>
Significant Accounting Policies and Notes on Accounts	5		

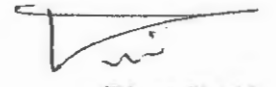
As per our report of even date attached  
For Oswal Sunil & Company  
Chartered Accountants  
Firm Reg. No. : 016520N

CA Sunil Bhansali  
Partner  
M. No.: 054645



For and on behalf of  
NATIONAL INSTITUTE OF URBAN AFFAIRS

  
(Jagan Shah)  
Director

  
(Diwan Singh)  
Executive Officer

Place: New Delhi

Date: 28 SEP 2015



NATIONAL INSTITUTE OF URBAN AFFAIRS CONTRIBUTORY PROVIDENT FUND  
 INCOME & EXPENDITURE ACCOUNT FOR THE YEAR ENDED MARCH 31, 2015  
 (Amount in Rupees)

Particulars	For the year ended 31 March, 2015	For the year ended March 31, 2014
<b>INCOME</b>		
Interest Income		
- Fixed Deposit	47,99,737	40,09,450
- Special Deposit Scheme	5,87,419	7,85,907
- Saving Bank Account	63,998	1,59,425
<b>Total Incomes (A)</b>	<b>54,51,154</b>	<b>49,54,782</b>
<b>EXPENDITURE</b>		
Interest Allocation to Members on		
- Employees' Subscription	24,46,110	23,70,947
- Employer's (NIUA) Contribution	25,65,062	23,67,448
<b>Total Expenditures (B)</b>	<b>50,11,172</b>	<b>47,38,395</b>
<b>Surplus/ (Deficit) Transferred to the Balance Sheet (A-B)</b>	<b>4,39,982</b>	<b>2,16,387</b>

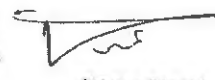
As per our report of even date attached  
 For Oswal Sunil & Company  
 Chartered Accountants  
 Firm Reg. No. : 01652011

CA Sunil Bhansali  
 Partner  
 M. No.: 054645



For and on behalf of  
 NATIONAL INSTITUTE OF URBAN AFFAIRS

  
 Jagan Shah  
 Director

  
 (Diwan Singh)  
 Executive Officer

Place: New Delhi

Date: 28 SEP 2015



NATIONAL INSTITUTE OF URBAN AFFAIRS CONTRIBUTORY PROVIDENT FUND  
SCHEDULES FORMING PART OF THE BALANCE SHEET  
(Amount in Rupees)

Particulars	As at March 31,2015	As at March 31,2014
<b>Schedule: 1</b>		
<b>Employees' Subscription</b>		
Opening Balance b/d	2,90,58,481	2,66,38,600
Add: Subscription during the Year	43,85,915	42,17,534
Interest credited during the Year*	24,46,110	23,70,947
Loan Recovered	14,43,174	12,04,627
<b>Less: Refundable Loan</b>	12,94,000	15,71,661
Withdrawal during the Year	15,98,000	18,82,000
Final Settlement during the Year	54,57,298	19,19,566
	<b>2,89,84,382</b>	<b>2,90,58,481</b>
<i>*(includes adjustment of Rs. 6,572 overcasted in P.Y.)</i>		
<b>Schedule: 2</b>		
<b>Employer's Contribution</b>		
Opening Balance b/d	2,99,41,936	2,71,87,052
Add: Contribution during the Year	14,32,092	13,50,388
Interest credited during the Year*	25,65,062	23,67,448
<b>Less: Final Settlement during the Year</b>	49,64,335	9,62,952
	<b>2,89,74,755</b>	<b>2,99,41,936</b>
<i>*(includes adjustment of Rs. 48,316 undercasted in P.Y.)</i>		
<b>Schedule: 3</b>		
<b>Bank Balance</b>		
State bank of Hyderabad	9,23,941	19,54,035
Punjab & Sind Bank	32,836	31,659
	<b>9,56,778</b>	<b>19,85,694</b>
<b>Schedule: 4</b>		
<b>Investment</b>		
Fixed Deposits	4,71,15,188	4,66,50,061
8.7% Special Deposit Scheme A/c No SD/06/08, Syndicate Bank	90,02,585	90,02,585
	<b>5,61,17,773</b>	<b>5,56,52,646</b>





NATIONAL INSTITUTE OF URBAN AFFAIRS CONTRIBUTORY PROVIDENT FUND  
SCHEDULES FORMING PART OF THE BALANCE SHEET

Schedule: 5

Significant Accounting Policies and Notes on Accounts

- 1 Investment income has been accounted for on accrual basis.
- 2 Interest has been credited @8.7% p.a. for the period from April'2014 to March'2015 to members account on monthly balances of Member's subscription and NIUA Contributions.
- 3 Investment are kept in fixed deposits/ special deposits with the banks and have accordingly been shown at cost.
- 4 Pattern of Investment of Rs 5,61,17,773.00 appearing in the Balance Sheet as at March 31, 2015 is as under:-

Nature of Investment	Amount (In Lakhs)	Total Investment	As per Notification	Excess/ (Deficit)
1) Govt. Securities	0	0	55%	-55%
2) Debt Securities/Term Deposits issued by body corporate including banks and public financial institutions	561.17	100%	40%	60%
3) Other Securities - Money Market Instruments	0	0	5%	-5%
<b>Total</b>	<b>561.17</b>	<b>100%</b>	<b>100%</b>	

Schedules 1 to 5 form an integral part of the accounts and have been duly authenticated.

As per our report of even date attached  
For Oswal Sunil & Company  
Chartered Accountants  
Firm Reg. No. : 016520N

CA Sunil Bhansali  
Partner  
M. No. 054645

Place: New Delhi

Date: 20 SEP 2015



For and on behalf of  
NATIONAL INSTITUTE OF URBAN AFFAIRS

*Jagan Shah*  
(Jagan Shah)  
Director

*Diwan Singh*  
(Diwan Singh)  
Executive Officer





**MANAGEMENT EXPLANATION TO NIL BALANCE AS ON 31.03.2014 IN THE R & D ACCOUNTS  
(SCHEDULE 3)**

Research & Development Fund: This account is meant for absorbing and / or temporarily accommodating the expenses which are not related to any specific project or when the project grant relating to any project, existing or under negotiations, has not been received. Similarly, all income and surpluses which are not assignable to any specific project are credited to this Fund.

As a result, ~~in the Balance Sheet for FY ending March 31, 2014~~ was shown as zero. The balance standing to the credit of R & D A/c at the end of 31.03.2013 was transferred to corpus fund. During the subsequent year i.e. 2013-14 there was no balance as on 31.03.2014. As such all the heads of account in the Accounts for the year 2014-15 show nil balance as on 31.03.2014.

By auditors' recommendations, it was decided that the R & D fund balances should be shown under separate heads in the relevant schedules.

Thus, in the Balance sheet of 2014-15, the details of ~~Schedule 3~~ R & D account have been represented.



## SOME CLARIFICATIONS IN REGARD TO THE ACCOUNTS

- 1) ~~in the~~ Note no. N (Schedule 19) Contingent Liabilities and Notes of Account states that "Previous year figures have been regrouped/ reclassified wherever necessary to confirm with the current year's classification/ disclosure".

This note pertains to the balances shown against ~~various~~ head of accounts which have been regrouped/reclassified. Details are given below:

	<b>Amount (In Rs.)</b>
a) 'Earmarked Fund' as on 31.03.2014 in the Accounts for the year 2013-14	9,32,14,994
Less: Amount withdrawn from 'Earmarked Fund' and shown separately under 'Gratuity & Leave Encashment Fund' in the account for 2014-15	4,08,99,693
Less: Amount withdrawn from 'Earmarked Fund' shown separately under 'Assets Fund' in the account for 2014-15	9,78,568
<b>Total</b> Amount withdrawn from 'Earmarked fund' as on 31.03.2014 and shown separately as above.	<b>4,18,78,261</b>
<b>Revised amount shown under 'Earmarked Fund' (2013-14) in the accounts for the year 2014-15</b>	<b>5,13,36,733</b>
b) 'Investment - From Earmarked Fund' as on 31.03.2014 in the Accounts for the year 2013-14	3,82,74,123
Add: Amount of Term Deposit- FCRA which was previously included in the 'Investment -Other (Corpus)' head as on 31.03.2014	2,00,21,849
<b>Revised amount shown under 'Investment - From Earmarked Fund' (2013-14) in the accounts for the year 2014-15</b>	<b>5,82,95,972</b>
c) 'Investment - Other (Corpus)' as on 31.03.2014 in the Accounts for the year 2013-14	10,33,19,941
Less: Amount shown under Investment -From Earmarked Fund as per b) above	2,00,21,849
<b>Revised amount shown under 'Investment - Other (Corpus)' (2013-14) in the accounts for the year 2014-15</b>	<b>8,32,98,092</b>
2) <b>Reasons for nil balance in the 'Research &amp; Development Fund' in the accounts for the year 2013-14:</b> The balance standing to the credit of R & D A/c at the end of 31.03.2013 was transferred to corpus fund. As a result, in the Balance Sheet for F.Y. ending 31.3.2014, the balance was Zero. <del>On Auditor's recommendation, it was decided</del> that the R & D Fund balance should be shown under separate descriptive heads in the relevant schedules.	<i>While preparing accounts for 2014-15,</i>

Thus, in the Balance Sheet of 2014-15, the details of R & D account have been shown.

